Laser cooling below the one-photon recoil energy by velocity-selective coherent population trapping: theoretical analysis

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We present a theoretical analysis of a new one-dimensional laser-cooling scheme that was recently demonstrated on a beam of metastable $^4$He atoms. Both internal and translational degrees of freedom are treated quantum mechanically. Unlike semiclassical approaches, such a treatment can be applied to situations in which the atomic coherence length is of the same order of or larger than the laser wavelength, which is the case for atoms cooled below the one-photon recoil energy. We introduce families of states that are closed with respect to absorption and stimulated emission, and we establish the generalized optical Bloch equations that are satisfied by the corresponding matrix elements. The existence of velocity-selective trapping states that are linear combinations of states with different internal and translational quantum numbers is demonstrated, and the mechanism of accumulation of atoms in these trapping states by fluorescence cycles is analyzed. From a numerical solution of the generalized optical Bloch equations, we study in detail how the final atomic-momentum distribution depends on the various physical parameters: interaction time, width of the initial distribution, laser detuning, laser power, and imbalance between the two counterpropagating waves. We show that the final temperature decreases when the interaction time increases, so that there is no fundamental limit to the lowest temperature that can be achieved by such a method. Finally, possible extensions of this method to two-dimensional cooling are presented.

1. INTRODUCTION

Laser cooling uses momentum exchange between photons and atoms to reduce the kinetic energy of atoms. Since each elementary momentum transfer is equal to the photon momentum $\hbar k$, the one-photon recoil energy $E_R = \hbar^2 k^2/2M$ ($M$ is the atomic mass) represents an important landmark in the energy scale. Recent developments in laser cooling have permitted researchers to reach the regime where the equilibrium atomic kinetic energy becomes of the order of a few $E_R$ (Refs. 1-3) or even smaller than $E_R$. In this new regime, where the elementary momentum transfer can no longer be considered a small quantity, the analogy between atomic motion in laser light and Brownian motion breaks down, and the Förkner-Planck description of laser cooling is no longer valid. A new theoretical treatment is thus required.

The purpose of this paper is to present a quantitative analysis of laser cooling below the one-photon recoil energy by velocity-selective coherent population trapping. A one-dimensional laser cooling of this type was recently demonstrated on a beam of metastable $^4$He atoms. Here we present equations of motion that permit a quantitative interpretation of such a cooling scheme, and we discuss their physical content as well as their solutions. The theoretical approach followed here can be also useful for the analysis of other situations in which temperatures of the order of the one-photon recoil energy are approached. For example, similar equations can be found in the analysis of laser-cooling schemes below the Doppler limit based on gradients of laser polarization or in the investigation of the lowest temperature that can be reached by cooling with ultranarrow atomic transitions for which $\hbar \Gamma \leq E_R$, where $\Gamma$ is the natural width of the line. To describe atomic motion in laser light, one usually starts from equations of motion that describe the coupled evolution of the internal and external (translational) atomic degrees of freedom as a result of resonant exchanges of energy and momentum between photons and atoms. Because of the discrete character of the photon momentum $\hbar k$, these equations are finite-difference equations. They are usually transformed into coupled partial differential equations through an expansion of the density-matrix elements in powers of $\hbar k/\Delta p$, where $\Delta p$ is the width of the atomic-momentum distribution. For sufficiently slow atoms, one also makes an expansion in powers of $k\Delta p/M\Gamma$ (the ratio between the Doppler shift and the natural width). Finally, after an adiabatic elimination of the fast internal variables, one gets, for the atomic Wigner function, a Förkner-Planck equation that allows one to consider atomic motion in laser light as a Brownian motion and that provides theoretical expressions for the friction coefficient $\gamma$ and the diffusion coefficient $D$ and consequently for the equilibrium temperature $T(k_BT \sim D/M\gamma)$.

The previous theoretical scheme is valid only if the expansion parameter $\hbar k/\Delta p$ is very small, i.e., if the atomic coherence length $\hbar \Delta p$ is small compared with the laser wavelength $\lambda = 2\pi/\hbar k$. When the energy $k_BT = p^2/2M$ becomes of the order of or smaller than the recoil energy $E_R = \hbar^2 k^2/2M$, we reach a new regime where the coherence length $\hbar \Delta p$ becomes longer than the laser wavelength $\lambda$. It is then no longer possible to consider the atomic wave packet to be well localized in the laser wave and to describe its motion by a
Fokker–Planck equation. We must return to the full quantum coupled equations of motion. This is precisely what we do in this paper.

The paper is organized as follows. In Section 2 we give the level scheme and the laser configuration that are used in the new laser-cooling method, whose principle is briefly explained. We show in Section 3 that, for two counterpropagating \( \sigma_+ \) and \( \sigma_- \) circularly polarized laser waves, the absence of redistribution of photons between the two waves allows us to introduce a finite number of states, labeled by external and internal quantum numbers, and that are coupled by absorption and stimulated-emission processes. These closed families of states are the basic ingredient of this paper. In Section 3 we give the equations of motion of the density-matrix elements within such a family as a result of absorption and stimulated emission, and in this way we interpret the principle of velocity-dependent coherent population trapping. Spontaneous emission plays an important role in redistributing atoms among the different families.

The corresponding equations are established and discussed in Section 4. It is then possible to write in Section 5 the full equations of motion as well as of the initial state and the detection signal. Numerical solutions of these equations are presented in Section 6, and the influence of the various physical parameters is discussed in detail. Finally, a possible extension of this new cooling scheme is considered in Section 7.

2. SIMPLE PRESENTATION OF THE NEW LASER-COOLING SCHEME

The new scheme uses a three-level Λ configuration in which two degenerate ground sublevels \( g_\pm \) are coupled to an excited level \( e_0 \) by two counterpropagating \( \sigma_+ \) and \( \sigma_- \) polarized laser beams with the same frequency \( \omega_L \) [Fig. 1(a)]. In the experiment described in Ref. 4, \( g_\pm \) are the two Zeeman sublevels \( m = \pm 1 \) of the \( ^2S_1 \) state of \(^{4}\text{He}\), whereas \( e_0 \) is the \( m = 0 \) Zeeman sublevel of \( ^2P_1 \) [the Clebsch–Gordan coefficient between \( ^2S_1 \) (\( m = 0 \)) and \( ^2P_1 \) (\( m = 0 \)) vanishes, permitting us to ignore the \( ^2S_1 \) (\( m = 0 \)) state in what follows].

First consider an atom at rest. For such an atom the two apparent laser frequencies are equal, and resonant processes involving one interaction with each beam can take place between \( g_+ \) and \( g_- \). We then show that there is a coherent superposition of \( g_+ \) and \( g_- \) that is not coupled to \( e_0 \) by the laser excitation. Such a situation occurs when the two amplitudes for absorbing a \( \sigma_+ \) or a \( \sigma_- \) photon interfere destructively. For example, if the two excitation amplitudes \( g_+ \rightarrow e_0 \) and \( g_- \rightarrow e_0 \) are equal, the nonabsorbing coherent superposition of \( g_+ \) and \( g_- \) is just \( (g_+ + ig_-)/\sqrt{2} \). An atom put in such a superposition of states remains trapped there indefinitely since it can no longer absorb light. Such a mechanism of coherent population trapping owing to destructive interference between two excitation amplitudes is actually quite general and can give rise to narrow resonances. It was discovered in 1976, and several theoretical treatments based on optical Bloch equations or on the dressed-atom approach have been given.

Coming back to the scheme of Fig. 1(a), we suppose now that the atom is moving along \( Oz \). The Raman resonance condition is no longer fulfilled as a consequence of opposite Doppler shifts on the two counterpropagating laser beams.

It follows that the two excitation amplitudes \( g_+ \rightarrow e_0 \) and \( g_- \rightarrow e_0 \) can no longer interfere destructively. This simple argument explains how the phenomenon of coherent population trapping can be velocity selective for appropriate laser configurations. The new cooling scheme discussed in this paper consists of accumulating atoms in the zero-velocity nonabsorbing state where they remain trapped. To populate this state, we take advantage of the momentum redistribution due to spontaneous emission, which allows certain atoms to be pumped optically from the absorbing velocity classes into the nonabsorbing state. Since the recoil of the last spontaneous-emission photon is part of the cooling mechanism, the one-photon recoil energy is not a limit, and the final temperature is limited only by the coherent interaction time. Note also that, unlike other cooling schemes, our mechanism, based on a Raman resonance condition, does not depend on the sign of the laser detuning.

However, the previous analysis is too crude. Since the two laser waves propagate in opposite directions, the phases of the two electric fields, and consequently the phases of the two excitation amplitudes \( g_+ \rightarrow e_0 \) and \( g_- \rightarrow e_0 \), vary as \( \exp(\text{i}kz) \) and \( \exp(-\text{i}kz) \), respectively. It follows that, for an atom at \( z \), the nonabsorbing superposition of states must be written as

\[
\frac{1}{\sqrt{2}} [\exp(\text{i}kz)|g_+\rangle - \exp(-\text{i}kz)|g_-\rangle]
\]

and depends on \( z \). On the other hand, when the atoms get very cold (\( \Delta p \ll \hbar k \)), their coherence length becomes large compared with \( \lambda \), and it is no longer possible to restrict the discussion to atoms localized at a given \( z \). This shows that the nonabsorbing state must actually be described by an extended spinor or vector wave function of the type of expression (2.1), which exhibits strong correlations between internal and external degrees of freedom. A more rigorous analysis thus requires the introduction of a basis of states involving both internal and translational quantum numbers.
and that we expand the atomic state vector (or density matrix) on such a basis. That is what we do in Section 3.

3. CLOSED FAMILIES OF STATES COUPLED BY ABSORPTION AND STIMULATED EMISSION

A. Physical Idea and Notation
Let us introduce the state \(|e_0, p\rangle\), which represents an atom in the excited state \(e_0\) with a linear momentum \(p\) along \(Oz\) (\(p_{\text{st}} = p\), where \(p_{\text{st}}\) is the atomic momentum). Because of angular-momentum conservation, the interaction with the \(\sigma_+\) circularly polarized wave (stimulated emission or absorption) can couple together only \(|e_0, p\rangle\) and \(|g, p\rangle\). On the other hand, because of linear-momentum conservation, such an interaction with a wave propagating toward \(+Oz\) involves the exchange of a photon of momentum \(+\hbar k\) and thus can couple only \(|e_0, p\rangle\) and \(|g, p - \hbar k\rangle\). Similarly, the interaction of the atom with the \(\sigma_-\) circularly polarized wave propagating toward \(-Oz\) can couple only \(|e_0, p\rangle\) and \(|g, p + \hbar k\rangle\) [Fig. 1(b)].

We are thus led to introduce a family of three states coupled by absorption or stimulated emission:

\[
\mathcal{F}(p) = \{|e_0, p\rangle, |g, p - \hbar k\rangle, |g, p + \hbar k\rangle\}. \tag{3.1}
\]

As long as spontaneous emission is not taken into account, this is a closed family of coupled states.

When considering the evolution of the density-matrix elements due to absorption and stimulated emission, strong selection rules appear. For instance, \(\langle e_0, p'|\sigma|e_0, p\rangle\) is coupled only to \(\langle g, p' \pm \hbar k|\sigma|e_0, p\rangle\) and \(\langle e_0, p'|\sigma|g, p\rangle\). A further simplification happens because all the interesting quantities that we need to calculate (see Section 5 below) are terms such as \(\langle e_0, p|\sigma|e_0, p\rangle, \langle g, p|\sigma|g, p\rangle, \langle g, p - \hbar k|\sigma|g, p\rangle\), and \(\langle g, p + \hbar k|\sigma|g, p + \hbar k\rangle\). These terms are coupled only to terms internal in the family. For example, \(\langle e_0, p|\sigma|e_0, p\rangle\) is coupled only to \(\langle g, p + \hbar k|\sigma|e_0, p\rangle\) and \(\langle e_0, p|\sigma|g, p + \hbar k\rangle\). In summary, the evolution equations relevant to the problem under discussion will involve only density-matrix elements defined inside a family \(\mathcal{F}(p)\). For such elements, we use the simplified notation,

\[
\sigma_{ee}(p) = \langle e_0, p|\sigma|e_0, p\rangle, \tag{3.2a}
\]

\[
\sigma_{gg}(p) = \langle g, p + \hbar k|\sigma|g, p + \hbar k\rangle, \tag{3.2b}
\]

\[
\sigma_{eg}(p) = \langle e_0, p|\sigma|g, p + \hbar k\rangle, \tag{3.2c}
\]

\[
\sigma_{ge}(p) = \langle g, p + \hbar k|\sigma|e_0, p\rangle^*. \tag{3.2d}
\]

We show below that, although spontaneous emission couples different families, it involves only coupling with terms of the type defined in Eqs. (3.2). For instance, \(\sigma_{ee}(p)\) can decay only to terms such as \(\sigma_{gg}(p')\) and \(\sigma_{eg}(p')\). The elements defined in Eqs. (3.2) are thus the only ones that we have to consider.

Remarks

(i) The notion of closed families of states is central in the analysis presented in this paper. It must be emphasized that closed families exist only for specific level schemes and laser wave configurations. In the standard situation when a two-level atom interacts with two counterpropagating linearly polarized waves, \(|e, p\rangle\) is coupled to \(|g, p - \hbar k\rangle\) and \(|g, p + \hbar k\rangle\), which are themselves coupled to \(|e, p\rangle\), \(|e, p + 2\hbar k\rangle\), and \(|e, p + 2\hbar k\rangle\), etc. In such a situation, each family has an infinite number of coupled states. Families of this type have been already considered (see, for example, Ref. 15).

(ii) The quantity \(p\) appearing in Eq. (3.1) or Eqs. (3.2) is just a label used to index a family. We will see below that it can be interpreted as the total linear momentum (modulo \(\hbar k\)) of the atoms + laser field system, which is an invariant quantity of the family.

B. Evolution Equations
We now write the equations describing the evolution of the atom interacting with the laser field, taken as a classical field. Here we do not yet take spontaneous emission into account, and we consider only absorption and stimulated-emission processes. The corresponding Hamiltonian is the sum of two parts:

\[
H = H_A + V, \tag{3.3}
\]

where \(H_A\) is the Hamiltonian of the free atom and \(V\) is the laser–atom coupling. \(H_A\) is the sum of the kinetic and internal energies:

\[
H_A = \frac{p^2}{2M} + \hbar \omega_0 |e_0\rangle \langle e_0|. \tag{3.4}
\]

In order to simplify the equations, we consider here the case when the two ground states \(|g_+\rangle\) and \(|g_-\rangle\) have the same internal energy, taken equal to zero. The formalism developed in this paper could easily be generalized to the case when the energies \(E_{g_+}\) and \(E_{g_-}\) are different, and the physics would be the same provided that the two laser frequencies differ by \((E_{g_+} - E_{g_-})/\hbar\).

The coupling Hamiltonian is

\[
V = -D \cdot E(z, t), \tag{3.5}
\]

where \(D\) is the electric-dipole-moment operator and \(E(z, t)\) is the classical electric field:

\[
E(z, t) = \frac{1}{\sqrt{2}} \epsilon_+ E_+ \exp[i(kz - \omega t)] + c.c. + \frac{1}{\sqrt{2}} \epsilon_- E_- \exp[i(kz + \omega t)] + c.c., \tag{3.6}
\]

where \(c.c.\) is the complex conjugate). The first term corresponds to a \(\sigma_+\) circularly polarized wave propagating toward \(z > 0\), while the second one corresponds to a \(\sigma_-\) circularly polarized wave propagating toward \(z < 0\) \((\epsilon_\pm = \epsilon_{\pm}(\epsilon_\pm \pm \epsilon_\mp))/\sqrt{2}\).

The coupling of the atom with each of these waves is characterized by the Rabi frequencies \(K_+\) and \(K_-\):

\[
K_\pm = \frac{d_\pm E_\pm}{\hbar}, \quad d_\pm = \langle e_0|\epsilon_{\pm} \cdot D|g_\pm\rangle. \tag{3.7a}
\]

Note the selection rules

\[
\langle e_0|\epsilon_+ \cdot D|g_+\rangle = \langle e_0|\epsilon_- \cdot D|g_-\rangle = 0, \tag{3.7b}
\]

which can be interpreted in terms of conservation of angular momentum. With the rotating wave approximation, \(V\) can be written as
These equations generalize the usual optical Bloch equations by including external quantum numbers. We have called $\omega_L = \hbar k^2/2M$ the recoil frequency shift and $\delta_L = \omega_L - \omega$ is the laser detuning. Note that $kp/M$ is the Doppler shift associated with the velocity $p/M$.

C. Velocity-Selective Coherent Population Trapping

The evolution equations [Eqs. (3.11)] allow us to understand how coherent population trapping is velocity selective in the configuration considered here. Let us consider the following two orthogonal linear combinations of $|g_i, p + h\hbar\rangle$ and $|g_i, p - h\hbar\rangle$:

$$|\psi_{NC}(p)\rangle = \frac{K_-}{(|K_+|^2 + |K_-|^2)^{1/2}} |g_i, p - h\hbar\rangle$$

$$|\psi_C(p)\rangle = \frac{K^*_+}{(|K_+|^2 + |K_-|^2)^{1/2}} |g_i, p + h\hbar\rangle.$$

The reason for introducing $|\psi_{NC}(p)\rangle$ is that, according to Eq. (3.9), the transition matrix element between $|\psi_{NC}(p)\rangle$ and $|e_0, p\rangle$ vanishes:

$$\langle e_0, p | V | \psi_{NC}(p) \rangle = 0.$$  

Consequently, an atom in the noncoupled state $|\psi_{NC}(p)\rangle$ cannot absorb a laser photon, and it cannot be excited to $|e_0, p\rangle$. A similar calculation gives

$$\langle e_0, p | V | \psi_C(p) \rangle = \frac{\hbar}{2} (|K_+|^2 + |K_-|^2)^{1/2} \exp(-i\omega_L t).$$

and shows that $|\psi_C(p)\rangle$ is coupled to the excited state.

We now suppose that an atom has been prepared at a certain time in $|\psi_{NC}(p)\rangle$, and we study its subsequent evolution. Equations (3.11) and (3.12) lead to the following equation of motion for $\langle \psi_{NC}(p) | \sigma | \psi_{NC}(p) \rangle$:

$$\frac{d}{dt} \langle \psi_{NC}(p) | \sigma | \psi_{NC}(p) \rangle = -ikp \frac{2K_+K_-}{|K_+|^2 + |K_-|^2} \times \langle \psi_{NC}(p) | \sigma | \psi_C(p) \rangle + \text{c.c.}.$$

Suppose first that $p = 0$. The right-hand side of Eq. (3.14) then vanishes. This means that an atom prepared in $|\psi_{NC}(0)\rangle$ cannot leave this state either by free evolution (effect of the free Hamiltonian $H_0$) or by absorption of a laser photon (effect of the laser–atom coupling $V$). Although we have not yet taken spontaneous emission into account, it is clear also that the atom cannot leave $|\psi_{NC}(0)\rangle$ by spontaneous emission since this state is, according to Eq. (3.12a), a linear combination of two ground states $|g_+\rangle$ and $|g_-\rangle$, which are both radiatively stable. To conclude, the state $|\psi_{NC}(0)\rangle$ is a perfect trap since an atom prepared in this state remains there indefinitely.

On the other hand, if $p \neq 0$, Eq. (3.14) shows that there is a coupling proportional to $kp/M$ (coming from the free Hamil-
tonian $H_A$) between $|\psi_{NC}(p)\rangle$ and $|\psi(p)\rangle$. This means that an atom initially in $|\psi_{NC}(p)\rangle$ can be transferred by $H_A$ to $|\psi(p)\rangle$ and from there to $|e_0, p\rangle$ by $V$ [see Eq. (3.13b)]. The state $|\psi_{NC}(p)\rangle$ cannot therefore be considered a perfect trap when $p \neq 0$, since excitation by the laser can take place after an intermediate transition to $|\psi(p)\rangle$. Interpreting $p/M$ as the atomic velocity in the excited state of the family $\mathcal{F}(p)$, we thus see that coherent population trapping in $|\psi_{NC}(p)\rangle$ is velocity selective, since it happens only for $p = 0$.

The motional coupling between $|\psi_{NC}(p)\rangle$ and $|\psi(p)\rangle$ appearing in Eq. (3.14) can also be interpreted by noticing that when $p \neq 0$ the kinetic energies of $|g_-, p - h\ell\rangle$ and $|g_+, p + h\ell\rangle$ differ by $2hkp/M$. It appears clearly from Eqs. (3.12) that, in this case ($p \neq 0$), $|\psi_{NC}(p)\rangle$ and $|\psi(p)\rangle$ are not stationary with respect to $H_A$; consequently $H_A$ induces an oscillation between these two states. It is easy to show that the Rabi frequency of this oscillation is just $2k/M$, which is also the beat note between the two Doppler-shifted laser frequencies. The visibility of this oscillation is maximum (equal to 1) when the intensities are equal ($|K_+| = |K_-|$).

Remarks
(i) The various couplings between $|\psi_{NC}(p)\rangle$, $|\psi_{NC}(p)\rangle$, and $|e_0, p\rangle$ due to $H_A$ and $V$ are represented in Fig. 2. $|\psi(p)\rangle$ and $|\psi_{NC}(p)\rangle$ are coupled by the motional term $hkp/M$; $|\psi(p)\rangle$ and $|e_0, p\rangle$ are coupled by the atom–laser interaction $K/M$ (here we take $K_+ = K_- = K$). Although we have not yet introduced spontaneous emission, we know that $|e_0, p\rangle$ has a natural width $\Gamma$. It follows that for a resonant excitation ($\delta_1 = 0$), and in the weak-intensity limit ($K \ll \Gamma$), the Rabi coupling $K/\sqrt{2}$ between $|\psi_{NC}(p)\rangle$ and the broad state $|e_0, p\rangle$ gives to the state $|\psi(p)\rangle$ a finite width

$$\Gamma' = 2K^2/\Gamma. \quad (3.15)$$

The same argument shows that the motional coupling $hkp/M$ between $|\psi_{NC}(p)\rangle$ and the state $|\psi(p)\rangle$ with a width $\Gamma'$ gives to $|\psi_{NC}(p)\rangle$ a finite width $\Gamma''$, which, in the limit $hkp/M \ll \Gamma'$, is equal to

$$\Gamma'' = 2(kh/M)^2/\Gamma. \quad (3.16)$$

$\Gamma''$ is the probability per unit time of an atom's leaving the state $|\psi_{NC}(p)\rangle$. The smaller $p$, the longer the time an atom can be trapped in $|\psi_{NC}(p)\rangle$. Consider an interaction time $\Theta$. Only atoms with $p$ such that $\Gamma\Theta < 1$, i.e., such that

$$\left(\frac{hkp}{M}\right)^2 < \frac{K^2}{2\Theta},$$

(3.17)

can remain trapped in the noncoupled state during $\Theta$.

(ii) One can give a classical picture of velocity-selective coherent population trapping for the situation considered here. The electric field $|\psi_{NC}(p)\rangle$ is linearly polarized at every point, with the direction of polarization changing with $z$ as a helix of pitch $\lambda$. On the other hand, for a state $|\psi_{NC}(p)\rangle$ the transition electric-dipole moment between the state $|\psi_{NC}(p)\rangle$ and the excited state $|e_0, p\rangle$ also makes a helix with the same pitch $\lambda$, orthogonal everywhere to the electric field, so that the coupling is zero. For a state $|\psi_{NC}(p)\rangle$ the transition-dipole moment makes a similar helix shifted by $\lambda/4$, and it is parallel everywhere to the electric field, so that the coupling is maximum. Suppose now that an atom is in the state $|\psi_{NC}(p)\rangle$ at a given time; the transition-dipole-moment helix will move along $\Omega z$ with a velocity $p/M$, so that the probability of the atom's being in $|\psi(p)\rangle$ (i.e., to be excited to $|e_0, p\rangle$) will be modulated at the frequency $2kp/M$. If $p = 0$, the transition electric-dipole-moment helix does not move. It remains orthogonal to the electric-field helix indefinitely, and the atom cannot be excited to $|e_0, p\rangle$; it is thus trapped in $|\psi_{NC}(0)\rangle$.

4. SPONTANEOUS EMISSION

A. Redistribution among Families

In Section 3 we showed that an atom prepared in $|\psi_{NC}(0)\rangle$ cannot leave this state by any process. We now have to explain how atoms can be prepared in such a state. In this respect, spontaneous emission plays a basic role since it allows atoms to jump from one family to another one. In particular, atoms can be optically pumped from a family $\mathcal{F}(p \neq 0)$ into the family $\mathcal{F}(p = 0)$ where they may get trapped in the $|\psi_{NC}(0)\rangle$ state.

Consider an atom in the excited state $|e_0, p\rangle$ of the family $\mathcal{F}(p)$. It can emit by spontaneous emission a fluorescence photon in any direction. Suppose that the fluorescence photon has a linear momentum $u$ along $\Omega z$ ($u$ can take any value between $-h\ell$ and $+h\ell$). Because of the law of momentum conservation, the atomic momentum changes by $-u$, so that, in such a process, the atom makes a transition from $|e_0, p\rangle$ to $|g_-, p - u\rangle$ [Fig. 3(a)] or to $|g_-, p - u\rangle$ [Fig. 3(b)] or to a linear superposition of these two states. Note that the two states $|g_-, p - u\rangle$ do not in general belong to the same family as $|e_0, p\rangle$: $|g_+, p - u\rangle$ belongs to $\mathcal{F}(p - u - h\ell)$ and $|g_-, p - u\rangle$ to $\mathcal{F}(p - u + h\ell)$ (see Fig. 3). Spontaneous emission can thus redistribute atoms from the family $\mathcal{F}(p)$ to any family $\mathcal{F}(p')$ with

$$p - 2h\ell \leq p' \leq p + 2h\ell. \quad (4.1)$$

This diffusion in the family space provides the mechanism for accumulating atoms in the family $\mathcal{F}(p = 0)$.

B. Corresponding Terms in the Master Equation

The first effect of spontaneous emission is the usual damping of populations and coherences involving the excited state $|\psi(p)\rangle$. The population of the excited state $|\psi(p)\rangle$ is

$$\rho_{\psi(p)} = \frac{1}{2} |\psi(p)\rangle\langle\psi(p)|,$$

and its damping rate is

$$\frac{d\rho_{\psi(p)}}{dt} = -\gamma \rho_{\psi(p)}.$$

The coherence between the excited state $|\psi(p)\rangle$ and the ground state $|\psi(p)\rangle$ is

$$\rho_{\psi_{NC}(p)} = \frac{1}{2} |\psi_{NC}(p)\rangle\langle\psi_{NC}(p)|,$$

and its damping rate is

$$\frac{d\rho_{\psi_{NC}(p)}}{dt} = -\gamma_{\psi_{NC}(p)} \rho_{\psi_{NC}(p)}.$$

The coherence between the excited state $|\psi_{NC}(p)\rangle$ and the ground state $|\psi_{NC}(p)\rangle$ is

$$\rho_{\psi_{NC}(p)} = \frac{1}{2} |\psi_{NC}(p)\rangle\langle\psi_{NC}(p)|,$$

and its damping rate is

$$\frac{d\rho_{\psi_{NC}(p)}}{dt} = -\gamma_{\psi_{NC}(p)} \rho_{\psi_{NC}(p)}.$$
Fig. 3. Redistribution among families by spontaneous emission. Spontaneous emission of a photon with linear momentum \( u \) along \( Oz \) (wavy lines) can bring an atom from the family \( \mathcal{F}(p) \) (solid lines) to the family \( \mathcal{F}(p-u) \) [dashed lines in (a)] or to the family \( \mathcal{F}(p+u) \) [dashed lines in (b)]. Each state is represented by a point with an abscissa equal to its atomic momentum along \( Oz \) and by its internal quantum number \( e_0 \) (upper horizontal line) or \( g_+ \) (lower horizontal line). The label of a family is the atomic momentum of its excited state.

\[
\begin{align*}
\sum_{\gamma} \frac{d}{dt} \sigma_{ee}(p)_{\gamma} &= -\Gamma \sigma_{ee}(p), \\
\sum_{\gamma} \frac{d}{dt} \sigma_{ee}(p)_{\gamma} &= \frac{\Gamma}{2} \sigma_{ee}(p), \\
\sum_{\gamma} \frac{d}{dt} \sigma_{ee}(p)_{\gamma} &= -\frac{\Gamma}{2} \sigma_{ee}(p).
\end{align*}
\]

The corresponding feeding terms in the ground state must take into account the redistribution among families introduced above. Consider, for example, \( \frac{d\sigma_{e+}(p)/dt}_{sp} \), which gives the rate at which \( |g_+, p + h\kappa\rangle \) can be populated by spontaneous emission. Such a state is populated from \( |e_0, p + h\kappa + u\rangle \) [see Fig. 3(a)] with a rate \( \Gamma_+ H(u) \), where \( H(u) \) is the normalized probability

\[
\int_{-h\kappa}^{+h\kappa} du H(u) = 1
\]

that the emitted photon has a momentum \( u \) along \( Oz \) and \( \Gamma_+ \) is the deexcitation rate from the excited state \( e_0 \) to the state \( g_+ \); the oscillator strength of the transition \( e_0 \rightarrow g_+ \) having been taken into account:

\[
\Gamma_+ = \Gamma/2.
\]

Summing over \( u \), one gets\(^{17}\)

\[
\sum_{\gamma} \frac{d}{dt} \sigma_{ee}(p)_{\gamma} = \frac{\Gamma}{2} \int_{-h\kappa}^{+h\kappa} du H(u) \sigma_{ee}(p + h\kappa + u).
\]

A similar argument [see Fig. 3(b)] gives

\[
\sum_{\gamma} \frac{d}{dt} \sigma_{ee}(p)_{\gamma} = \frac{\Gamma}{2} \int_{-h\kappa}^{+h\kappa} du H(u) \sigma_{ee}(p + u - h\kappa).
\]

The kernel \( H(u) \) depends on the radiation pattern for the \(|e_0 \rightarrow g_+\rangle \) transitions.\(^{18}\) For instance, in the \(|J = 1, m = 0\rangle \rightarrow |J = 1, m = \pm 1\rangle \) transition considered in Ref. 4,

\[
H(u) = \frac{3}{8} \frac{1}{\hbar \kappa} \left( 1 + \frac{u^2}{\hbar^2 \kappa^2} \right).
\]

The possibility of feeding the coherences of the ground state \( \sigma_{--}(p) \) must also be considered. In fact, we are dealing here with Zeeman ground sublevels, and it is well known that such coherences can be fed only by corresponding coherences in the excited state. But here there is only one populated excited state, so we have no feeding term for these ground Zeeman coherences. More precisely, spontaneous emission of a photon \( h\kappa \) in a well-defined direction (and with a well-defined polarization) from the excited state \( |e_0, p\rangle \) will give rise to a well-defined coherence between \( |g_+, p - h\kappa\rangle \) and \( |g_-, p - h\kappa\rangle \). But, if we average over the azimuthal angle \( \phi \) of \( \kappa \), keeping the angle \( \theta \) between \( Oz \) and \( \kappa \) constant, and if we trace over the components of the atomic momentum perpendicular to \( Oz \) (which are not observed), we find that the coherence between \( |g_+, p - u\rangle \) and \( |g_-, p - u\rangle \) (where \( p = p_\parallel \) and \( u = h\kappa \cos \theta \)) vanishes. This is a consequence of the invariance of spontaneous emission in a rotation around \( Oz \).

We must also discuss the question of external coherences, i.e., terms such as

\[
\langle g_-, p'|\sigma|g_-, p'' \rangle.
\]

We can show that because of translational invariance for spontaneous emission in free space, such a term could be fed only by a corresponding coherence in the excited state, i.e., by a term

\[
\langle e, p' - u|\sigma|e, p'' - u \rangle.
\]

In the problem considered here, we start from an initial distribution of atoms in the ground states \( |g_-, p'\rangle \) and \( |g_-, p''\rangle \), without any coherence between such terms. The coupling [Eq. (3.9)] cannot create external coherences in the excited state from such an initial state, and we can thus conclude that spontaneous emission will not feed external coherences in the ground state.

We have thus justified the statement of Subsection 3A according to which the only density-matrix elements relevant to our problem are the elements defined in Eqs. (3.2), i.e., density-matrix elements defined inside a family \( \mathcal{F}(p) \).

We can also conclude that Eqs. (4.2) and (4.3) describe correctly the effect of spontaneous emission for the problem discussed in this paper.

C. Mechanism for Accumulating Atoms in the Trapping State

As is shown by Eqs. (4.3a) and (4.3b), spontaneous emission provides the mechanism for accumulating atoms in the trapping state: indeed, an atom in the excited state \( |e_0, p\rangle \) with \( 0 \leq p \leq 2h\kappa \) can decay by spontaneous emission into \( |g_+, p + h\kappa\rangle \), which increases \( \sigma_{e+}(p = 0) \) [see Fig. 3(a)]. Similarly, \( |g_-, -h\kappa\rangle \) (corresponding to \( \sigma_{--}(p = 0) \)) may be populated from any excited state \( |e_0, p\rangle \) with \( -2h\kappa \leq p \leq 0 \).

Note, however, that although each of these ground states belongs to the \( \mathcal{F}(p = 0) \) family, an atom in \( |g_+, p + h\kappa\rangle \) or in \( |g_-, -h\kappa\rangle \) is not yet in the trapping state \( |\psi_{NC}(0)\rangle \). This requires a further step, namely, filtering in the state space. Take, for
instance, an atom in \( |g_-, -\hbar k\rangle \). It can be considered as being in a linear superposition of \( |\psi_{NC}(0)\rangle \) and \( |\psi_{C}(0)\rangle \):

\[
|g_-, -\hbar k\rangle = \frac{1}{\sqrt{2}} \left( |\psi_{NC}(0)\rangle + |\psi_{C}(0)\rangle \right)
\]

(4.4)

[see Eqs. (3.12) in which \( K^+ = K^- \) and \( p = 0 \)]. While \( |\psi_{NC}(0)\rangle \) is perfectly stable, \( |\psi_{C}(0)\rangle \) is not, since it may get excited through interaction with the lasers at a rate \( \Gamma' \) [Eq. 3.15]. After a time long compared with \( \Gamma'^{-1} \), the atom will either be in \( |\psi_{NC}(0)\rangle \), where it will remain trapped, or it will be involved in some new fluorescence cycles. This filtering process thus leaves 50% of the atoms in the trapping state \( |\psi_{NC}(0)\rangle \), while the other 50% resume a sequence of fluorescence cycles. The physical mechanism involved in this filtering is the Raman interaction that builds up the coherence between \( |g_-, -\hbar k\rangle \) and \( |g_+, +\hbar k\rangle \) that is characteristic of \( |\psi_{NC}(0)\rangle \).

The reason why \( |\psi_{NC}(0)\rangle \) cannot be directly populated from \( |e_0, p\rangle \) by spontaneous emission is related to the conservation of linear momentum. Just after the spontaneous emission of a photon with momentum \( u \), along \( Oz \), an atom starting from \( |e_0, p\rangle \) has its momentum changed from \( p \) to \( p - u \). On the other hand, \( |\psi_{NC}(0)\rangle \) is not an eigenstate of the atomic momentum \( P_{at}^\prime \). It follows that the spontaneous emission of a photon with momentum \( u \) along \( Oz \) cannot connect \( |e_0, p\rangle \) to both states \( |g_+, +\hbar k\rangle \) and \( |g_-, -\hbar k\rangle \).

One may wonder how to deal with linear-momentum conservation during the second step, i.e., during the filtering process. In fact, the laser fields have been considered here as external classical fields, and there is no isolated system in which one can look for momentum conservation. We could indeed generalize our treatment by quantizing the laser fields. In such a treatment, one finds that the three states of a given family have the same total linear momentum (sum of the atomic and laser field linear momentum) equal to the label \( p \) of the family, modulo \( \hbar k \). The filtering process, leading from \( |g_-, -\hbar k\rangle \) with the laser field in a certain quantum state to \( |\psi_{NC}(0)\rangle \) with the laser field in a different state, conserves the total linear momentum.

5. EVOLUTION OF THE ATOMIC MOMENTUM DISTRIBUTION

A. Initial State

For the initial atomic state, we take a statistical mixture of the two ground states \( g_+ \) and \( g_- \) with the same momentum distribution along \( Oz \):

\[
\mathcal{P}_{+0} (p^*_{at}) = \mathcal{P}_{-0} (p^*_{at}) \tag{5.1}
\]

The initial density matrix elements are thus equal to zero, except for \( \sigma_{++} \) and \( \sigma_{--} \):

\[
\begin{align*}
\sigma_{++}(p) &= \mathcal{P}_{+0} (p + \hbar k), \\
\sigma_{--}(p) &= \mathcal{P}_{-0} (p - \hbar k), \\
\sigma_{+0}(p) &= 0, \\
\sigma_{-+}(p) &= \sigma_{++}(p) = \sigma_{--}(p) = 0. \tag{5.2}
\end{align*}
\]

The assumption that there are no coherences and that the momentum distributions are the same in the two ground-state sublevels is quite natural for atoms in an atomic beam emerging from a nozzle. However, in the real experiment there is also an initial population in the \( m = 0 \) ground sublevel that will be optically pumped into \( g_+ \) and \( g_- \); in some circumstances (laser detuning different from 0) the resulting distributions may be dissymmetric, and condition (5.1) may not be fulfilled in some experiments. However, we keep such a condition in the subsequent calculation since it allows us to extract simply the most important features of the new cooling process.

B. Master Equation: Generalized Optical Bloch Equations

Adding the terms found in Section 3 and Subsection 4.B, we get the equations governing the evolution of the density-matrix elements:

\[
\frac{d\sigma}{dt} = \left( \frac{d\sigma}{dt} \right)_{\text{Ham}} + \left( \frac{d\sigma}{dt} \right)_{\text{sp}} \tag{5.3}
\]

where the first term [Eqs. (3.11)] is the Hamiltonian evolution corresponding to free evolution and atom–laser coupling. The second term [Eqs. (4.2) and (4.3)] corresponds to spontaneous emission.

In spite of the fact that internal and external degrees of freedom are treated completely quantum mechanically, this set of equation is remarkably simple, and it is well adapted for a numerical step-by-step time integration. Note in particular that the finite momentum exchange \( \hbar k \) (recoil) is accounted for in all atom–field interactions, although it does not appear explicitly in the atom–laser interaction because of the concise notations [Eqs.(3.2)].

C. Final Atomic Distribution

We are interested in the atomic linear-momentum distribution along \( Oz \) at the end of the interaction with the lasers, whatever the internal state of the atoms may be. This distribution is

\[
\mathcal{P}(p^*_{at}) = \sigma_{++} (p^*_{at} + \hbar k) + \sigma_{--} (p^*_{at} + \hbar k) + \sigma_{++} (p^*_{at}). \tag{5.4}
\]

We can predict the shape of this distribution by using the results of Sections 3 and 4. Velocity-selective coherent population trapping consists in accumulating atoms around the trapping state:

\[
|\psi_{NC}(0)\rangle = \frac{1}{\sqrt{2}} \left( |g_-, -\hbar k\rangle - |g_+, +\hbar k\rangle \right) \tag{5.5}
\]

[see Eq. (3.12a) with \( K^+ = K_- \) and \( p = 0 \)].

First consider atoms trapped in \( |\psi_{NC}(0)\rangle \). This state is not an eigenstate of the linear-momentum operator, and a linear-momentum measurement will yield either \( p^*_{at} = +\hbar k \) or \( p^*_{at} = -\hbar k \) with equal probability (case \( K^+ = K_- \)). The corresponding atomic-momentum distribution \( \mathcal{P}(p^*_{at}) \) is a double Dirac peak at \( \pm \hbar k \) [solid vertical lines of Fig. 4(a)].

For such atoms, the distribution of the population of the noncoupled states \( |\psi_{NC}(0)\rangle \) is a single Dirac peak at \( p = 0 \) [solid vertical line of Fig. 4(b)].

Now consider atoms in \( |\psi_{NC}(p)\rangle \) with \( p \) close to 0. Their atomic-momentum distribution \( \mathcal{P}(p^*_{at}) \) is a shifted double Dirac peak at \( p^*_{at} = p \pm \hbar k \) [dashed vertical lines of Fig. 4(a)].

The corresponding distribution of \( |\psi_{NC}(p)\rangle \) exhibits a single Dirac peak with the same shift [dashed vertical line of Fig. 4(b)].

We can then predict the atomic-momentum distribution
after an interaction time $\theta$. As a consequence of inequality (3.17), atoms are accumulated in states $|\psi_{NC}(p)\rangle$ with $p$ in a narrow band around $p = 0$ with a width $\delta p$ of the order of

$$\delta p \approx \frac{M K}{k_0^2 \sqrt{\theta}}.$$  

(5.6)

The corresponding atomic-momentum distribution $\mathcal{P}(p_{at}^*\) will thus exhibit two peaks of width $\delta p$ around $p_{at}^* = \pm \hbar k$ [Fig. 4(a)]. Finally, these two peaks will merge over a broad background corresponding to atoms in the states $|\psi_c(p)\rangle$.

6. NUMERICAL ANALYSIS AND DISCUSSION OF THE RESULTS

We have obtained numerical solutions of the generalized optical Bloch equations with internal and external degrees of freedom [Eq. (5.3)], making use of the convenient $p$ family basis introduced above. We have used the parameters corresponding to the experiment on the transition $2^3S_{1/2} - 2^3P_{1/2}$ at $\lambda = 1.083 \mu m$ of $^4$He atoms ($\Gamma/2\pi = 1.6$ MHz).

A. Numerical Procedure

The time evolution of the density-matrix elements is obtained by incrementation starting from the initial condition of Eq. (5.1). The time increment is typically 0.05 $\Gamma^{-1}$, small enough to have no artificial instabilities introduced by the incrementation.

The $p$ variable is discretized in intervals $\epsilon = \hbar k/30$, between $-p_{max}$ and $+p_{max}$ (typically $p_{max} = 30 \hbar k$). These values have been chosen in order to fulfill the following requirements: First, $\epsilon$ must be small compared with the narrowest structure appearing in the $p$ dependence of the solution of Eq. (5.3). Second, $p_{max}$ must be large enough that the interesting part of the solution (near $p = 0$) is not affected by the truncation of the $p$ range. We have chosen $p_{max} = 30 \hbar k$ so that, for the largest value of $\theta$ considered here ($\theta = 1000 \Gamma^{-1}$), the effect of momentum diffusion from $p$ values larger than $p_{max}$ to $p = 0$ is negligible.

B. Time Evolution of the Momentum Distribution

Figure 5 represents the final atomic-momentum distribution $\mathcal{P}(p_{at}^*)$ for four different time intervals ($\theta \Gamma = 50, 150, 400, 1000$). We have taken a zero detuning ($\delta \omega = \omega_L - \omega_0 = 0$), a Rabi frequency $K = |K_+| = |K_-| = 0.3 \Gamma$, and a Gaussian initial distribution with a standard half-width at exp$(-1/2)$: $\Delta p_0 = 3 \hbar k$. For $\theta$ large enough, $\mathcal{P}(p_{at}^*)$ exhibits two resolved peaks emerging at $\pm \hbar k$ above the initial distribution. This is the signature of the new cooling scheme. It is remarkable that, for $\theta = 150 \Gamma^{-1}$, the cooling effect already appears. When the interaction time increases, the two peaks become narrower and higher.

Figure 6 shows on a larger momentum interval the shape of the right wing of $\mathcal{P}(p_{at}^*)$ (the curve is symmetrical) at the initial and final times. Besides the cooling effect, one sees that a fraction of atoms has diffused toward higher momentum values, which is in agreement with the physical picture of a diffusion in momentum space produced by spontaneous emission.

In order to visualize the accumulation of atoms in $|\psi_{NC}(p)\rangle$ with $p$ close to 0, we have also calculated the populations $\langle \psi_{NC}(p) | \sigma | \psi_{NC}(p) \rangle$ and $\langle \psi_c(p) | \sigma | \psi_c(p) \rangle$. Figure 7 shows the...
the width of each of the two peaks of Fig. 5(d), to define a temperature. Since we do not address the question of a Gaussian shape for this distribution, we will not give a precise value for the temperature. We can nevertheless note that the peak half-width may become much smaller than the one-photon recoil, corresponding to a temperature below the recoil energy.

We have plotted the half-width $\Delta p$ [taken arbitrarily at $\exp(-1/2)$ after subtraction of the broad background] as a function of the interaction time $\theta$ [Fig. 8(a)] and of the Rabi frequency $K$ [Fig. 8(b)]. The results obtained are in good agreement with a simple model based on relation (5.6), which predicts a width varying as $K\theta^{-1/2}$.

Remark

To characterize the temperature, one could also calculate the mean kinetic energy of the momentum distribution. We do not think that such a quantity would be appropriate for defining a temperature since, even if all atoms were in the pure state $|\psi_{NC}(0)\rangle$, their kinetic energy would be nonzero and equal to the recoil energy $E_R$, although this situation obviously corresponds to a zero temperature.

D. Unbalanced Laser Beams

Figure 9 shows the atomic-momentum distribution for unequal Rabi frequencies ($K_+ \neq K_-\Gamma$). The peak height difference is easily interpreted: when $K_+ \neq K_-\Gamma$, the coefficients of the expansion of the trapping state $|\psi_{NC}(0)\rangle$ on $|g_+, +h\hbar\rangle$ and $|g_-, -h\hbar\rangle$ [Eq. (3.12a)] have different moduli.

C. Peak Width, Temperature

In order to characterize the cooling process, we define a temperature in terms of the width of a momentum distribution. According to the discussions above, the cooled atoms are in states $|\psi_{NC}(p)\rangle$ with a distribution of $p$ values shown in Fig. 7. We use the width of this distribution, which is also

resulting distribution of $\langle \psi_{NC}(p) | | \psi_{NC}(p) \rangle$ for the same parameters as in Fig. 5(d) [at this scale, $\langle \psi_{C}(p) | | \psi_{C}(p) \rangle$ is so small that it would not be visible]. The sharp peak near $p = 0$ appearing in the $|\psi_{NC}(p)\rangle$ population is clearly related to the double peak with the same width in the atomic-momentum distribution. The big difference between $\langle \psi_{NC}(p) | | \psi_{NC}(p) \rangle$ and $\langle \psi_{C}(p) | | \psi_{C}(p) \rangle$ near $p = 0$ shows that the coherence between $|\psi_{NC}(p)\rangle$ and $|\psi_{C}(p)\rangle$ is very small. In such a situation, the atomic distribution in the peaks can be considered a statistical mixture of $|\psi_{NC}(p)\rangle$ and $|\psi_{C}(p)\rangle$. We have checked that, outside the peak of Fig. 7, the populations $\langle \psi_{NC}(p) | | \psi_{NC}(p) \rangle$ and $\langle \psi_{C}(p) | | \psi_{C}(p) \rangle$ are almost equal.

Fig. 7. Atomic population in the noncoupled states $|\psi_{NC}(p)\rangle$ in the same situation as for Fig. 5(d). The peak height is twice as large, and the width is the same as in one peak of Fig. 5(d). At this scale, the population in $|\psi_{C}(p)\rangle$ would not be visible.

Fig. 8. Half-width of the peaks (initial half-width $\Delta p_0 = 3\hbar\hbar$) vs. laser detuning $\delta_L = 0$: (a) $\Delta p$ for various interaction times $\theta$ for a Rabi frequency $K = 0.3\Gamma$; (b) $\Delta p$ as a function of the Rabi frequency $K = K_+ = K_-\Gamma$ for an interaction time $\theta = 1000\Gamma^{-1}$. These results show that $\Delta p$ in proportional to $\theta^{-1/2}$ and to $K$ (dashed lines) and thus confirm relation (5.6) for $\theta$ large enough that the two peaks are well separated.
does not depend strongly on the sign of $\delta_L$. This has to be contrasted with other schemes such as Doppler cooling, stimulated molasses, and polarization gradient cooling, which have a dispersion-like behavior.

The variation with $\delta_L$ of the height and width of the peaks can be interpreted by an extension of the perturbative calculation of Remark (i), Subsection 3.C, to a nonzero detuning. In this case, the width $\Gamma'$ of $|\psi_C(p)\rangle$ is changed [from Eq. (3.15)] to

$$\Gamma' = \left(K^2/2\right) \frac{\Gamma}{\delta_L^2 + \Gamma^2/4}. \quad (6.1)$$

In addition, $|\psi_C(p)\rangle$ undergoes a light shift$^{30}$

$$\delta' = \left(K^2/2\right) \frac{\delta_L}{\delta_L^2 + \Gamma^2/4}. \quad (6.2)$$

With these modifications taken into account, the motional coupling $kp/M$ between $|\psi_{NC}(p)\rangle$ and $|\psi_C(p)\rangle$ now gives to $|\psi_{NC}(p)\rangle$ a width $\Gamma''$:

$$\Gamma'' = \left(kp/M\right)^2 \frac{\Gamma'}{\delta'^2 + \Gamma''^2/4}. \quad (6.3)$$

Inserting Eqs. (6.1) and (6.2) into Eq. (6.3), we find that

$$\Gamma'' = \left(kp/M\right)^2 \frac{\Gamma}{K^2/2}, \quad (6.4)$$

which coincides with Eq. (3.16), showing that $\Gamma''$ does not depend on the detuning $\delta_L$. This explains why the peak width, which is determined by $\Gamma''$ [Remark (i) of Subsection 3.C], keeps the same value for the three curves of Fig. 10. On the other hand, Eq. (6.1) shows that $\Gamma'$ decreases when the detuning increases: the absorption rate for atoms in $|\psi_C(p)\rangle$ is then weaker, yielding a lower optical pumping rate into $|\psi_{NC}(0)\rangle$. This explains the smaller peak heights in Figs. 10(b) and 10(c).

Note finally that there are small differences between the curves corresponding to $\delta_L = +\Gamma$ and $\delta_L = -\Gamma$. These differences have not yet been interpreted.

### F. Efficiency of the Cooling Process

The cooling process is characterized not only by its ability to yield atoms in a narrow $p$ range but also by the accumulation of atoms in this range, leading to a final density (in $p$ space) larger than the initial one. The density at the center of the cooled distribution (near $p = 0$) is measured by the peak height.

We first considered the case of narrow initial distributions centered on $p = 0$. Figure 11(a) shows the evolution of the peak height as a function of the interaction time for an initial width of the momentum distribution $\Delta p_0 = \hbar k$. We have checked that, for the same total number of atoms, the evolution is almost independent of the width of the initial distribution, provided that this width is smaller than $2\hbar k$. An immediate interpretation is that each fluorescence cycle produces a redistribution in $p$ space over an interval $2\hbar k$. After a few fluorescence cycles, there is no memory of structures narrower than $2\hbar k$. In agreement with the interpretation of this new cooling scheme, the peak height increases

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**Fig. 9.** Atomic-momentum distribution for unbalanced laser beams. Same conditions as for Fig. 5(d) except for the Rabi frequencies: $K_+ = 0.3\Gamma; K_- = 0.2\Gamma$.

**Fig. 10.** Atomic-momentum distribution for various detunings. Same conditions as for Fig. 5(d) except for the detunings $\delta_L \pm \Gamma$ (a), corresponding to $\delta_L = 0$, is the same as Fig. 5(d)]. Cooling is efficient for any sign of the detuning.

One predicts that for atoms trapped in $|\psi_{NC}(0)\rangle$ the probability for a momentum $+\hbar k$ is $|K_+/K_-|^2$ times greater than the probability for $-\hbar k$. This is in good agreement with the ratio of the two peaks of Fig. 9, which is found equal to 2.25 (theoretical value, 9/4).

---

**E. Dependence on Laser Detuning**

Figure 10 shows the atomic distribution at a given interaction time $\theta = 1000\Gamma^{-1}$ for three different laser detunings ($\delta_L = 0, \delta_L = \pm \Gamma$) and for the same laser intensities ($K_+ = K_- = K$). Note first that the new cooling mechanism is efficient for the three values of the detuning and particularly that it
with time. The decrease of the slope can be related to a depletion of the background of untrapped atoms that constitute a reservoir for the accumulation process. It is also interesting to study the evolution of the total number of atoms in the peaks, since this results from opposite variations of height (which increases) and of the width (which decreases). Figure 11(b) shows that a large fraction of the atoms can be trapped in the peaks of cooled atoms.

We also investigated the case of broad initial distribution \( (\Delta p_0 > 3\hbar k) \). For small interaction times \( \theta \), the evolution of the peak height versus \( \theta \) is linear and depends only on the initial atomic density at \( p = 0 \). But a decrease of the slope appears at an interaction time that is longer when the initial distribution is broader. As a consequence, the peak height (normalized by the initial density at \( p = 0 \)) is larger for broader initial distribution when \( \theta \) is long enough. For example, for \( \Delta p_0 = 10\hbar k \) and \( \theta = 1000\Gamma^{-1} \) the normalized peak height is 1.7 times larger than the one of Fig. 5(d) (corresponding to \( \Delta p_0 = 3\hbar k, \theta = 1000\Gamma^{-1} \)). This behavior can be interpreted by considering the diffusion of atoms in momentum space, from the edges of the initial distribution to \( p = 0 \), where they can be trapped. Note finally that for \( \Delta p_0 \) large enough (and for \( \delta_k = 0 \)) the Doppler detuning can decrease the diffusion rate at the edges of the momentum distribution, which introduces a natural cutoff that is independent of \( \Delta p_0 \).

This discussion clearly raises the question of the asymptotic behavior at long interaction times. One can hardly rely on a numerical calculation to answer this question. Note that a double Dirac peak (corresponding to \( |\Psi_{\text{NC}}(0)\rangle \)) is a steady-state solution of Eq. (5.3), but we do not know whether such a solution can be reached by starting from realistic initial conditions. This question is still unresolved.

In order to increase the fraction of cooled atoms, we have considered schemes in which atoms with large \( p \) would be reflected toward \( p = 0 \) by interaction with another laser beam. With such walls in \( p \) space, it is clear that the accumulation process into \( |\Psi_{\text{NC}}(0)\rangle \) will continue indefinitely.

7. GENERALIZATION TO TWO DIMENSIONS

So far we have dealt only with one-dimensional cooling. Now we explain how velocity-selective coherent population trapping can be extended to two dimensions. We consider the same atomic transition \( J_1 = 1 \leftrightarrow J_2 = 1 \) as the one used in the experimental demonstration of one-dimensional cooling. Figure 12(a) represents the various Zeeman sublevels in the ground state and in the excited state and the Clebsch–Gordan coefficients of the various transitions \( g_m \leftrightarrow e_{m'} \) \((m, m' = -1, 0, +1)\). The laser configuration consists of three laser beams [Fig. 12(b)] with the same frequency and the same amplitude. As above, there are two counterpropagating beams along \( Oz \), one \( \sigma_+ \) polarized with a wave vector \( k\hat{e}_z \), one \( \sigma_- \) polarized with a wave vector \( -k\hat{e}_z \) \((h \text{ is the wave number, } \hat{e}_z \text{ is a unit vector along } Oz)\). In addition, there is a third laser beam along \( Ox \) (wave vector \( k\hat{e}_x \)) linearly polarized along \( Oz \) (\( \pi \) polarization). Each of these beams excites only one type of transition: \( g_m \leftrightarrow e_{m+1} \) for the \( \sigma_+ \) beam, \( g_m \leftrightarrow e_{m-1} \) for the \( \sigma_- \) beam, and \( g_m \leftrightarrow e_m \) for the \( \pi \) beam.

Consider the state

\[
|\Psi_{\text{NC}}(p)\rangle = \frac{1}{\sqrt{3}} (|g_{-1}, p - h\hat{e}_z\rangle + |g_0, p + h\hat{e}_z\rangle + |g_{+1}, p + h\hat{e}_z\rangle), \tag{7.1}
\]

which is a linear superposition of three states differing not
satisfying Eq. (7.3) could be revealed by measuring \( P_{\alpha} \) and \( P_{\beta} \) after the interaction zone. From Eqs. (7.1) and (7.3) we then predict that the surface giving the atomic-momentum distribution in the \((p_x, p_z)\) plane should exhibit three narrow peaks, at
\[
\begin{align*}
\{ p_x^2 = 0 \} & \quad \{ p_z^2 = 0 \} & \quad \{ p_x^2 = + \hbar k \} \\
\{ p_x^2 = + \hbar k \} & \quad \{ p_z^2 = - \hbar k \} & \quad \{ p_x^2 = 0 \}.
\end{align*}
\] (7.4)

Remark

Note that in such an experiment there must be no force acting along the velocity-selective directions \(O_x\) and \(O_z\). In order to avoid the effect of gravity, we should thus align the atomic beam vertically.

In this section we have demonstrated that there is a perfect trapping state that is velocity selective in two dimensions. However, in order to evaluate the efficiency of the cooling process, one should also solve the generalized optical Bloch equations corresponding to this situation. This would allow one to evaluate how long it would take for momentum diffusion in two dimensions to accumulate many atoms into the trapping state.

It is tempting to try a further generalization to three dimensions. We have found no scheme that allows accumulation of many atoms into a noncoupled state that is velocity selective in three dimensions. We have found such states for more-complicated level schemes. Unfortunately, in the situations that we have investigated, there is always another trapping state that is velocity selective in a smaller number of dimensions (two or one). The atoms are then rapidly trapped into this less-selective noncoupled state, where they are no longer available for the three-dimensional trapping.

8. CONCLUSION

We have presented a full quantum theoretical treatment of a new one-dimensional laser-cooling scheme permitting transverse temperatures below the one-photon recoil energy to be reached by velocity-selective coherent population trapping. Unlike semiclassical approaches, this treatment can be applied to situations in which the atomic coherence length is comparable with or larger than the laser wavelength. It is based on the use of families that contain a finite number of states defined by translational and internal quantum numbers and that remain closed with respect to absorption and stimulated emission. Redistributions among these families occur through spontaneous emission. We have established generalized optical Bloch equations for the density-matrix elements corresponding to these families, and we have presented numerical solutions of these equations.

This theoretical study has allowed us to exhibit the essential features of the new cooling process and to support the underlying physical ideas. The main differences from other cooling methods are the following:

(i) The cooling exists for both signs of the detuning and for zero detuning;

(ii) The width of the final momentum distribution, which characterizes the temperature, decreases as \( \frac{1}{\sqrt{\theta}} \), where \( \theta \) is the interaction time. There is no fundamental
limit to the lowest temperature achievable by this method; in particular, the one-photon recoil is not a limit;

(iii) The basic cooling mechanism relies not on a friction force but on a diffusion process in momentum space, which pumps atoms into nonabsorbing states corresponding to a small region of the momentum space;

(iv) Since the cooled atoms no longer interact with the laser field they suffer no perturbation either on the external degrees of freedom (no diffusion) or on the internal degrees of freedom (no light shifts).

We presented in Section 7 a possible extension of this new cooling scheme to two dimensions. The method of families used in this paper could easily be applied to such a situation. It would also be interesting to add a supplementary interaction for reflecting toward $p = 0$ atoms that have diffused at large $p$ values; such walls should improve the cooling efficiency at long interaction times.

The fundamental property on which the new cooling process is based is the quantum coherence between $|g,-, p-h\kappa\rangle$ and $|g,+, p+h\kappa\rangle$. A remarkable feature associated with this coherence is the total coherence between states of different linear momentum $p-h\kappa$ and $p+h\kappa$. Since $p$ is distributed in a narrow interval around 0, such coherence gives rise to two coherent wave packets propagating along different directions. Another interesting feature is the complete correlation between the internal state and the direction of propagation, as in a Stern–Gerlach experiment. The calculations presented in this paper permit a quantitative treatment of all these coherence effects by use of the nondiagonal terms $\sigma_{\alpha\gamma}(p)$ of the density matrix. These results could be useful in the analysis of atomic interferences based on this scheme.

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REFERENCES AND NOTES


12. V. G. Minogin and Yu. V. Rozhdestvenskii, Zh. Eksp. Teor. Fiz. 88, 1960 (1985) [Sov. Phys. JETP 61, 1156 (1985)]. The theoretical treatment of these authors is valid only for atomic momenta $p$ larger than the photon momentum $h\kappa$ since their Fokker–Planck equation is based on an expansion in powers of $h\kappa/p$.

13. Other proposals for getting temperatures below the recoil limit have been presented. It has been suggested that optical pumping in translation space might be used to cool the translational degrees of freedom by velocity-selective recycling in a trap. See D. E. Pritchard, K. Helmerson, V. S. Bagno, G. P. Lefaytis, and A. G. Martin, in Laser Spectroscopy VIII, S. Svanberg and W. Persson, eds. (Springer-Verlag, Heidelberg, 1987), p. 68.

14. Closed families exist only when the two counterpropagating waves have polarizations such that they cannot both excite the same atomic transition $|g, m\rangle \leftrightarrow |e, m\rangle$. This is always the case for a $\sigma_\alpha \sigma_\gamma$ configuration because of angular-momentum conservation. In the particular cases of $J_\alpha = 1 \leftrightarrow J_\gamma = 0$ and $J_\alpha = 1 \leftrightarrow J_\gamma = 1$ transitions, closed families also exist when the two counterpropagating waves have orthogonal linear polarizations. This is easily seen by use of new bases of sublevels for $g$ and $e$, such as $|g, m\rangle \equiv |g, m = 0\rangle + i |g, m = 1\rangle$, and $|e, m\rangle \equiv |e, m = -1\rangle + i |e, m = 0\rangle$. Using these new bases, we find that the two waves cannot excite the same transition. This explains why cooling by velocity-selective coherent population trapping has been also observed on the $2^2S_1/2 - 2^2P_1/2$ transition of $^4$He with the orthogonal linear configuration.


18. In fact, the exact shape of $H(u)$ is not important, provided that it has the correct width $2\hbar k$ and it is normalized. We have checked that a constant value over $2\hbar k$ [$H(u) = 1/(2\hbar k)$ for $-\hbar k < u < \hbar k$] yields almost identical results after only a few fluorescence cycles. We have thus taken the constant form for $H(u)$, simpler for the calculations, for all the interaction times longer than $10^{-1}$ s.

19. In an experiment like ours, the atoms are allowed to fly a long distance without any interaction until they are detected. Excited atoms will then decay to one of the ground states, and the recoil of the corresponding photon has to be taken into account. The last term of Eq. (5.4) must then be convoluted by the kernel $H(u)$ introduced in Section 4. Note that this amounts to a convolution of $\sigma_{\alpha\gamma}(p)$ by a function with width $2\hbar k$. In the case of a high light intensity (for which our calculation is still valid), $\sigma_{\alpha\gamma}(p)$ assumes values comparable with those of $\sigma_{\alpha\gamma}(p)$, and this convolution will produce a widening of the narrow structures of $\sigma_{\alpha\gamma}(p)$. In the case of a weak intensity, this correction is negligible.

20. These results are readily obtained by following the method presented in C. Cohen-Tannoudji, Metrologia 13, 161 (1977).