

#### 4. Extension of Bogoliubov theory to 1D and 2D for weakly interacting bosons

4.1 Motivations: \* In 1D and 2D, no BEC in thermodynamic limit for  $T > 0$   
(Bogoliubov, Mermin, Wagner, Hohenberg)

\* easily seen from Bogoliubov theory:

$$f_{mc} = \left( \frac{\langle \hat{\phi}^\dagger \hat{\phi} \rangle}{N} \right)_{T, \mu} = \frac{1}{\beta} \int \frac{d^d k}{(2\pi)^d} \left[ (U_k^2 + V_k^2) m_k + V_k^2 \right]$$

$$k \rightarrow 0: \quad U_k^2, V_k^2 \propto \frac{1}{k}$$

$$m_k = \frac{1}{e^{\beta \epsilon_k} - 1} \propto \frac{1}{k} \quad (T > 0)$$

	$T=0$	$T>0$
1D	$f_{mc} = \infty$	$f_{mc} = \infty$
2D	$f_{mc} = \frac{1}{\beta \epsilon}$	$f_{mc} = \infty$

\* a possible formalism: Renner theory (functional integral) book 8/1

\* simpler approach (with data): Bogoliubov type theory in phase-modulus representation:

$$\hat{\phi}(R) = e^{i\hat{\theta}(R)} \sqrt{\hat{\rho}(R)}$$

if  $\hat{\rho}$  has weak fluctuations  $\hat{\rho}$  and  $\hat{\theta}$  has slow spatial fluctuations quadratic hamiltonian in  $(\hat{\rho}, \text{grad } \hat{\theta})$  is obtained.

#### 4.2 How to describe interactions in low d?

answer: scattering length in dimension d

\* defined from zero energy 2-body scattering state

$$\Delta \phi(R) = 0 \quad \text{out of potential}$$

$$3D: \quad \phi(r) = A + \frac{B}{r} = 1 - \frac{a_{3D}}{r}$$

$$2D: \quad \phi(r) = A + B \ln r = \ln(r/a_{2D})$$

$$1D: \quad \phi(r) = A + B r = 1 + \frac{r}{2a_{1D}}$$

this defines Bethe-Peierls models

$$a_{1D} = f(a_{3D}, a_{ho}) \quad : \text{Glehanii}$$

$$a_{2D} = f(a_{3D}, a_{ho}) \quad : \text{Holzmann, Bethe, Hlyapnikov}$$

NB 1D:  $\Leftrightarrow V(x) = g_{1D} \delta(x)$   $g_{1D} = \frac{\hbar^2}{m a_{ho}}$   
 (short-range)

\* lattice model:

$$2D: \quad g_0 = \frac{25 \hbar^2}{m} \frac{1}{\ln(c_{2D} l / a_{2D})}$$

$$c_{2D} = \frac{1}{\pi} e^{\frac{2\pi}{\gamma + 2G/\pi}}$$

↑      ↑  
 G<sub>1D</sub>    G<sub>2D</sub>

$$1D: \quad g_0 = \frac{g_{1D}}{1 + l / \pi^2 a_{1D}}$$

### 4.3 Constraints on the parameters

#### 4.3.1 To have weak density fluctuations

$$\text{Var } \hat{\rho} \ll \rho^2$$

$$\hat{\rho}(R) = \hat{\psi}^\dagger(R) \hat{\psi}(R)$$

$\hat{\rho}^2$  not normal ordered

$$[\hat{\psi}(R), \hat{\psi}^\dagger(R')] = \frac{\delta_{RR'}}{L^d}$$

$$\Rightarrow \text{Var } \hat{\rho} = \frac{\rho}{L^d} + \underbrace{[\langle \hat{\psi}^\dagger \hat{\psi} \rangle^2 - \rho^2]}_{g_2(\vec{0})}$$

impose  $\rho L^d \gg 1$

and

$$|g_2(\vec{0})| \ll \rho^2 \quad \} \text{ to be checked a posteriori}$$

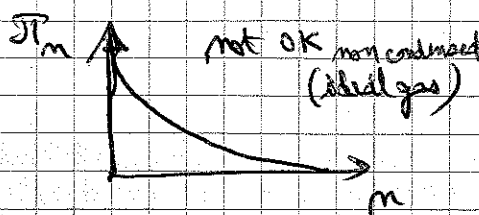
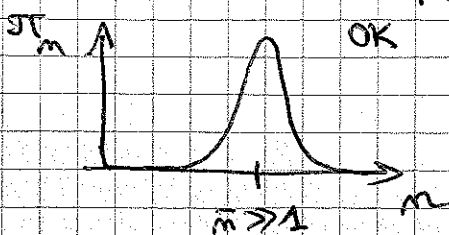
#### 4.3.2 To have a well defined phase operator

suppose  $\hat{\psi}(R) = e^{i\hat{\theta}(R)} \sqrt{\hat{\rho}(R)}$

and  $[\hat{\rho}(R), \hat{\theta}(R')] = i \frac{\delta_{RR'}}{L^d}$

then  $e^{i\hat{\theta}(R)} |m; R\rangle = |m-1; R\rangle \dots$  even if  $m=0$

$\Rightarrow$  assume never empty lattice cell



### 4.3.3 $\rho_0$ recover continuous <sup>space</sup> physics

cut-off momentum at  $\frac{1}{l}$  should be large enough

$$l \ll \lambda$$

$$l \ll \xi$$

$$\left( \frac{\hbar^2}{m \xi^2} = \mu \right)$$

compatible with  $\rho l^d \gg 1$

of  $\rho l^d \gg 1$

$$\rho \xi^d \gg 1$$

degenerate regime

weakly interacting regime

### 4.4 Perturbative expansion of lattice hamiltonian

\* Model Hamiltonian: grand canonical

$$\hat{H} = \sum_{\mathbb{R}} l^d \left[ -\frac{\hbar^2}{2m} \hat{\psi}^\dagger \Delta \hat{\psi} + (U(\mathbb{R}) - \mu) \hat{\rho} + \frac{g_0}{2} \hat{\rho} \left( \hat{\rho} - \frac{1}{l^d} \right) \right]$$

discrete Laplacian  $\Delta f = \sum_{j \in \text{direction of space}} \frac{f(\mathbb{R} + l\hat{e}_j) + f(\mathbb{R} - l\hat{e}_j) - 2f(\mathbb{R})}{l^2}$

$$\Rightarrow e^{i[\theta(\mathbb{R}) - \theta(\mathbb{R}')]}$$

between neighboring points

\* two small parameters:

$$\hat{\rho} = \rho_0 + \hat{\delta\rho}$$

$$\varepsilon_1 = \frac{|\hat{\delta\rho}|}{\rho_0}$$

$$\varepsilon_2 = |l \nabla \theta|$$

one can a posteriori choose  $l$  so that

$$\varepsilon_1 \sim \varepsilon_2 \sim \frac{1}{\sqrt{\rho_0 l^d}}$$

\* expansion of  $\hat{H}$ :

$$\hat{\rho}^{\pm 1/2} = \rho_0^{\pm 1/2} + \frac{\hat{\delta\rho}}{2\rho_0^{3/2}} - \frac{\hat{\delta\rho}^2}{8\rho_0^{5/2}} + \dots$$

$$e^{i[\theta(\mathbb{R}) - \theta(\mathbb{R}')] } = 1 + i[\theta(\mathbb{R}) - \theta(\mathbb{R}')] - \frac{1}{2}[\theta(\mathbb{R}) - \theta(\mathbb{R}')]^2 + \dots$$

\* zeroth order:

$$H_0 = \sum_{\mathbb{R}} l^d \rho_0^{\pm 1/2} \left[ -\frac{\hbar^2}{2m} \Delta + U(\mathbb{R}) + \frac{1}{2} g_0 \rho_0 - \mu \right] \rho_0^{\pm 1/2}$$

$\Rightarrow \rho_0^{\pm 1/2}(\mathbb{R})$  solves Gross-Pitaevskii like equation!

solution contains a number of particles  $N_0 = \sum_{\mathbb{R}} l^d \rho_0(\mathbb{R})$  function of  $\mu$

inversion:  $N_0(\mu) \rightarrow \mu(N_0)$   
 $\rho_0(\mu, R) \rightarrow \rho_0(N_0, R)$

\* first order: vanishes

\* second order: setting  $\hat{B}(R) = \frac{\delta \rho(R)}{2 \rho_0^{1/2}(R)} + i \rho_0^{1/2}(R) \hat{\Theta}(R)$ ,

one has  $[\hat{B}(R), \hat{B}^\dagger(R')] = \frac{\delta_{R,R'}}{\rho^2}$  and

$$H_2 = \int \hat{B}^\dagger \left( -\frac{\hbar^2}{2m} \Delta + U + 2g \rho_0 - \mu \right) \hat{B} + \frac{g \rho_0}{2} (\hat{B}^2 + \hat{B}^{\dagger 2})$$

Bogoliubov like Hamiltonian! modal decomposition

$$\hat{B}(R) = -i \hat{Q} \rho_0^{1/2}(R) + \hat{P} \frac{1}{\rho_0^{1/2}(R)} + \sum_{\alpha} \left( u_{\alpha}(R) \hat{a}_{\alpha}(R) + v_{\alpha}(R) \hat{a}_{\alpha}^{\dagger}(R) \right)$$

↙ ↘ ↕ ↔ ↙ ↘  
collective phase of the field zero energy mode anomalous mode regular Bogoliubov mode same spectrum as Bog. theory  
=  $\hat{N} - N_0$

$$[\hat{P}, \hat{Q}] = -i$$

$$H_2 = -\frac{1}{2} N_0 \frac{d\mu}{dN_0} - \sum_{\alpha} \epsilon_{\alpha} \langle \hat{a}_{\alpha}^{\dagger} \hat{a}_{\alpha} \rangle + \frac{1}{2} \frac{d\mu}{dN_0} \hat{P}^2 + \sum_{\alpha} \epsilon_{\alpha} \hat{a}_{\alpha}^{\dagger} \hat{a}_{\alpha}$$

\* why cubic/third order required?

$$\langle \hat{\rho}_p \rangle_{H_2} = 0 : \text{no correction to pure quicondensation at second order!}$$

done by choice and N.P.; this was wrong.

### 4.5 Applications of the formalism

\* equation of state:

$$D = \int_{\mathbb{R}^d} \frac{d^d k}{(2\pi)^d}$$

$$\frac{\mu}{g} = \rho + \int_D \frac{d^d k}{(2\pi)^d} \left[ (u_k + v_k)^2 m_k + v_k (u_k + v_k) \right]$$

→ 3D:  $l \rightarrow 0$ :  $\frac{1}{g_0}$  diverges,  $\int_D v_k (u_k + v_k)$  diverges

replacing  $g$  by its expression

$$\frac{\mu}{g} = \rho + \int_D \frac{d^d k}{(2\pi)^d} \left[ (u_k + v_k)^2 m_k + v_k (u_k + v_k) + \frac{m\mu}{\hbar^2 k^2} \right] : \text{one can set } l \rightarrow 0$$

$$k \rightarrow \infty \quad \frac{m\mu}{\hbar^2 k^2}$$

\* → 2D: same 'miracle'

$$\rho = \frac{m\mu}{4\pi\hbar^2} \ln\left(\frac{4\hbar^2}{a_{2D}^2 m\mu e^{2\mu}}\right) - \int \frac{d^2k}{(2\pi)^2} (v_k + v_{-k})^2 n_k$$

→ 1D: no pb

\* density fluctuations:  $g_2(r) = \langle \psi^\dagger(r) \psi^\dagger(r) \psi(0) \psi(r) \rangle$

$$g_2(r) = \rho^2 + 2\rho \int \frac{d^d k}{(2\pi)^d} [(v_k + v_{-k})^2 n_k + v_k (v_k + v_{-k})] \cos(k \cdot r)$$

↑ thermal term      ↑ quantum term

estimates for

$$\frac{g_2(r) - \rho^2}{\rho^2}$$

	QT	thermal, $k_B T < \mu$	thermal, $k_B T > \mu$
d=1	$-\frac{1}{\rho\xi}$	$\frac{(\rho\xi/\mu)^2}{\rho\xi}$	$\frac{k_B T/\mu}{\rho\xi}$
d=2	$-\frac{\ln(\xi/\ell)}{\rho\xi^2}$	$\frac{(k_B T/\mu)^3}{\rho\xi^2}$	$\frac{k_B T \ln k_B T/\mu}{\rho\xi^2}$
d=3	$-\frac{1}{\rho\xi^2 \ell}$	$\frac{(\rho\xi/\mu)^4}{\rho\xi^3}$	$\frac{1}{\rho\lambda^3}$

\* coherence properties:

$$g_1(r) = \langle \psi^\dagger(r) \psi(0) \rangle$$

$$\ln [g_1(r)/\rho] = -\frac{1}{\rho} \int \frac{d^d k}{(2\pi)^d} [(v_k + v_{-k})^2 n_k + v_k^2] (1 - \cos(k \cdot r))$$

⚠ keep density fluctuations to have correct finite limit for  $\ell \rightarrow 0$

large  $r$  behavior

1D:

$$T=0$$

$$g_1(r) \propto \rho \left(\frac{\xi}{r}\right)^{1/2} \rho \xi$$

$$T>0:$$

$$g_1(r) \propto e^{-\pi/(r\rho\xi^2)}$$

2D:

$$T=0: \text{BEC}$$

$$g_1(r) \rightarrow \rho_{\text{cond}}$$

$$T>0:$$

$$g_1(r) \propto$$

$$\frac{1}{r^{1/\rho\xi^2}}$$

#### 4.6 Measuring quasi-condensates

not treated