

One-dimensional Quantum Liquids

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Introduction

Objectives:

- Review the physics,
- To give an idea of the mathematics involved

(1+1)D **liquids**: systems with **spectral gaps** and **no local order parameter**.

However, I will start with a model which has a local order parameter in **one of its phases**, - the Quantum Ising model.

Because

- it encompasses most of the relevant physics and
- is one of the simplest models of **strong correlations**.

Quantum Ising model

$$H = \sum_n [-J\sigma_n^z \sigma_{n+1}^z + h\sigma_n^x]$$

σ^z, σ^x are Pauli matrices. By Jordan-Wigner transformation (1928)

$$\sigma_n^x = F_n^+ F_n - 1/2, \quad \sigma_n^z = (F_n^+ + F_n) \exp \left[i\pi \sum_{j < n} F_j^+ F_j \right]$$

where $\{F_n, F_m^+\} = \delta_{nm}$ it is transformed into a model of **free fermions**:

$$H = \sum_p \epsilon(p) F_p^+ F_p, \quad p = 2\pi N/L$$

where N - integer $J > h$, **half-integer** $J < h$.

$$\epsilon(p) = \sqrt{(J - h)^2 + 4Jh \sin^2(p/2)}$$

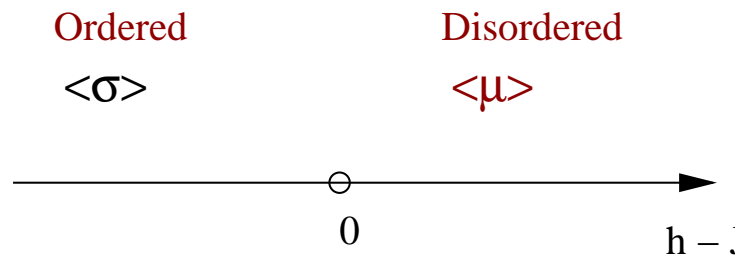
Duality: $h \rightarrow J, \sigma \rightarrow \mu$

Ising model can be recast in terms of **dual variables**

$$\mu_{n+1/2}^z = \prod_j^n \sigma_j^x, \quad \mu_{n+1/2}^x = \sigma_{n+1}^z \sigma_n^z$$

which are also **Pauli matrices**, but living on the **dual lattice**:

$$H = \sum_n \left[-h \mu_{n-1/2}^z \mu_{n+1/2}^z + J \mu_{n-1/2}^x \right]$$

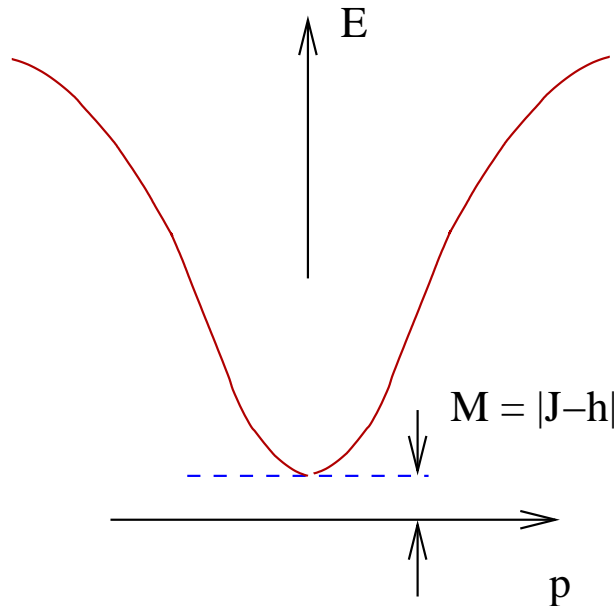


At $T = 0$ the Z_2 symmetry of the Ising model is **spontaneously broken**. At $h < J$ it is broken **explicitly** (local order parameter), at $h > J$ the order is hidden.

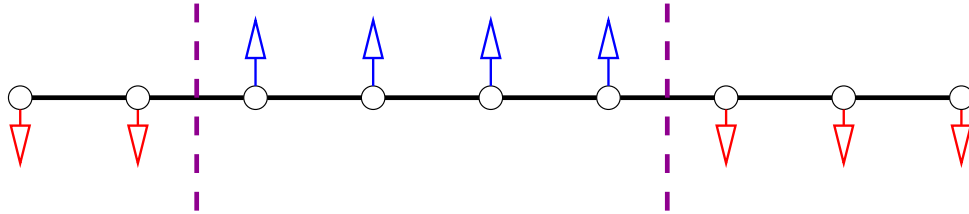
Continuum limit: $|J - h| \ll J, |p| \ll 1$. Then the excitation spectrum is **relativistic**:

$$\epsilon(p) = \sqrt{(J - h)^2 + 4Jh \sin^2(p/2)} \approx \sqrt{M^2 + v^2 p^2}$$

where $M = (J - h), v = Jh$.



Excitations are solitons (domain walls) separating vacua with different sign of $\langle \sigma \rangle$ (or $\langle \mu \rangle$ if we are in the disordered phase!):



SIGN of M ! - For the fermions the only difference is in boundary conditions, for the spins **the difference is dramatic.**

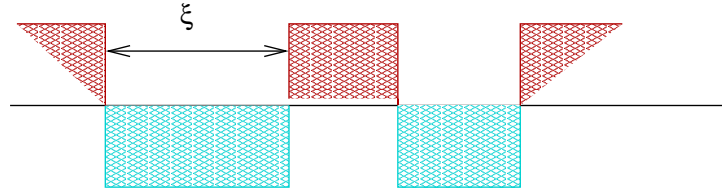
Qualitative picture of correlations at $T = 0$ ($h < J$):

$\langle \sigma \rangle \neq 0$, all correlation functions decay **exponentially** in Matsubara time and space:

$$\langle \langle \sigma(\tau, x) \sigma(0, 0) \rangle \rangle \sim \exp \left(-2M \sqrt{\tau^2 + (x/v)^2} \right)$$

There is only one energy scale: **spectral gap**
 $M = |h - J|$.

At $T \neq 0$ the order is destroyed by solitons and antisolitons.



A new scale emerges: the average distance between domain walls

$$\xi \sim (TM)^{-1/2} \exp\left(\frac{M}{T}\right)$$

Problem: slow dynamics of σ at $T \neq 0$.

This problem is complicated only for σ^z which is **nonlocal** in fermions.

For $\sigma^x = F^+ F - 1/2$ there is no problem: the dynamical correlation functions are **ballistic**! The **heat transport** in Ising model is ballistic.

Non-trivial correlation functions. The formfactor approach.

A convenient parametrization of the spectrum:

$$pv = M \sinh \theta, \quad \epsilon = M \cosh \theta$$

so that $\epsilon^2 - (pv)^2 = M^2$. Parameter θ is called **rapidity**.

Let us set $v = 1$. Ising model Hamiltonian:

$$H = \frac{L}{2\pi} \int d\theta M \cosh \theta Z^+(\theta) Z(\theta)$$

where $Z(\theta) = [M \cosh \theta]^{1/2} F(p = M \sinh \theta)$.

$$\{Z^+(\theta), Z(\theta')\} = \delta(\theta - \theta')$$

Excited state of particles with rapidities $\theta_1, \theta_2, \dots, \theta_n$ is

$$|\theta_1, \theta_2, \dots, \theta_n\rangle$$

has energy $E = M \sum \cosh \theta_j$.

Example: $T=0$ correlation function of σ 's

The matrix element of the σ^z operator

$$\langle 0 | \sigma^z(0) | \theta_1, \theta_2, \dots, \theta_n \rangle = C M^{1/8} \prod_{j>k} \tanh [(\theta_j - \theta_k)/2]$$

where $C \sim 1$ and $n = 2N$ for $h < J$ and $n = 2N + 1$ for $h > J$.

The Lehmann expansion for

$$\chi(\tau, x) = \langle \langle \sigma^z(\tau, x) \sigma^z(0, 0) \rangle \rangle :$$

$$\sum_n \frac{1}{N!} \int \prod_{j=1}^N d\theta_j |\langle 0 | \sigma(0, 0) | \theta_1, \dots, \theta_N \rangle|^2 \times \\ \exp(-|\tau| M \sum \cosh \theta_j + ix M \sum \sinh \theta_j)$$

Do Fourier transformation and continue

$$i\omega_n = \omega + i0.$$

The imaginary part of the retarded Green's function is

$$\begin{aligned} \Im m \chi(\omega > 0, q) = & \\ & \sum_N \frac{1}{N!} \int \prod_{j=1}^N d\theta_j |\langle 0 | \sigma(0, 0) | \theta_1, \dots, \theta_N \rangle|^2 \times \\ & \delta(\omega - M \sum \cosh \theta_j) \delta(q - M \sum \sinh \theta) \end{aligned}$$

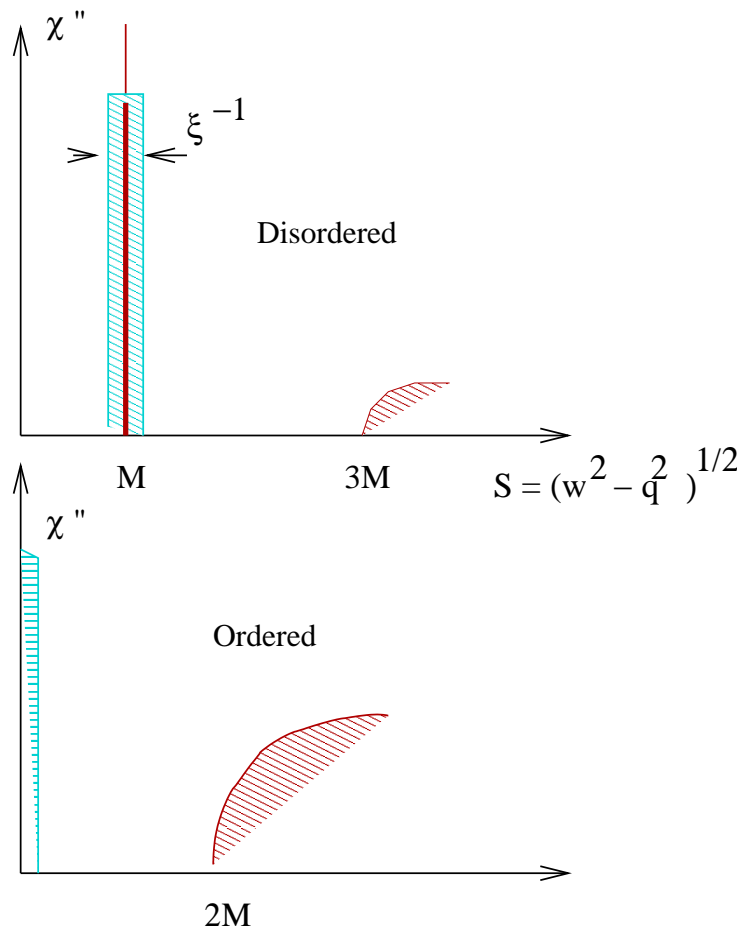
For a given frequency the sum contains only $\sim \omega/M$ terms.

Let $h > J$ (disordered phase). The expansion starts with $N = 1$ term:

$$\Im m \chi(\omega < 3M, q) = CM^{-3/4} \frac{\delta(\omega - \sqrt{q^2 + M^2})}{\sqrt{q^2 + M^2}}$$

In the ordered state ($s^2 = \omega^2 - q^2$):

$$\begin{aligned} \Im m \chi(\omega < 4M, q) &= \\ CM^{1/4} \int d\theta \tanh^2 \theta \frac{\delta(\omega - \sqrt{q^2 + 4M^2 \cosh^2 \theta})}{\sqrt{q^2 + 4M^2 \cosh^2 \theta}} & \\ = CM^{1/4} \left(\frac{2M}{s} \right)^3 \sqrt{(s/2M)^2 - 1} & \end{aligned}$$



Matrix elements between excited states:

$$\langle u_1, \dots, u_m | \sigma^z(0) | v_1, \dots, v_n \rangle = CM^{1/4} \times \frac{\prod_{j>k} \tanh [(u_j - u_k)/2] \prod_{j>k} \tanh [(v_j - v_k)/2]}{\prod_{j,k} \tanh [(u_j - v_k)/2]}$$

Singularities (annihilation poles) appear when $u_j \rightarrow v_k$.

These are signs of $T = 0$ 1st order phase transition. Summing them up one obtains the blue peaks on Figs.

Part 2. Integrable models. General features.

Integrable models.

Suppose there is a Lorentz invariant integrable model with spectrum consisting of particles with masses M_j . Particles carry isotopic index a . The spectrum is

$$E = \sum_{j,a_j} M_j \cosh \theta_{a_j}, \quad P = \sum_{j,a_j} M_j \sinh \theta_{a_j}$$

Rapidities θ_{a_j} are **conserved quantities**. The eigenstate

$$|\theta_1, a_1; \dots \theta_n, a_n\rangle = Z_{a_1}^+(\theta_1) \dots Z_{a_n}^+ |0\rangle$$

In integrable systems all interactions are encoded in the **commutation relations** (Faddeev-Zamolodchikov algebra):

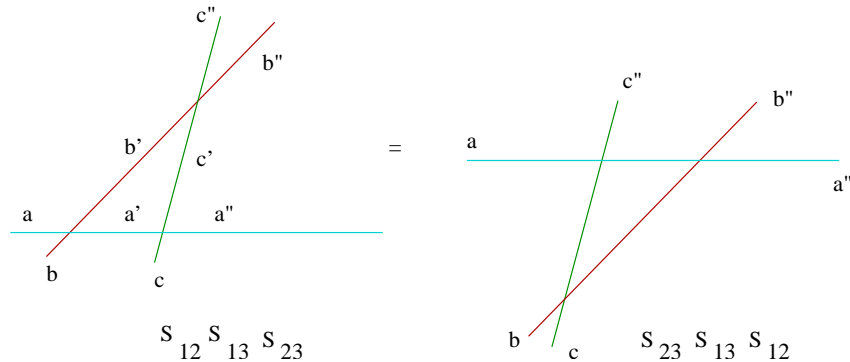
$$Z^a(\theta_1) Z^b(\theta_2) = S_{\bar{a},\bar{b}}^{a,b}(\theta_1 - \theta_2) Z^{\bar{b}}(\theta_2) Z^{\bar{a}}(\theta_1)$$

$$Z_a^+(\theta_1) Z_b^+(\theta_2) = S_{a,b}^{\bar{a},\bar{b}}(\theta_1 - \theta_2) Z_{\bar{b}}^+(\theta_2) Z_{\bar{a}}^+(\theta_1)$$

$$Z^a(\theta_1) Z_b^+(\theta_2) = S_{b,\bar{a}}^{\bar{b},a}(\theta_1 - \theta_2) Z_{\bar{b}}^+(\theta_2) Z^{\bar{a}}(\theta_1) + \delta_a^b \delta(\theta_{12})$$

where $S(\theta_{12})$ is the 2-particle **scattering matrix**.

The S-matrix satisfies the Yang-Baxter equations:



which are the **associativity conditions** for FZ algebra.

S-matrix also possesses

- **Unitarity**: its eigenvalues are **phase factors**: $\exp[i\Phi(\theta_{12})]$, where Φ is real.
- **Crossing symmetry** (CPT invariance):

$$S_{a,b}^{\bar{a},\bar{b}}(\theta) = S_{\bar{b},a}^{b,\bar{a}}(i\pi - \theta)$$

where **red** are indices obtained by **charge conjugation**.

SU(N) Chiral Thirring model

Hamiltonian density

$$\mathcal{H} = i(-R_j^+ \partial_x R_j + L_j^+ \partial_x L_j) - g(R_j^+ L_j)(L_k^+ R_k)$$

where $j, k = 1, \dots, N$.

This is a model of Charge Density Wave. In 3D it would have a 2nd order phase transition into a state with

$$\Delta = \sum_j \langle R_j L_j^+ \rangle \neq 0$$

Bosonized version:

$$\mathcal{H} = \frac{1}{8\pi} [(4\pi\Pi_j)^2 + (\partial_x\Phi_j)^2] - \frac{g}{(4\pi a_0)^2} \sum_{j,k} \cos(\Phi_j - \Phi_k)$$

where $[\Phi_j, \Pi_k] = i\delta_{jk}$.

$$(R, L)_j = \frac{\xi_j}{\sqrt{2\pi a_0}} \exp \left\{ \frac{i}{2} \left[\pm\Phi_j(x) + \frac{1}{4\pi} \int_{-\infty}^x dy \Pi_j(y) \right] \right\}$$

where $\{\xi_j, \xi_k\} = 2\delta_{jk}$.

Field

$$\Phi = N^{-1/2} \sum_j \Phi_j$$

does not participate in the interaction and remains gapless.

The interaction scales to strong coupling if $g > 0$.

At large N it is instructive to use

$1/N$ -approximation:

$$Z = \int D\Delta^* D\Delta DR^+ DR DL^+ DL \exp\left\{-\int d\tau dx \mathcal{L}\right\}$$

$$\mathcal{L} = \frac{|\Delta|^2}{2g} + (R_j^+, L_j^+) \begin{pmatrix} \partial_\tau - i\partial_x & \Delta \\ \Delta^* & \partial_\tau + i\partial_x \end{pmatrix} \begin{pmatrix} R_j \\ L_j \end{pmatrix}$$

Integrating over fermions we get the action for Δ :

$$S = \int d\tau dx \frac{|\Delta|^2}{2g} - N \text{Tr} \ln \begin{pmatrix} \partial_\tau - i\partial_x & \Delta \\ \Delta^* & \partial_\tau + i\partial_x \end{pmatrix}$$

The gradient expansion of this action produces the following Lagrangian density:

$$\mathcal{L} = \frac{N}{8\pi} \left[\frac{\partial_\mu \Delta^* \partial_\mu \Delta}{|\Delta|^2} + |\Delta|^2 \ln \left(\frac{|\Delta|^2}{M^2} \right) \right] + \dots$$

The saddle point fixes

$$|\Delta| \approx M = (a_0)^{-1} g^{1/N} \exp(-2\pi/Ng)$$

$$\Delta = |\Delta| e^{i\Phi}$$

the phase Φ remains critical.

$$S = \frac{N}{8\pi} \int d\tau dx (\partial_\mu \Phi)^2$$

The total **charge and current** densities are

$$\rho = \sum_j (R_j^\dagger R_j + L_j^\dagger L_j) = \frac{N^{1/2}}{2\pi} \partial_x \Phi$$

$$j = \sum_j (R_j^\dagger R_j - L_j^\dagger L_j) = N^{1/2} \Pi$$

The transport is ballistic (sliding Charge Density Wave):

$$\sigma(\omega) = 2\pi N \delta(\omega)$$

The conductance of a finite wire is length independent:

$$G = \frac{e^2}{h} N$$

What can we say about other fields? Besides the gapless mode Φ the spectrum contains $N - 1$ massive particles with masses

$$M_j = M \frac{\sin(\pi j/N)}{\sin(\pi/N)}, \quad j = 1, 2, \dots, N - 1$$

They are bound states of the fundamental particle $j = 1$.

From the bosonization formula we deduce

$$R_j = e^{i\phi} \mathcal{R}_j, \quad L_j = e^{-i\bar{\phi}} \mathcal{L}_j$$

where

$$\langle e^{i\phi(\tau,x)} e^{-i\phi(0,0)} \rangle = (\tau - ix)^{-1/N}$$

$$\langle e^{i\bar{\phi}(\tau,x)} e^{-i\bar{\phi}(0,0)} \rangle = (\tau + ix)^{-1/N}$$

and \mathcal{R}, \mathcal{L} annihilate particles from the massive sector. They have **Lorentz spin**

$$S = (1 - N^{-1})/2$$

Electron consists of **charge and spin** parts with different spectra: **spin-charge separation**.

$$(\mathcal{R}, \mathcal{L})_j^+ |0\rangle = F_j^\pm(\theta_1, \dots, \theta_n) Z_{a_1}^+(\theta_1) \dots Z_{a_n}^+(\theta_n) |0\rangle$$

where from the Lorentz invariance

$$F_j^\pm(\theta_1, \dots, \theta_n) = e^{\pm S(\theta_1 + \dots + \theta_n)/n} F_j(\{\theta_{pq}\})$$

The lowest state is

$$\langle \theta | (\mathcal{R}, \mathcal{L})_j^+ |0\rangle = A^{1/2} e^{\pm S\theta} \quad (1)$$

where $A \sim 1$ is a numerical coefficient,
 $S = (1 - 1/N)/2$.

The contribution to the single-electron Green's function :

$$\begin{aligned} \langle \langle R_j(\tau, x) R_j^+(0, 0) \rangle \rangle = \\ \frac{A}{(\tau - ix)} (Mr)^{(1-1/N)} K_{(1-1/N)}(Mr) \end{aligned}$$

where $r^2 = \tau^2 + x^2$.

Part 3. We continue about the Thirring model

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It is remarkable that the interactions generate a spectral gap, though, contrary to what happens in $D > 1$, **no local order parameter is formed**:

$$\langle RL^+ \rangle = 0$$

This agrees with Mermin-Wagner theorem: the U(1) symmetry in the charge sector is continuous and **continuous symmetry cannot be broken in 1D**.

Notice that $\langle RL^+ \rangle = 0$, but solely due to the charge sector, since

$$\langle e^{i\phi} e^{-i\bar{\phi}} \rangle = 0$$

From the Green's function one can extract
Tunneling Density of States

$$\rho(\omega) = A \int_0^{\cosh^{-1}(\omega/M)} dx \frac{\cosh [(1 - 1/N)x]}{(\omega/M - \cosh x)^{(1-1/N)}}$$

$$\rho(\omega) = \Im m \left\{ \int e^{i\Omega\tau} G(\tau, x = 0) d\tau \right\}_{i\Omega \rightarrow \omega + i0}$$

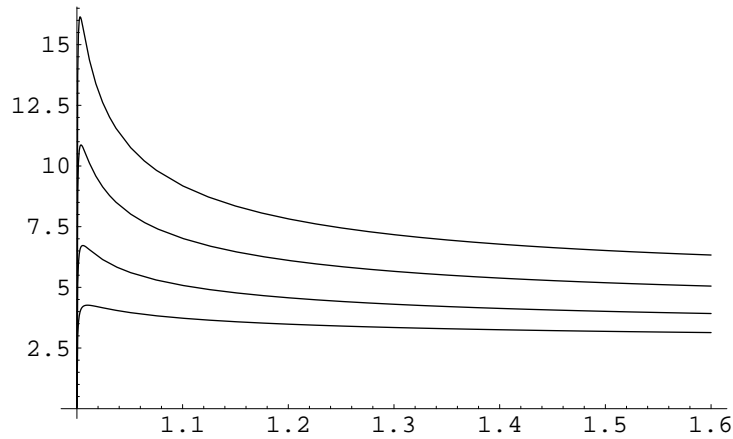


Figure 1: $\rho(\omega)$ for $N=3,4,6,10$.

From now on we'll concentrate on $N=2$ case.

In the bosonized version the Lagrangian density is

$$\mathcal{L} = \frac{1}{8\pi} \left[v_c^{-1} (\dot{\Phi})^2 + v_c (\partial_x \Phi)^2 \right] + \frac{(1+g)}{8\pi} \left[v^{-1} (\dot{\Phi}_s)^2 + v (\partial_x \Phi_s)^2 \right] - \frac{g}{(2\pi a_0)^2} \cos \Phi_s$$

where

$$\Phi = \frac{\Phi_1 + \Phi_2}{\sqrt{2}}, \quad \Phi_s = \frac{\Phi_1 - \Phi_2}{\sqrt{2}}$$

and I deliberately made the charge and spin velocities different. This is achieved by adding

$$g_f \left[(R_j^+ R_j)^2 + (L_j^+ L_j)^2 \right]$$

to the original Hamiltonian.

The vacuum $\Phi_s = 0 \bmod 2\pi$. At $T = 0$ the system is in one of these vacua, the discrete symmetry

$$\Phi_s(x) \rightarrow \Phi_s(x) + 2\pi n$$

is broken.

The average

$$\langle \cos (\Phi_s / 2) \rangle \sim \pm M$$

depends on the choice vacuum, but the operator is **nonlocal** with respect to the fermions!

Therefore it signifies a **hidden** order. The local order parameter

$$\Delta \equiv \sum_j R_j^+ L_j = \frac{1}{(2\pi a_0)} e^{i\Phi/2} \cos (\Phi_s / 2)$$

has only **quasi** long range order:

$$\langle \Delta(\tau, x) \Delta^+(0, 0) \rangle \approx \frac{a_0}{\sqrt{(v_c \tau)^2 + x^2}} \Delta_0^2$$

$$\Delta_0 = \langle \cos (\Phi_s / 2) \rangle \sim M \sim a_0^{-1} g^{1/2} e^{-\pi/g}$$

At $T \neq 0$ the **the dynamical correlation function** at $|x| \gg M^{-1}$ is

$$\frac{\pi T}{\sqrt{\sinh[\pi T(x/v_c - t)] \sinh[\pi T(x/v_c + t)]}} \times M^2 \exp \left[- \int \frac{dp}{\pi} e^{-\epsilon(p)/T} \left| x - t \frac{\partial \epsilon(p)}{\partial p} \right| \right]$$

where $\epsilon = \sqrt{M^2 + (pv)^2}$.

At $t = 0$ at large x

$$\sim e^{-|x|/\xi}, \quad \xi^{-1} = \pi T/v_c + \int \frac{dp}{\pi} e^{-\epsilon(p)/T}$$

The spectral function at $T=0$ is

$$G_{RR}(\omega, q) \sim - \frac{\omega + v_c q}{\sqrt{M^2 + (v_c q)^2 - \omega^2}} \times \left[\left(M + \sqrt{M^2 + (v_c q)^2 - \omega^2} \right)^2 - \frac{v - v_c}{v + v_c} (\omega + v_c q)^2 \right]^{-1}$$

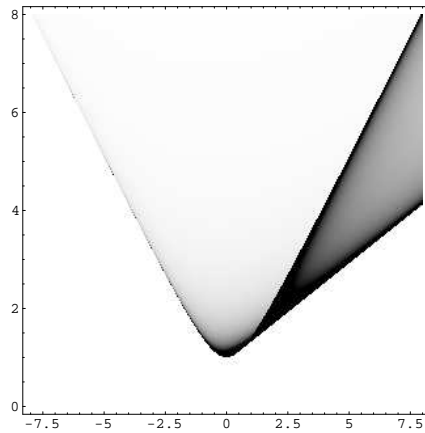


Figure 2: The spectral intensity for $v_c/v_s = 0.4$

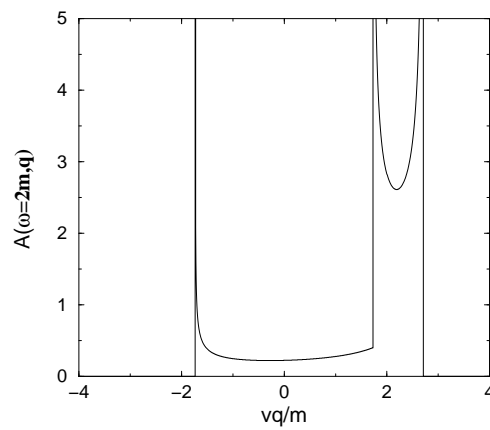


Figure 3: The spectral function as a function of momentum at $v_c/v_s = 0.4$

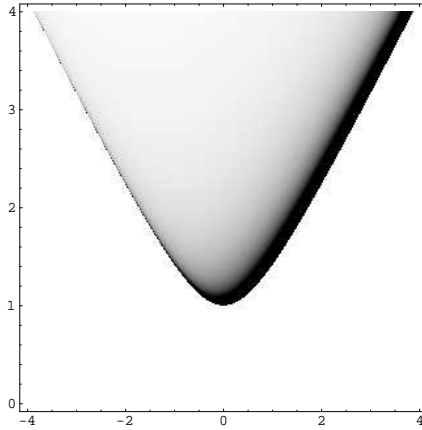


Figure 4: The spectral intensity for $v_c = v_s$.

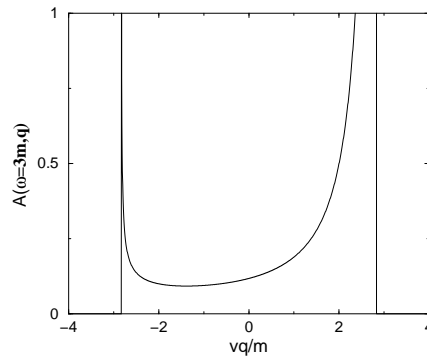


Figure 5: The spectral function as a function of momentum at $v_c = v_s$.

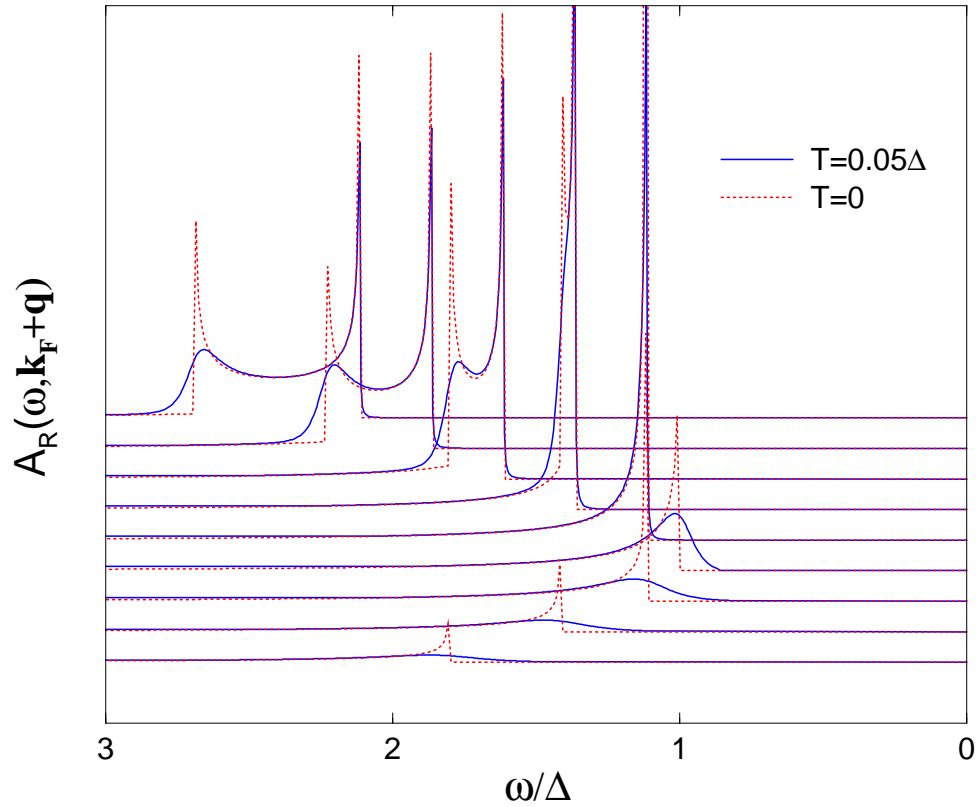


Figure 6: Spectral function for $T=0$ and $T = 0.05\Delta$
 [Essler, Tsvelik (2002)]