

# Some TTbar deformed mathematics

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The Art of Mathematical Physics: Saleur at  $60 + \epsilon$



'The Last Time I Saw Paris'

*“One should treat mathematical physics through the rectangle,  
the annulus, and the torus”*

This work came out of trying to show that certain objects of “TTbar”-deformed 2d CFT, which should retain their modular invariance/covariance properties, in fact do so, and then realizing that the proof had nothing to do with CFT but applies to many of the modular and Jacobi forms of 19th C mathematics.

We shall proceed by considering ‘TTbar’-deformed CFT in a rectangle, torus and annulus as exemplars of these.

A well known theta function identity attributed to Jacobi but known to Gauss:

$$\vartheta_3(0; i\delta) \equiv \sum_{n \in \mathbb{Z}} e^{-\pi n^2 \delta} = \delta^{-1/2} \sum_{n \in \mathbb{Z}} e^{-\pi n^2 / \delta}$$

- easily proved using Poisson sum formula
- ‘modular form’ of weight  $\frac{1}{2}$  under  $S : \tau \rightarrow -1/\tau$  with  $\tau = i\delta$

A deformed theta function:

$$\vartheta_3^\beta(0; i\delta) \equiv \sum_{n \in \mathbb{Z}} \frac{\sqrt{(1 + \sqrt{1 + 2\beta n^2})/2}}{\sqrt{1 + 2\beta n^2}} e^{-\pi\delta \frac{\sqrt{1 + 2\beta n^2} - 1}{\beta}}$$

satisfies the identity

$$\vartheta_3^\beta(0; i\delta) = \delta^{-1/2} \vartheta_3^{\beta/\delta^2}(0; i/\delta)$$

# The “ $T\bar{T}$ ” deformation of a 2d QFT

A family of non-local field theories  $\mathcal{T}^\lambda$  where the infinitesimal flow  $\mathcal{T}^\lambda \rightarrow \mathcal{T}^{\lambda+\delta\lambda}$  corresponds to adding a term

$$(\delta\lambda) \int \det T^\lambda(x) d^2x = \frac{1}{2}(\delta\lambda) \epsilon^{ik} \epsilon^{jl} \int T_{ij}^\lambda(x) T_{kl}^\lambda(x) d^2x$$

to the action, where  $T_{ij}^\lambda$  is the stress tensor of the deformed theory. Induces left-right scattering in the UV.

“Solvable” because:

- factorization  $T_{ij}^\lambda(x) T_{kl}^\lambda(x) \rightarrow T_{ij}^\lambda(x) T_{kl}^\lambda(x+y)$  [Zam 2004]
- $\equiv$  coupling to random (flat) metric [Dubovsky *et al* 2018; JC 2018]
- $\det T^\lambda$  is a total derivative of a semi-local field [JC 2019]

- $\Rightarrow$  “state-dependent” change of coordinates: [Conti et al 2018; JC 2019]

$$\frac{1}{2}(\delta\lambda)\epsilon^{ik}\epsilon^{jl} \int T_{ij}^{\lambda}(x)T_{kl}^{\lambda}(x)d^2x = \int T_{ij}^{\lambda}(x)\delta g^{ij}(x)d^2x$$

$$\text{where} \quad \delta g^{ij} = \frac{1}{2}(\delta\lambda)\epsilon^{ik}\epsilon^{jl}T_{kl}^{\lambda}$$

Symmetry and conservation of  $T_{kl}^{\lambda}$  imply

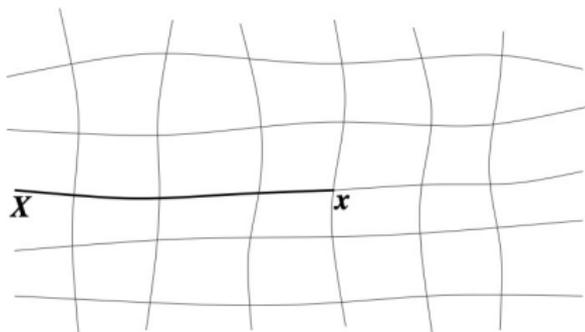
$$\delta g^{00,1} = \delta g^{01,0} \quad \delta g^{11,0} = \delta g^{10,1}$$

so that

$$\delta g^{ij}(x) = (\delta x)^{i,j} + (\delta x)^{j,i} \equiv \text{diffeomorphism: } x \rightarrow x + \delta x(x)$$

$T\bar{T}$  deformation  $\equiv$  coordinate change  $x \rightarrow x^\lambda(x)$  where

$$\partial_\lambda x^\lambda(x)^j = - \int_X^x \epsilon^{ik} \epsilon^{jl} T_{kl}^\lambda(y) dy_j = -\epsilon^{ik} \times \text{flux of } T_{k.}^\lambda \text{ across } (X, x)$$

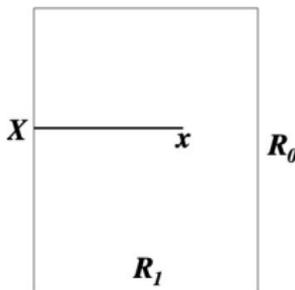


$$\partial_\lambda x^\lambda(x)^0 = -\text{momentum flux across } (X, x)$$

$$\partial_\lambda x^\lambda(x)^1 = \text{energy flux across } (X, x)$$

WHAT DOES ANY OF THIS MEAN?

Example:  $R_1 \times R_0$  rectangle (conformal boundary conditions)



Taking  $x_1 = R_1$ ,

$\partial_\lambda R_1^\lambda = N_1^\lambda =$  normal stress across  $x_0 = \text{const.}$  (= energy in 1+1 dim.)

Similarly  $\partial_\lambda R_0^\lambda = N_0^\lambda$ . In the fixed stress ensemble, evolution is  
*linear*  $R_i^\lambda = R_i^0 + \lambda N_i$

A 19th C digression: Cauchy, Lagrange, Euler and others meet  
at the Académie

Cauchy: “All this talk about stress – why not think of  $x \rightarrow x^\lambda(x)$  as the deformation of an elastic solid, for which I have a marvelous theory of stress and strain?”

JC: “Well, yes, but this  $\bar{T}$  solid has infinite Poisson’s ratio”

“Non, ce n’est pas possible! Ces physiciens du 21ème siècle sont tous fous”

[walks off muttering]

Lagrange steps forward: “But these are just the equations of a 2d fluid in my particle picture with  $\vec{N}$  = velocity,  $\lambda$  = time.”

Euler interrupts: “But the fixed strain ensemble then corresponds to MY picture  $\partial_\lambda \vec{N} = -(\vec{N} \cdot \vec{\nabla}) \vec{N}$ ”

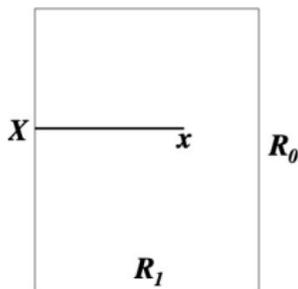
Burgers (from the 20th C): “But that’s my equation too, and for  $\lambda > 0$  the initial conditions with  $N \propto 1/R$  in your CFTs will lead to shock formation!”

21st C theorists: “Zamolodchikov!! This must be a ‘Hagedorn’ singularity – a maximum temperature!”

Carnot et al.:

“Non, c’est pas possible, ces physiciens du 21ème siècle sont tous fous...”

Back to the rectangle:



$$Z^{\text{CFT}}(R_0, R_1) = R_1^{c/4} \eta(q)^{-c/2} \quad \text{where } q = e^{-2\pi R_0/R_1} = e^{-2\pi\delta}$$

where  $\eta(q) = q^{1/24} \prod_{m=1}^{\infty} (1 - q^m)$  [Kleban, Vassileva 1991]

Modular S-symmetry  $Z^{\text{CFT}}(R_0, R_1) = Z^{\text{CFT}}(R_1, R_0) \Leftrightarrow$

$$\eta(1/\delta) = \delta^{1/2} \eta(\delta) \quad \eta \text{ is a modular form of weight } \frac{1}{2}$$

[T-symmetry under  $\delta \rightarrow \delta + i \Rightarrow$  exact quantum recurrences in the CFT]

$$\begin{aligned}
Z^{\text{CFT}}(R_0, R_1) &= R_1^{c/4} \sum_{n=0} a_n(c) q^{-\frac{c}{48} + n} \\
&= \sum_n |B_n^{\text{CFT}}(R_1)|^2 e^{-E_n^{\text{CFT}}(R_1)R_0} \equiv \int e^{-N_0 R_0} \rho^{\text{CFT}}(N_0, R_1) dN_0
\end{aligned}$$

[Fixed strain  $(R_0, R_1)$  ensemble  $\rightarrow$  mixed  $(N_0, R_1)$  ensemble]

from which we conjecture that the deformed partition function is, at least formally,

$$Z^\lambda(R_0, R_1) = \int e^{-N_0 R_0} \rho^{\text{CFT}}(N_0, R_1 + \lambda N_0) dN_0$$

If so, it should be that  $Z^\lambda(R_0, R_1) = Z^\lambda(R_1, R_0)$ .

Not obvious, but note that, formally,

$$\partial_\lambda Z^\lambda(R_0, R_1) = -\partial_{R_0} \partial_{R_1} Z^\lambda(R_0, R_1)$$

which respects the symmetry.

HOW TO MAKE MATHEMATICAL SENSE OF THIS?

# Theorem.

Suppose that  $q = e^{-2\pi\delta}$  and  $F^0(\delta) = \sum_{n=0}^{\infty} a_n q^{\Delta+n}$  converges for  $|q| < 1$  and satisfies  $F^0(1/\delta) = \delta^k F^0(\delta)$ .

Then

$$F^\alpha(\delta) \equiv \sum_{n=0}^{\infty} a_n \frac{[(1+\sqrt{1+4\pi\alpha(\Delta+n)\delta})/2]^{1-k}}{\sqrt{1+4\pi\alpha(\Delta+n)\delta}} e^{-\frac{1}{2\alpha}(\sqrt{1+4\pi\alpha(\Delta+n)\delta}-1)}$$

satisfies  $F^\alpha(1/\delta) = \delta^k F^\alpha(\delta)$ .

## Notes

1. equivalent to  $Z^\lambda(R_0, R_1) = Z^\lambda(R_1, R_0)$  with  $\alpha = \lambda/(R_0 R_1)$ ,  $\delta = R_0/R_1$ , but now  $F^0$  is **not necessarily a CFT object**
2. we lose symmetry under  $T : \delta \rightarrow \delta - i$  (LR scattering destroys recurrences) but see later
3. if  $\Delta < 0$ , rhs converges only for  $\delta > 4\pi\alpha|\Delta|$  corresponding to 'Hagedorn' singularity in  $n = 0$  term on lhs.

Outline of proof:

$$\text{Let } Z^0(R_0, R_1) \equiv R_1^{-k} F^0(\delta = R_0/R_1)$$

Laplace transform

$$\Omega^0(s, R_1) = \int_0^\infty e^{-sR'_0} Z^0(R'_0, R_1) dR'_0 = R_1^{1-k} \int_0^\infty e^{-s\delta' R_1} F^0(\delta') d\delta'$$

$$Z^0(R_0, R_1) = \int_C e^{sR_0} \Omega^0(s, R_1) \frac{ds}{2\pi i} \quad \text{so } \rho(N_0, R_1) = 2\text{Im } \Omega^0(s, R_1)|_{s=-N_0}$$

So define

$$\begin{aligned} Z^\lambda(R_0, R_1) &\equiv \int_C e^{sR_0} \Omega^0(s, R_1 - \lambda s) \frac{ds}{2\pi i} \\ &= \int_C e^{s\delta R_1} [R_1 - \lambda s]^{1-k} \int_0^\infty e^{-s\delta'(R_1 - \lambda s)} F^0(\delta') d\delta' \frac{ds}{2\pi i} \end{aligned}$$

In terms of dimensionless quantities

$$F^\alpha(\delta) = \int_C e^{s\delta} [1 - \alpha\delta s]^{1-k} \int_0^\infty e^{-s\delta'(1-\alpha\delta s)} F^0(\delta') d\delta' \frac{ds}{2\pi i}$$

2 ways to manipulate this:

1. for each term  $\propto e^{-2\pi(\Delta+n)\delta'}$  in  $F^0(\delta')$ , integrating over  $\delta'$  gives

$$\frac{e^{s\delta} [1 - \alpha\delta s]^{1-k}}{2\pi(\Delta + n) + s(1 - \alpha\delta s)}$$

and picking up the pole at  $s = -(1/2\alpha\delta)(\sqrt{1 + 8\pi\alpha\delta(\Delta + n)} - 1)$  gives the shifted exponent and the prefactor.

2. completing the square in  $s$  gives

$$F^\alpha(\delta) = \int_0^\infty K^\alpha(\delta, \delta') (\delta'/\delta)^{k/2} F^0(\delta') (d\delta'/\delta')$$

where

$$K^\alpha(\delta, \delta') = e^{-(\delta-\delta')^2/4\alpha\delta\delta'} \int_{-\infty}^\infty [(\delta/\delta')^{1/2} + (\delta'/\delta)^{1/2} + it]^{1-k} e^{-\alpha t^2} dt$$

satisfies  $K^\alpha(1/\delta, 1/\delta') = K^\alpha(\delta, \delta')$ . This implies the theorem  $F^\alpha(1/\delta) = \delta^k F^\alpha(\delta)$ .

- a kind of Weierstrass transform, but strongly peaked as  $\delta$  or  $\delta' \rightarrow 0$  or  $\infty$
- many choices of  $K^\alpha$  have these properties, but only this one gives a discrete deformed spectrum for  $N_0$

Restoring the symmetry under  $T : \delta \rightarrow \delta - i$

- as a model, consider a 1-point function  $\langle \Phi \rangle(\vec{R}_0, \vec{R}_1)$  on a torus  $\mathbb{C}/\mathbb{L}(\vec{R}_0, \vec{R}_1)$

$$\langle \Phi \rangle(\vec{R}_0, \vec{R}_1)^{\text{CFT}} = |\vec{R}_1|^{-k} F^0(\delta) \quad (k = h_\Phi)$$

where  $\tau = i\delta = i(\delta_0 + i\delta_1)$  is the modular parameter.

- S-invariance  $\langle \Phi \rangle(\vec{R}_0, \vec{R}_1)^{\text{CFT}} = \langle \Phi \rangle(\vec{R}_1, -\vec{R}_0)^{\text{CFT}}$  implies

$$F^0(1/\delta) = |\delta|^k F^0(\delta)$$

- T-invariance  $\langle \Phi \rangle(\vec{R}_0, \vec{R}_1)^{\text{CFT}} = \langle \Phi \rangle(\vec{R}_0, \vec{R}_1 + \vec{R}_0)^{\text{CFT}}$  implies  $F^0(\delta_0, \delta_1 + 1) = F^0(\delta_0, \delta_1)$  so

$$F^0(\delta) = \sum_{p \in \mathbb{Z}} F_p^0(\delta_0) e^{2\pi i p \delta_1}$$

A similar construction now shows that  $F^\alpha(1/\delta) = |\delta|^k F^\alpha(\delta)$  but with  $p$ -dependent modified exponents

$$\sqrt{1 + 4\pi\alpha(\Delta + n)\delta} \rightarrow \sqrt{1 + 4\pi\alpha(\Delta + n)\delta_0 + 4\pi^2\alpha^2 p^2 \delta_0^2}$$

Note that a purely (anti-)holomorphic form with  $\Delta + n = \pm p$  does not deform

# Deformed Virasoro Characters

Annulus = rectangle with periodic bc around  $x^0$ .

Partition function

$$Z^{\text{CFT}}(R_0, R_1) = \sum_a n_a \chi_a(q = e^{-2\pi\delta}) = \sum_a n_a \sum_b S_a^b \chi_b(e^{-2\pi/\delta})$$

where  $\delta = R_0/2R_1$ .

But now it is  $Z$  on annulus with a marked point  $X$  which satisfies a PDE:

$$\partial_\lambda (Z^\lambda(R_0, R_1)/R_0) = -\partial_{R_1} \partial_{R_2} (Z^\lambda(R_0, R_1)/R_0)$$

Modifies the deformation to

$$\chi_a^\alpha(\delta) = \delta \int_C e^{s\delta} \int_0^\infty e^{-s\delta'(1-\alpha\delta s)} \chi_a(\delta') \frac{d\delta'}{\delta'} \frac{ds}{2\pi i}$$

The integral over  $\delta'$  leads to  $\log(s - s_-)(s - s_+)$  and wrapping the  $s$ -contour around  $\log(s - s_-)$  gives a term  $e^{s-\delta}$ .

Only the exponents are deformed, the integer coefficients remain the same, as expected.

$$\chi_a^\alpha(\delta) = \int_0^\infty (\pi/\alpha\delta\delta')^{1/2} e^{-(\delta-\delta')^2/4\alpha\delta\delta'} (\delta/\delta') \chi_a(\delta') d\delta'$$

These extra factors complicate the  $S$ -transformation rule, so

$\chi_a^\alpha(\delta) \neq \sum_b S_a^b \chi_b^\alpha(1/\delta)$  (deformed boundary states no longer Ishibashi)

However, on the torus [Datta, Jiang 2020]

$$[\chi_a \chi_{\bar{a}}]^\alpha(\delta) = \int_{\mathbb{H}} (\pi/\alpha) e^{-|\delta - \delta'|^2 / 4\alpha\delta_0\delta'_0} [\chi_a \chi_{\bar{a}}](\delta') \frac{d^2\delta'}{\delta_0'^2}$$

and then

$$[\chi_a \chi_{\bar{a}}]^\alpha(\delta) = \sum_{b, \bar{b}} S_a^b S_{\bar{a}}^{\bar{b}} [\chi_a \chi_{\bar{a}}]^\alpha(1/\delta)$$

The proof of this serves as a model for identities on deformed products of modular and Jacobi forms.

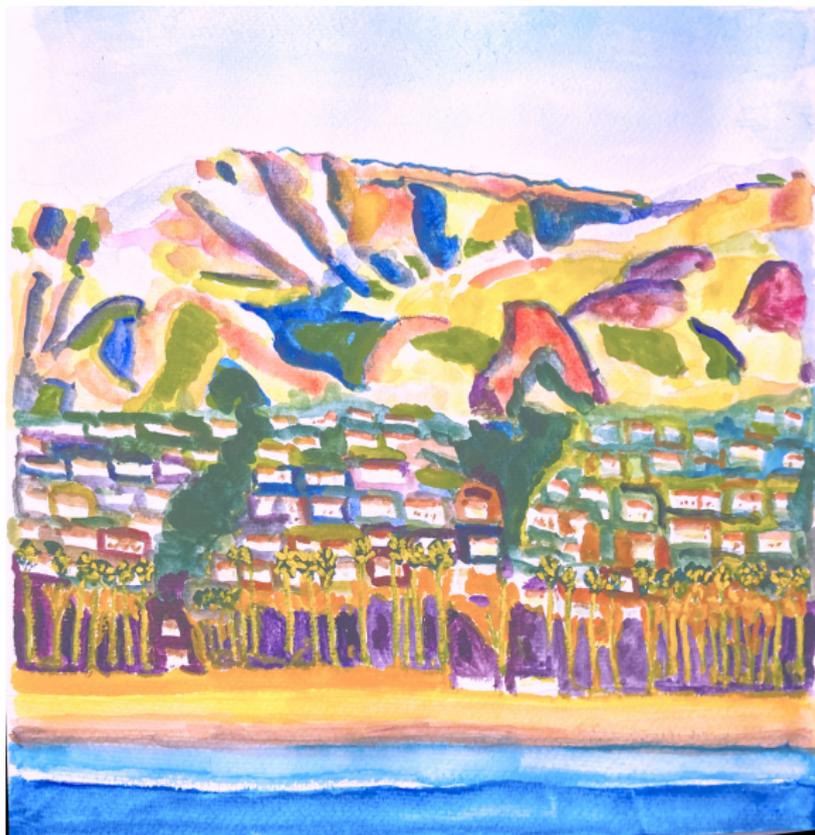
*“Theta functions obey a bewildering number and variety of identities.”* (*Elliptic Curves* [McKean & Moll 1997])

## Some mathematics/physics consequences and questions

- generalizes modular forms to functions with irrational power spectrum
- yields new(?) relations for arithmetic functions, e.g. partitions  $P(N)$
- new (integrable?) lattice models with weights involving deformed theta functions
- other 'solvable' deformations?
- it's all (mainly French) early 19th C mathematical physics...

HAPPY BIRTHDAY HUBERT!!

A birthday present....



Recently discovered work allegedly by Cézanne on his little known visit to Santa Barbara