

# Non-equilibrium Bose-Einstein condensation phenomena in microcavity polariton systems

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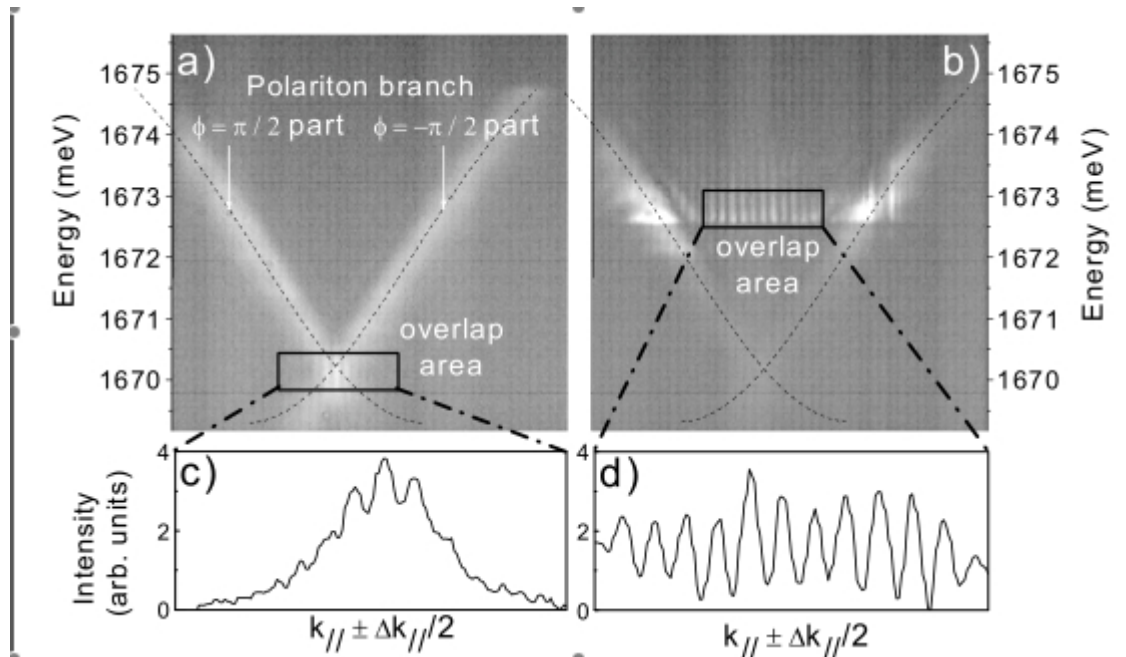
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# Many recent expts: macroscopic coherence in polariton systems

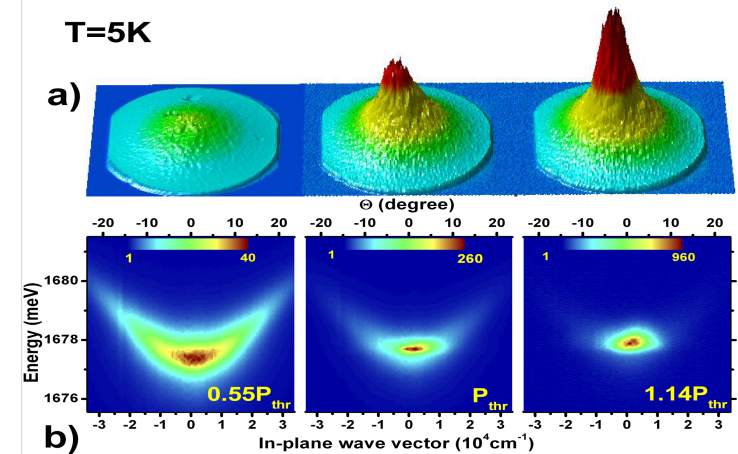
## Young two-slit interference

Richard et al. PRL 2005, Grenoble group



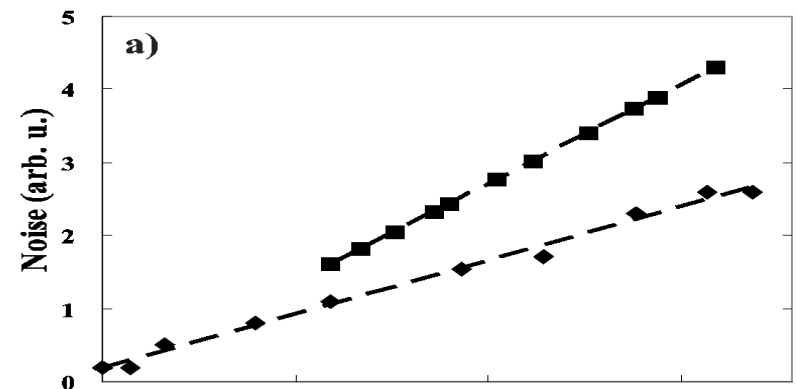
## Line narrowing in k-space

Kasprzak et al., Nature 2006, Grenoble-Lausanne collaboration



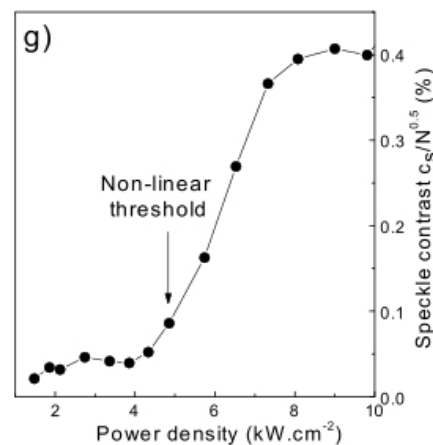
## Noise reduction

Baas et al., PRL 2006, LKB-Paris



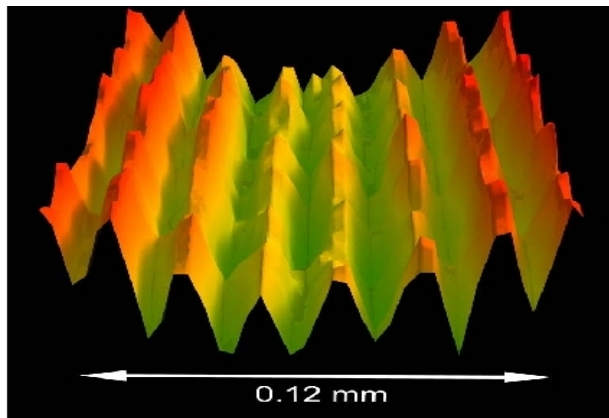
## Threshold behaviour

Richard et al. PRL 2005  
Grenoble group

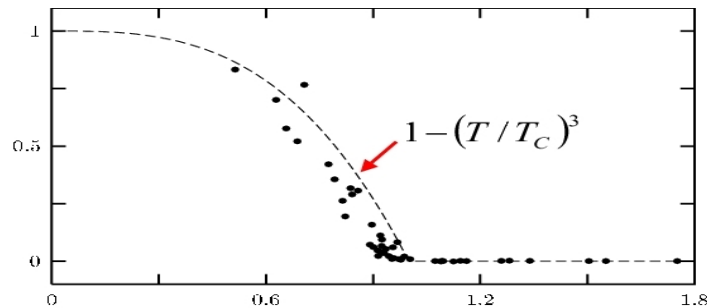
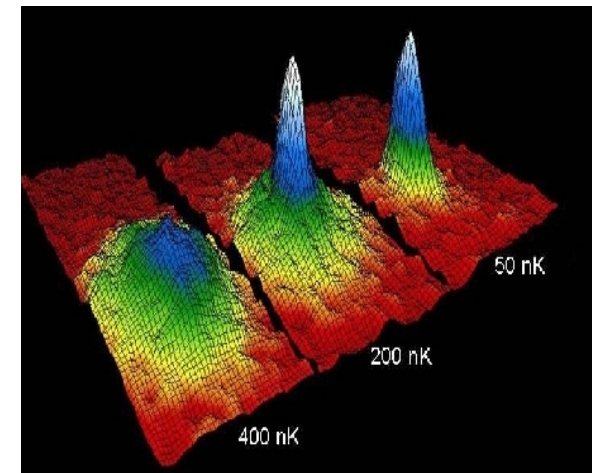


# Same phenomenology as Bose-Einstein condensation in ultracold atom systems

Interference and phase coherence  
(MIT 1996)



Narrowing of momentum distribution  
(JILA 1995)

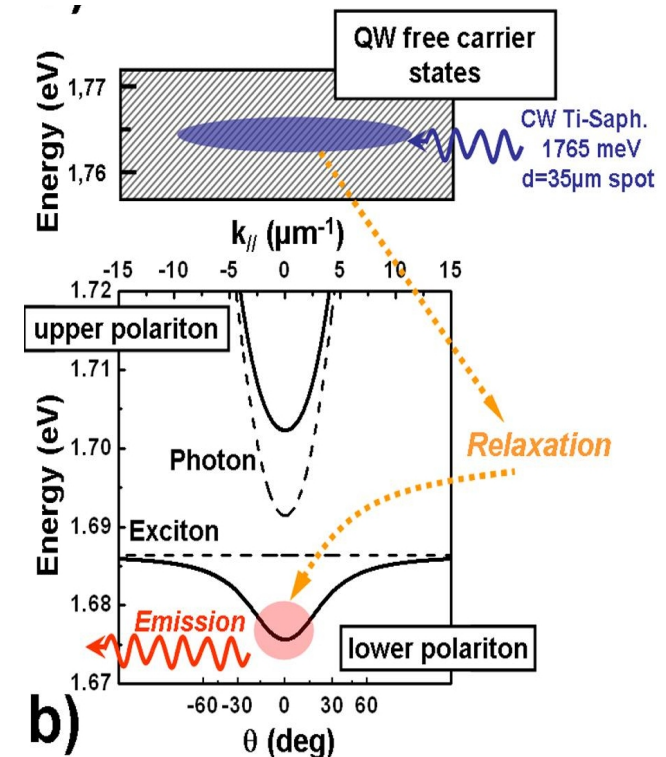


Threshold behaviour at  $T_c$   
(JILA 1996)

Reduct. of 3-body recombination: proof of suppr. density fluctuations (MIT 1997)

# A crucial difference: system is far from equilibrium

- Optical injection
- Relaxation: polariton-polariton and polariton-phonon scattering
- Stimulation of scattering to lowest states
- Losses: particle number NOT conserved
- NO thermodynamical equilibrium
- Steady-state determined by dynamical balance of driving and dissipation



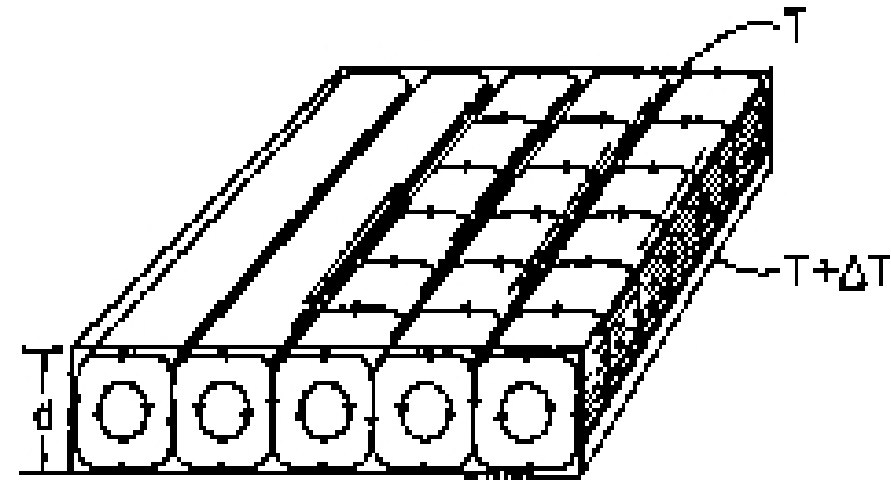
(Figure from Kasprzak et al., Nature 2006)

- Standard concepts of equilibrium statistical mechanics are not applicable
- Physics is different from usual equilibrium BEC, but...

# Phase transitions in non-equilibrium systems as well !

## Bénard cells in heat convection:

- dynamical equilibrium between driving ( $\Delta T$ ) and dissipation (viscosity)
- for  $\Delta T > \Delta T_c$  translationally invariant state is dynamically unstable
- spontaneous breaking of translational symmetry: periodic pattern of convection rolls



## Other examples:

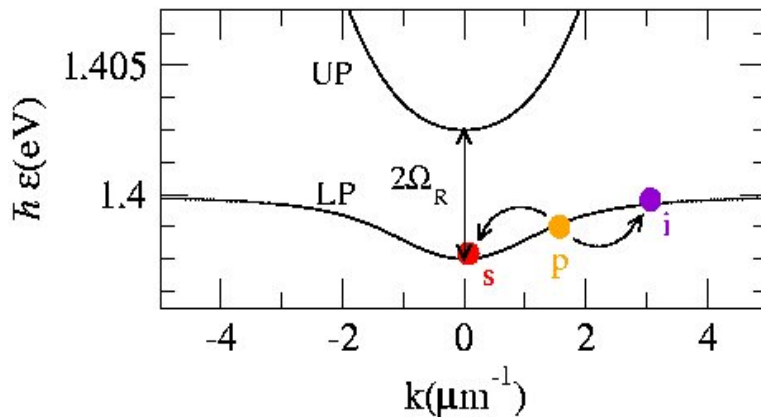
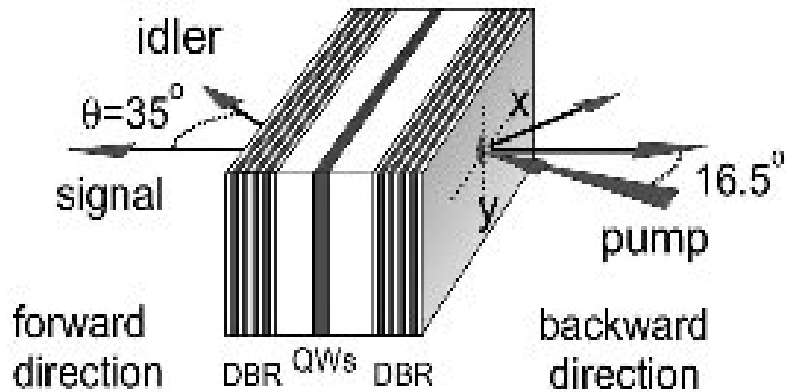
- Belousov-Zhabotinsky chemical reaction
- Coat patterns of mammals
- Driven lattice gas



# We therefore wonder...

- To what extent can the observed **macroscopic coherence** be really considered as a **Bose-Einstein condensation** of polaritons ?
- If so, what **new physics** can be learnt from polaritons that was **not possible** with other “classical” systems such as **liquid Helium** and **ultracold atoms** ?
- Can it lead to completely **new states of matter**? If so, what are their properties? How can the new system lead to new **fundamental physics**?
- What are the consequences of the new physics for applications to **optoelectronic devices**?

# The physical system: DBR microcavity with QWs



- DBR  $\lambda/4$  GaAs/AlAs layers
- Cavity layer  $\rightarrow$  confined photonic mode, delocalized along 2D plane
- In-plane photon dispersion:

$$\omega_c(\mathbf{k}) = \omega_c^0 \sqrt{1 + \mathbf{k}^2 / k_z^2}$$

- e and h confined in InGaAs QW
  - e-h pair: sort of H atom. **Exciton**
  - Excitons bosons if  $n_{exc} a_{Bohr}^2 \ll 1$
  - Excitons delocalized along cavity plane.
- Flat exciton dispersion  $\omega_X(\mathbf{k}) \approx \omega_X$

Exciton radiatively coupled to cavity photon at same in-plane  $k$   
 No coupling to continuum, no spontaneous emission, Rabi oscillations at  $\Omega_R$   
 Bosonic superpositions of exciton and photon, called polaritons

**Polariton mass  $m_{pol} \approx 10^{-4} m_e \rightarrow$  BEC expected at high “temperature” !!**

# Ways to generate macroscopic coherence

## Direct injection by resonant pump laser

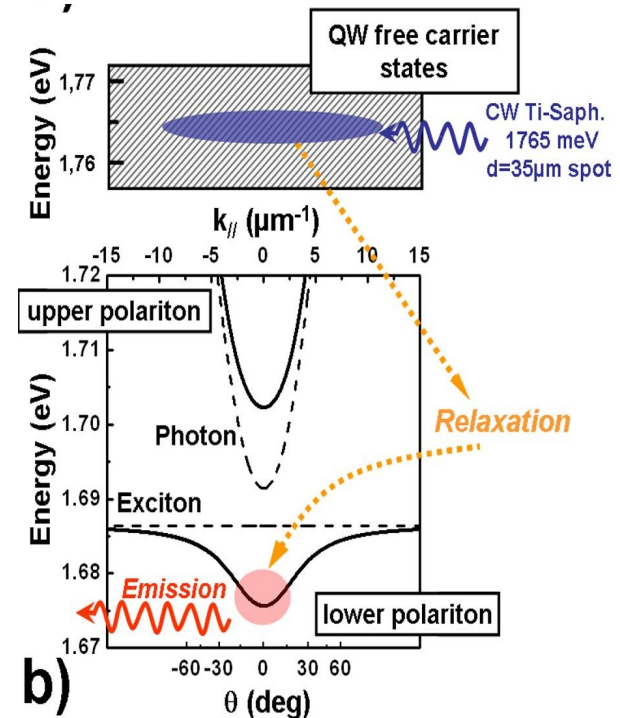
- coherence **not spontaneous**, imprinted by pump
- close relation with **nonlinear optics**, still interesting **superfluidity** properties

## Non-resonant pumping

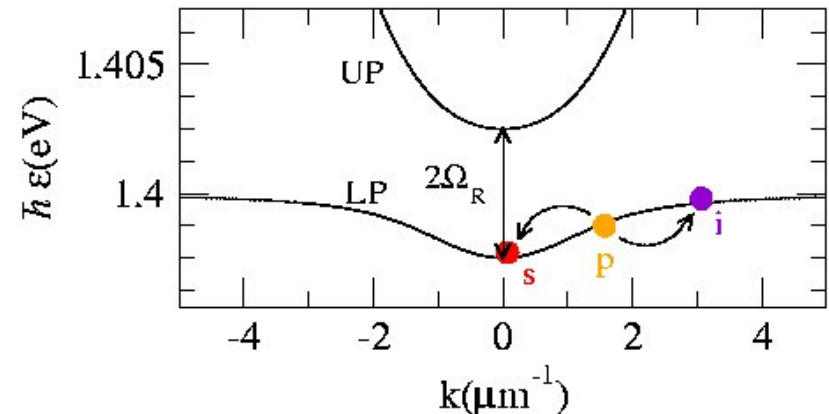
- **thermalisation** due via polariton-polariton collisions, **quasi-equilibrium** condition
- coherence **spontaneously created** via **BEC** effect
- hard to theoretically model *ab initio*

## OPO process

- **stimulated scattering** into signal/idler modes
- **spontaneous coherence**, not locked to pump
- same **spontaneous symmetry breaking**
- *ab initio* theoretical description by **stochastic GPE**



(Fig. from Kasprzak et al., Nature 2006)





# Wigner-QMC

Generalizes **truncated-Wigner** method for BECs (Lobo, Sinatra, Castin)

Time evolution: **stochastic Gross-Pitaevskii equation**

$$i d \begin{pmatrix} \psi_X(\mathbf{x}, t) \\ \psi_C(\mathbf{x}, t) \end{pmatrix} = \left[ \mathbf{h}^0 + \begin{pmatrix} V_X(\mathbf{x}) + g(|\psi_X(\mathbf{x}, t)|^2 - 1/dV) - i\gamma_X & 0 \\ 0 & V_C(\mathbf{x}) - i\gamma_C \end{pmatrix} \right] \begin{pmatrix} \psi_X(\mathbf{x}, t) \\ \psi_C(\mathbf{x}, t) \end{pmatrix} dt + \begin{pmatrix} 0 \\ k \mathcal{E}_p(\mathbf{x}, t) \end{pmatrix} dt + \frac{1}{\sqrt{4\Delta V}} \begin{pmatrix} \sqrt{\gamma_X} dW_X(\mathbf{x}, t) \\ \sqrt{\gamma_C} dW_C(\mathbf{x}, t) \end{pmatrix}$$

**Single particle Hamiltonian**

$$\mathbf{h}^0 = \begin{pmatrix} \omega_X(-i\nabla) & \Omega_R \\ \Omega_R & \omega_C(-i\nabla) \end{pmatrix}$$

**Losses**  $\gamma_{X,C}$ . Fluctuation-dissipation: **white noise**

$$\begin{aligned} \overline{dW_i(\mathbf{x}, t) dW_j(\mathbf{x}', t)} &= 0 \\ \overline{dW_i(\mathbf{x}, t) dW_j^*(\mathbf{x}', t)} &= 2 dt \delta_{\mathbf{x}, \mathbf{x}'} \delta_{ij} \end{aligned}$$

**Observables: MC averages over noise**  $\langle \psi_i^*(\mathbf{x}) \psi_i(\mathbf{x}) \rangle_W = \frac{1}{2} \left[ \langle \hat{\Psi}_i^\dagger(\mathbf{x}) \hat{\Psi}_i(\mathbf{x}) \rangle + \langle \hat{\Psi}_i(\mathbf{x}) \hat{\Psi}_i^\dagger(\mathbf{x}) \rangle \right]$

- **not linearized** theory, full account of **large fluctuations** around **critical point**
- **any geometry** can be simulated, full **time-dynamics**

→ Accurate *ab initio* description of **OPO transition**

# The parametric oscillation threshold

Pump beam close to **magic angle** for OPO process

**Below threshold:** 

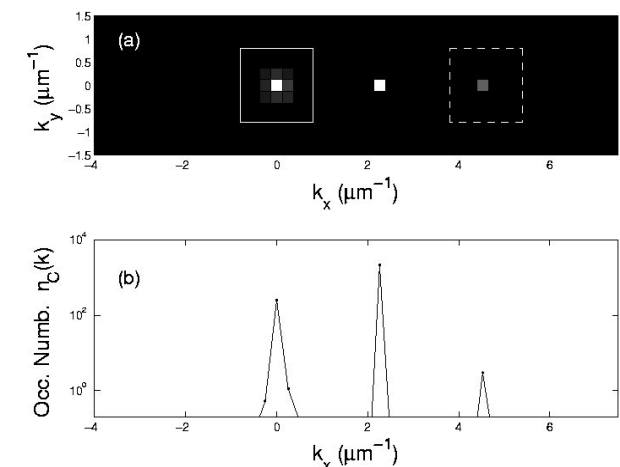
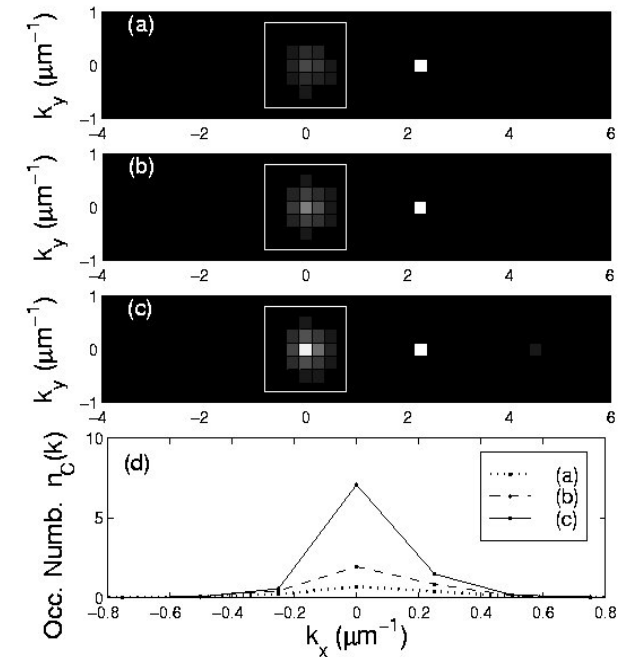
- **coherent emission** from pump mode
- quantum fluctuations: **many-mode incoherent luminescence**
- strongest for signal and idler around **phase-matching**

**Approaching threshold:**

- signal/idler **intensity increases, linewidth narrows**

**Above threshold:** 

- **single signal/idler pair** selected
- **emission becomes macroscopic**
- signal/idler phases still **random, only their sum fixed**

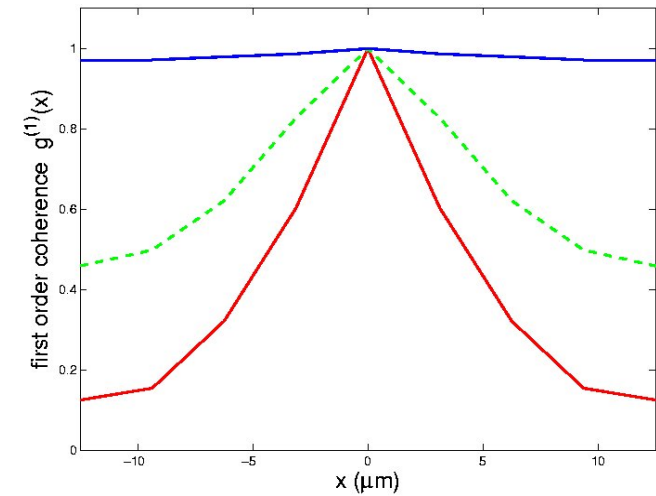


IC and C. Ciuti, *Spontaneous microcavity-polariton coherence across the parametric threshold: Quantum Monte Carlo studies*, PRB 72, 125335 (2005)

# Signal/idler coherence properties across threshold

## First-order coherence $g^{(1)}(\mathbf{x})$

- approaching threshold from **below**:  $l_c$  diverges
- **above** threshold: **long-range coherence**
- **BEC** according to **Penrose-Onsager** criterion
- coherence **NOT inherited** from pump

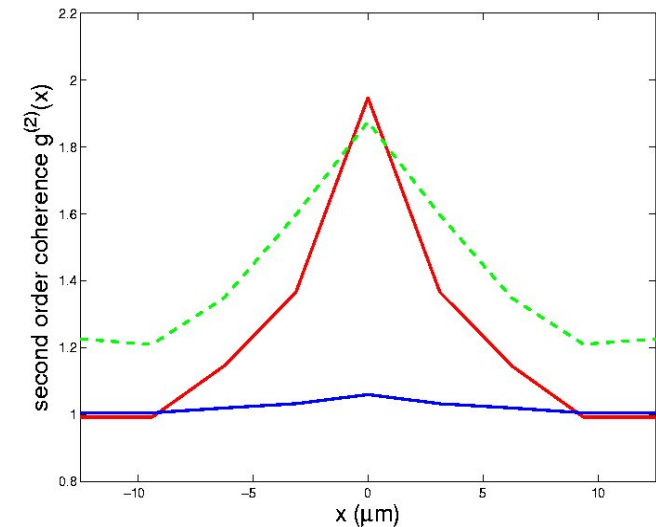


## Second-order coherence $g^{(2)}(\mathbf{x})$

- **below** threshold: **HB-T bunching**

$$g^{(2)}(0)=2, \quad g^{(2)}(\text{large } x) \rightarrow 1$$

- **above** threshold: **suppression of fluctuations**:  $g^{(2)}(\mathbf{x})=1$



Similar phenomena predicted and observed in atomic gases at equilibrium

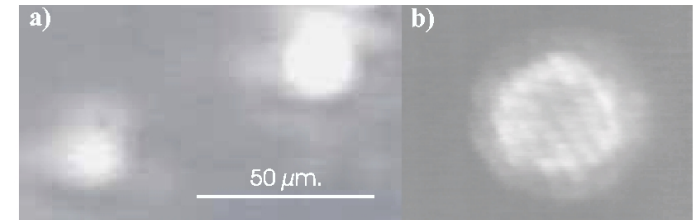
IC and C. Ciuti, *Spontaneous microcavity-polariton coherence across the parametric threshold: Quantum Monte Carlo studies*, PRB 72, 125335 (2005)

# Experimental observations

Correlation functions of emission reproduce those of cavity polaritons

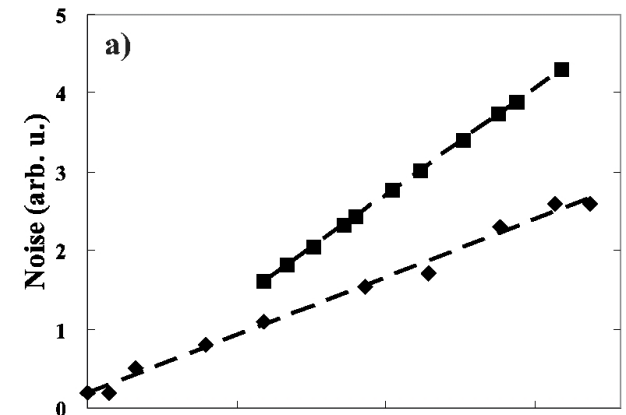
$g^{(1)}(\mathbf{x}) \rightarrow$  Young-like experiment

- light from two paths interferes
- above threshold: fringes observed



$g^{(2)}(\mathbf{x}) \rightarrow$  noise-correlation experiment

- output beam cut by razor blade
- above threshold: linear dependence  
means single spatial mode
- slope means excess noise over standard  
quantum limit



(figs. from Baas et al., PRL 2006)

Good agreement with theory !!

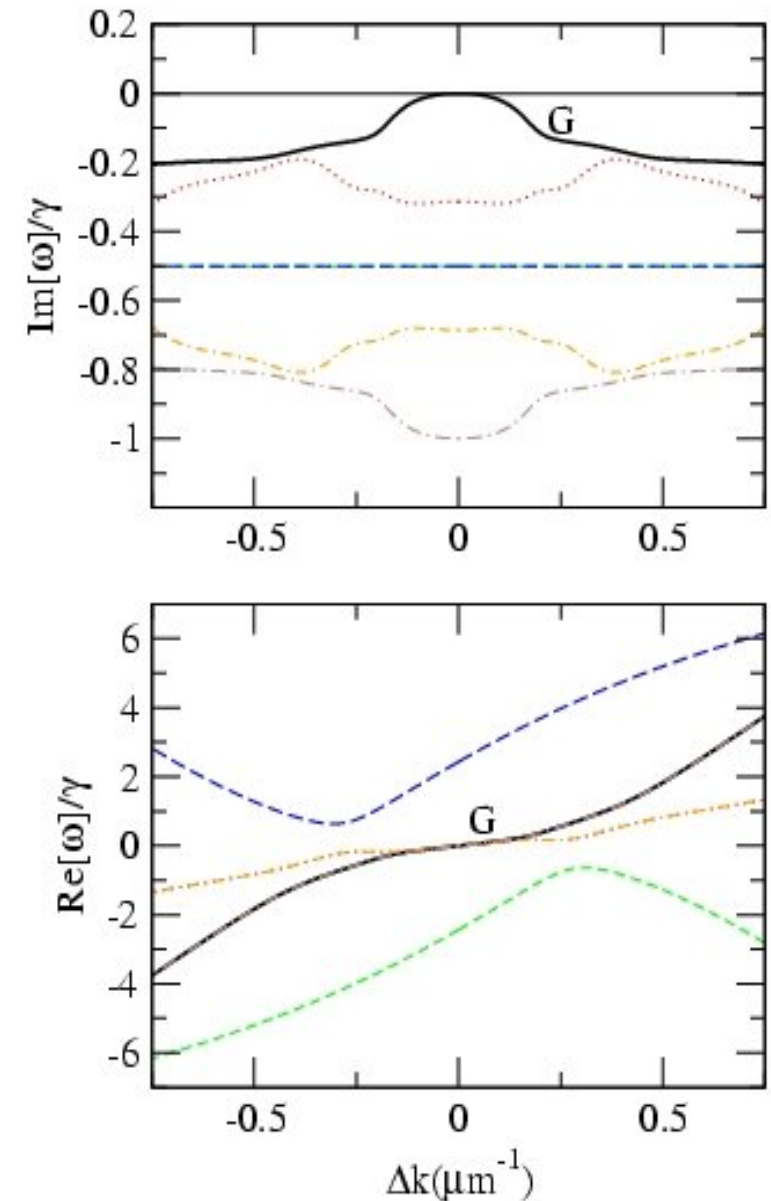
# Spontaneous symmetry breaking and Goldstone mode

Steady-state above threshold:

- **coherent** signal/idler beams
- **U(1)** symmetry **spontaneously broken**
- **soft Goldstone mode**  $\omega_G(k) \rightarrow 0$  for  $k \rightarrow 0$
- corresponds to slow signal-idler **phase rotation**  
→ as **Bogoliubov phonon** at equilibrium !!!

Fundamental **physical difference**:

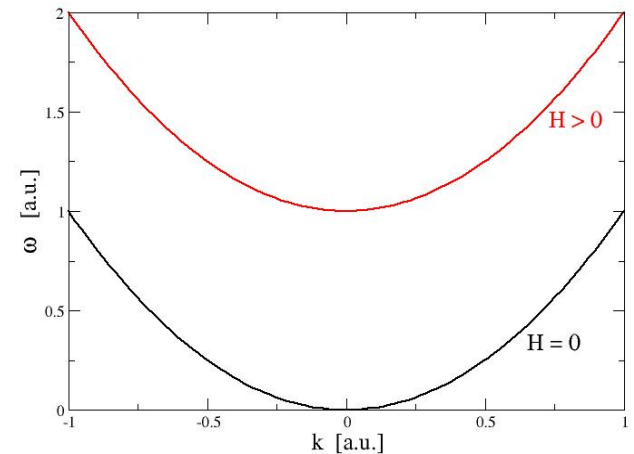
→ Goldstone mode **diffusive**,  
**not propagating like sound**



# Pinning the signal/idler phase

Goldstone mode in **ferromagnets**

- **magnons**: wavy oscillations in **spin orientation**
- **spin orientation** can be **pinned** by **external B**
- **gap** in **Goldstone spectrum** opens

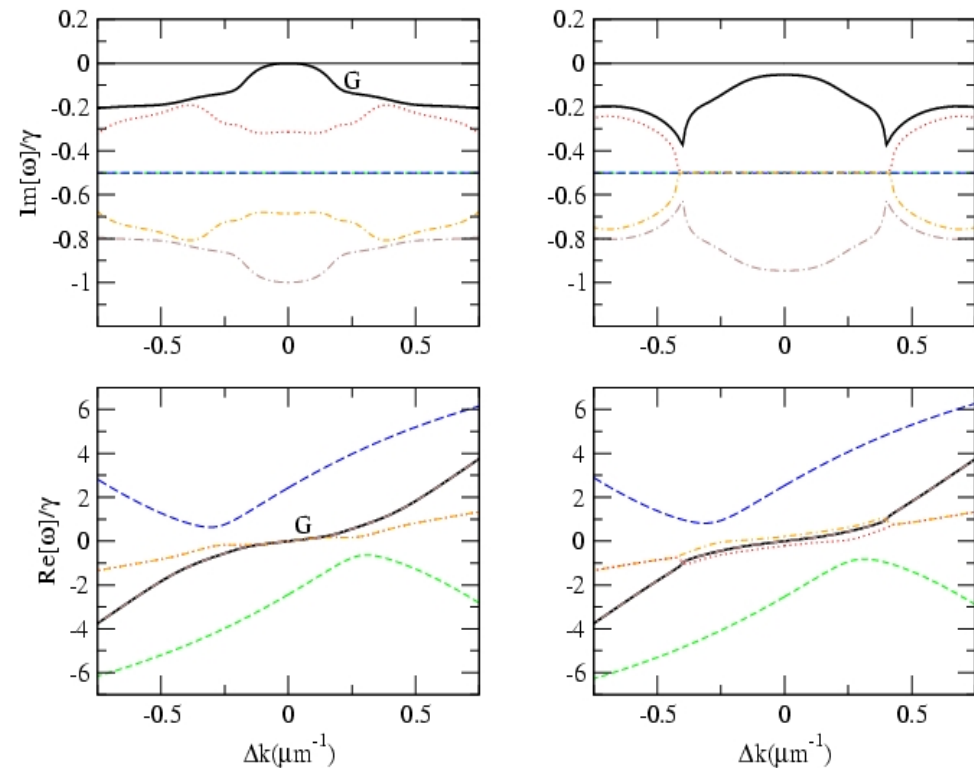


Goldstone mode of **OPOs**:

- slow rotation of **signal/idler phases**

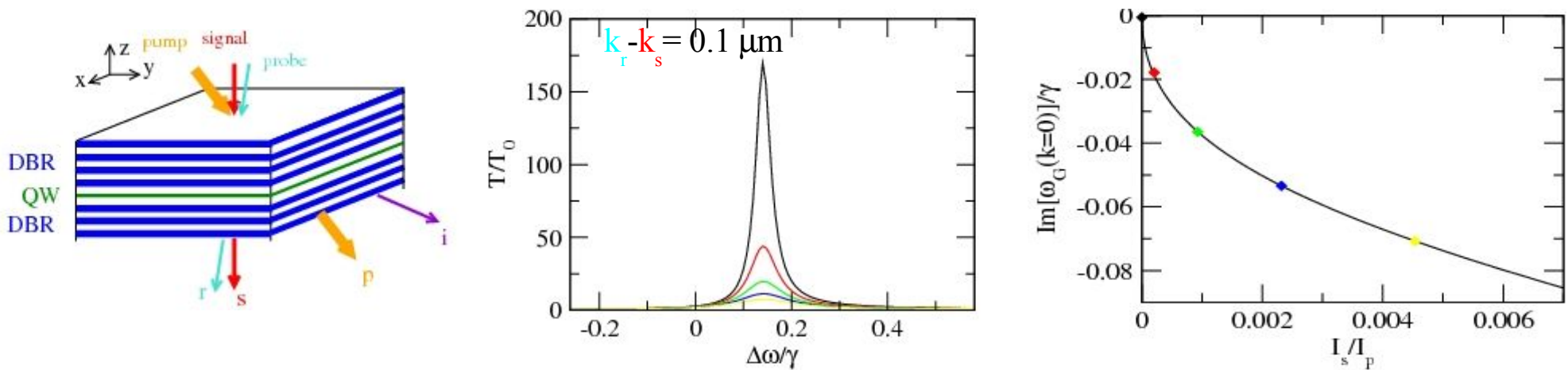
**Seed laser** driving **signal**:

- **stimulates** signal emission, **phase pinned**
- phase symmetry **explicitly broken**
- **gap** opens in **imaginary part** of  $\omega_G(k)$



# Observing the Goldstone mode

- Goldstone mode: **peak** in **probe transmission** at angle close to signal
- **amplified transmission** w/r to **unloaded cavity resonant transmission**
- when phase **pinned** by signal laser: **peak broadened** and **suppressed**



Hard to do with **atoms** because of **atom number conservation**

## Simultaneously to our work:

M. H. Szymanska, J. Keeling, P. B. Littlewood, *Nonequilibrium Quantum Condensation in an Incoherently Pumped Dissipative System*,  
PRL 96, 230602 (2006)

Calculate Goldstone mode dispersion under non-resonant pumping

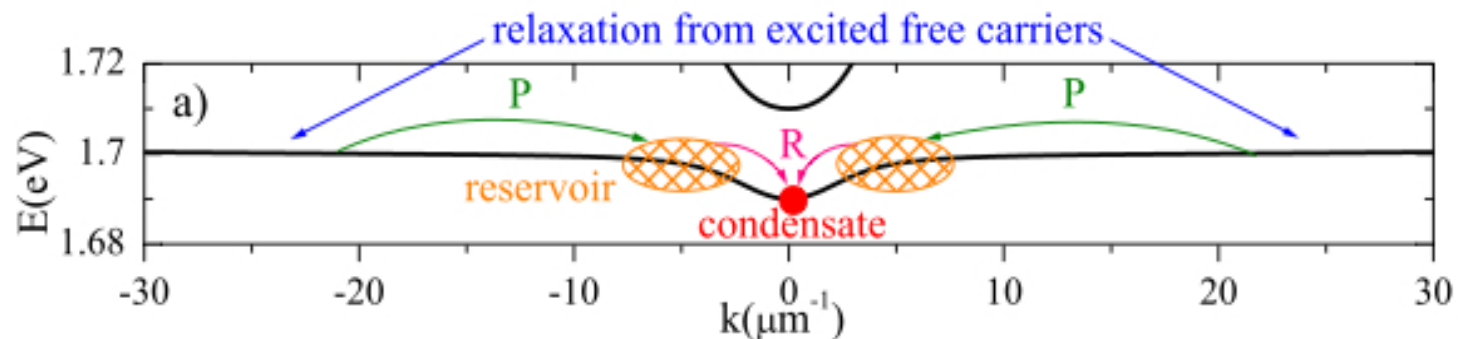
also in this case: **diffusive Goldstone mode !!**

- Is this a general result of non-equilibrium systems ?
- Simple physical interpretation ?



# A generalized GPE for non-resonantly pumped BECs

Inspired from “generic model of atom laser”: Kneer et al., PRA 58, 4841 (1998)



- **Polariton condensate** : GPE with losses / amplification

$$i\frac{\partial}{\partial t}\psi = \left[ -\frac{\hbar^2\nabla^2}{2m_{LP}} - i\gamma/2 + \frac{i}{2}R(n_B) + g|\psi|^2 + 2\tilde{g}n_B \right] \psi$$

macroscopic wavefunction  $\psi(\mathbf{x})$ , loss rate  $\gamma$ , amplification  $R(n_B)$

- **Incoherent reservoir** : rate equation for density  $n_B(\mathbf{x})$

$$\frac{\partial}{\partial t}n_B = P - \gamma_B\bar{n}_B - R(n_B)|\psi(x)|^2 + \frac{D}{2}\nabla^2 n_B$$

pumping rate  $P$ , spatial diffusion  $D$ , thermalization rate  $\gamma_B$

# Bogoliubov theory of elementary excitations

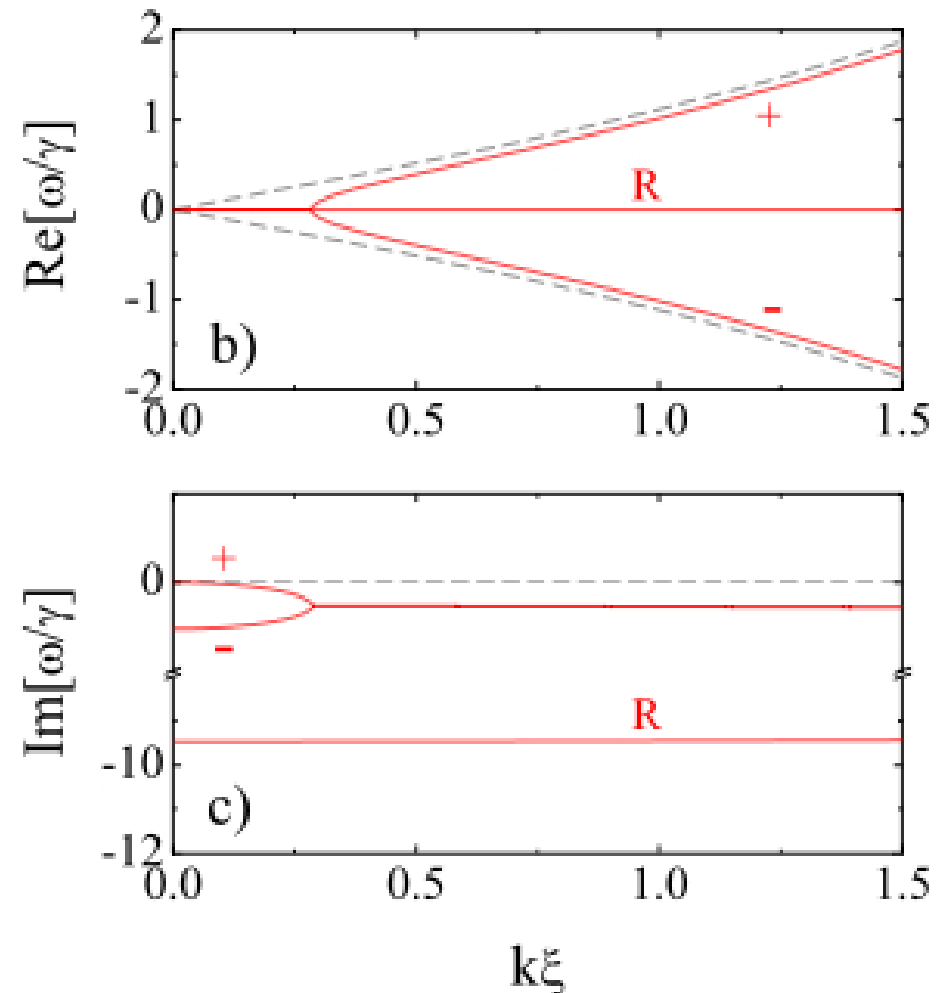
Linearize GPE around **steady state**:

- Reservoir R mode at  $-i\gamma_R$
- Condensate modes  $\pm$  at:

$$\omega_{\pm}(k) = -\frac{i\Gamma}{2} \pm \sqrt{[\omega_{Bog}(k)]^2 - \frac{\Gamma^2}{4}}$$

with:

$$\omega_{Bog}(k) = \sqrt{\frac{\hbar k^2}{2m_{LP}} \left( \frac{\hbar k^2}{2m_{LP}} + 2\mu \right)}$$



→ Goldstone mode is again diffusive !!!

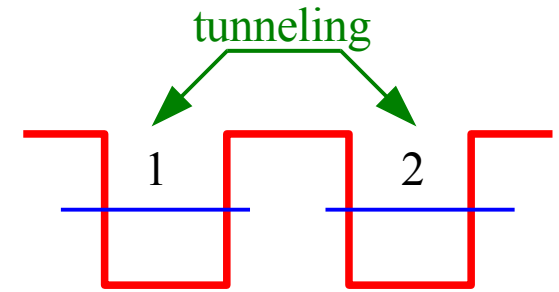
# Two-well geometry: Josephson effect

$\psi_i \rightarrow$  amplitude in  $i$ -th well; population  $N_i = |\psi_i|^2$

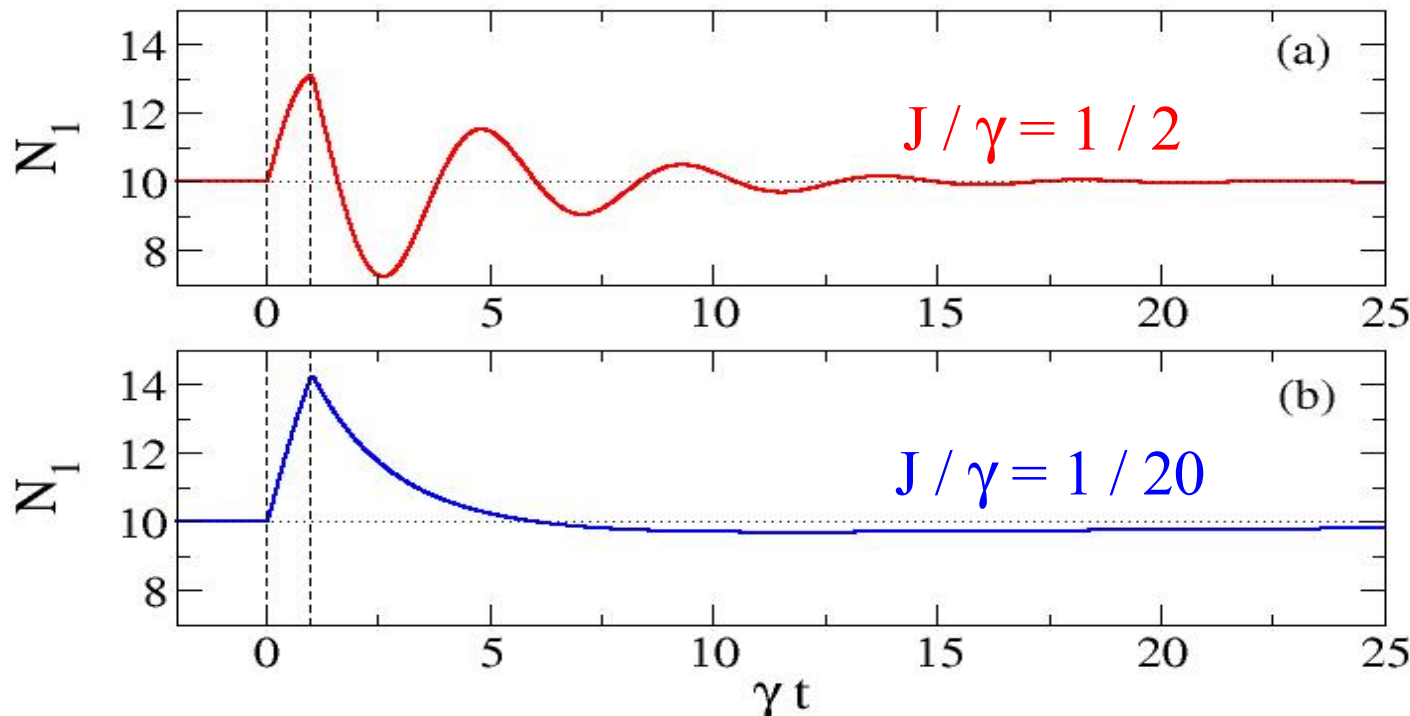
$n_i \rightarrow$  reservoir density behind  $i$ -th well

$$i \frac{d\psi_j}{dt} = -J \psi_{3-j} + U |\psi_j|^2 \psi_j + \frac{i}{2} [R(n_j) - \gamma] \psi_j$$

$$\frac{dn_j}{dt} = P_j - \gamma_R n_j - R(n_j) |\psi_j|^2.$$



**Exp. with polariton traps:**  
El Daif *et al.*, APL '06  
Baas, Richard *et al.*, '07  
(ICSCE-3)



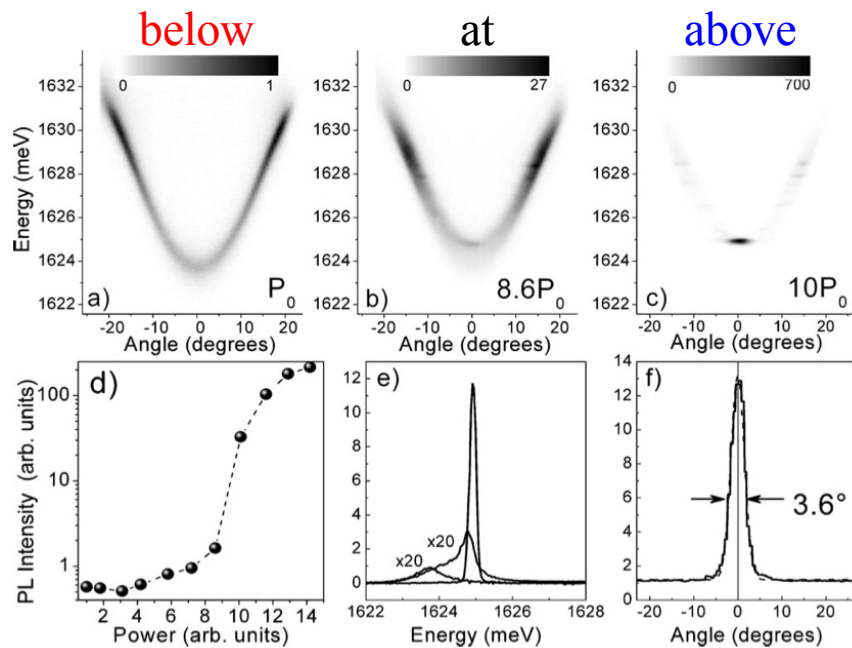
Josephson  
oscillations

overdamped  
Josephson  
oscillations

# BEC shape

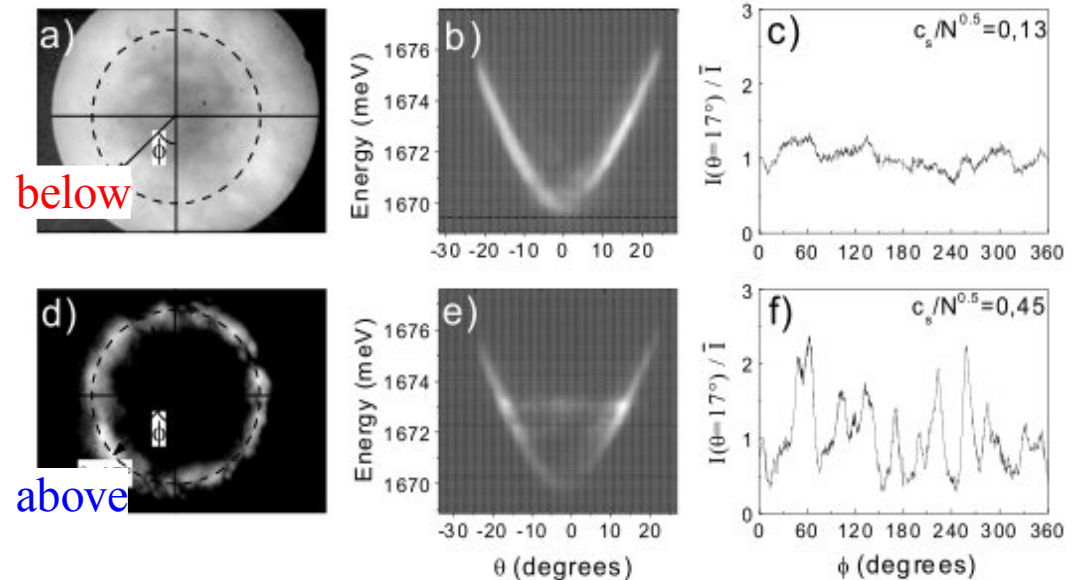
- Equilibrium, harmonic trap: Thomas-Fermi **parabolic** profile
- Non-equilibrium: **dynamics** affects shape. **Stationary flow** possible

Experimental observations: shape depends on **pump spot size**



pump spot: 20  $\mu\text{m}$

Richard et al., PRB 72, 201301 (2005)



pump spot: 3  $\mu\text{m}$

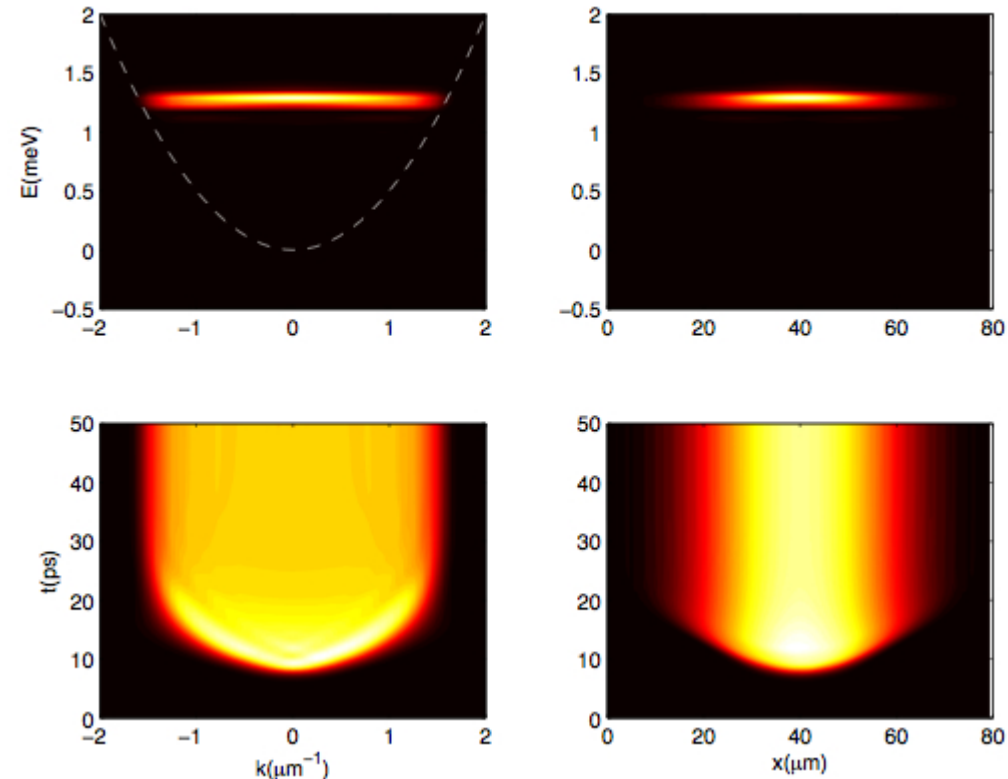
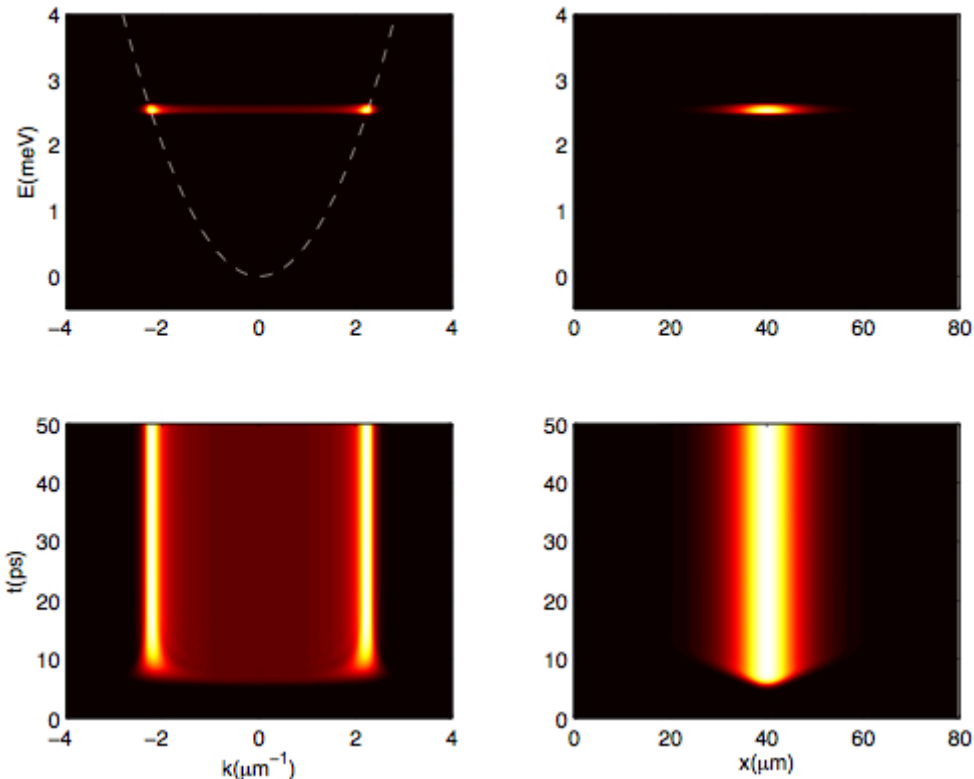
Richard et al., PRL 94, 187401 (2005)

# Numerical integration of non-equilibrium GPE

## Stationary state under cw pumping

Narrow pump spot:  $\sigma = 5\mu\text{m}$

Wide pump spot:  $\sigma = 20\mu\text{m}$



Emission on a ring at finite  $k$   
Spatially localized

Emission centered at  $k=0$   
Spatially localized

**Good agreement with experiments !!**

# Physical interpretation of condensation at $k \neq 0$

## Repulsive interactions

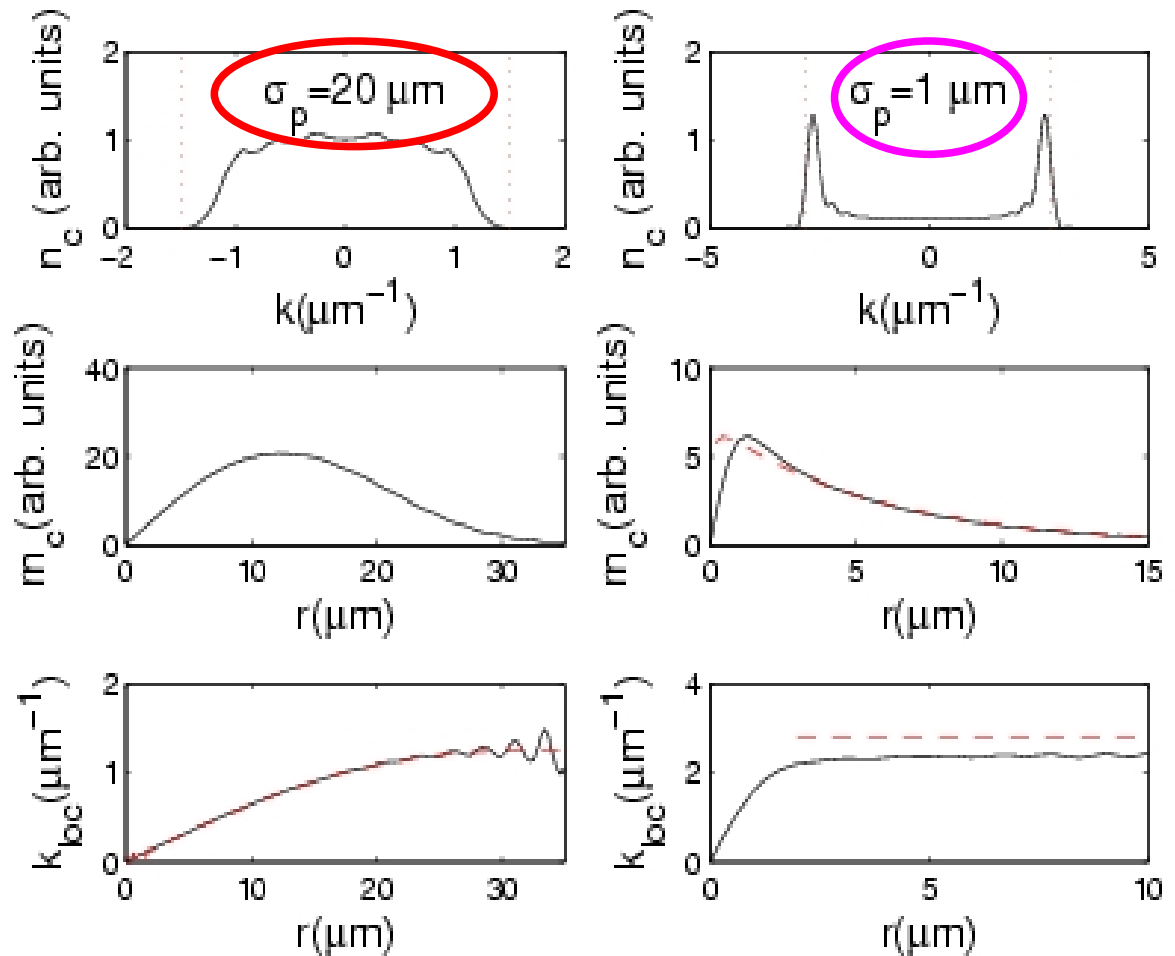
- outward radial acceleration
- energy conservation

$$E = k^2/2m + U_{\text{int}}(r)$$

→ local flow velocity  
radially increasing !

## Narrow spot:

- free flight outside pump spot  $U_{\text{int}}(r)=0$ , emission mostly on free particle disp.



# Simulations for pulsed excitation

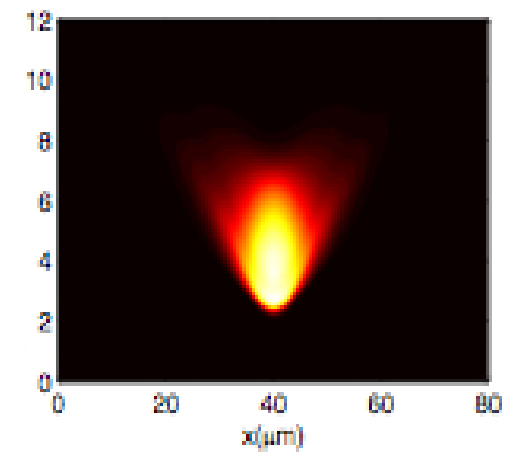
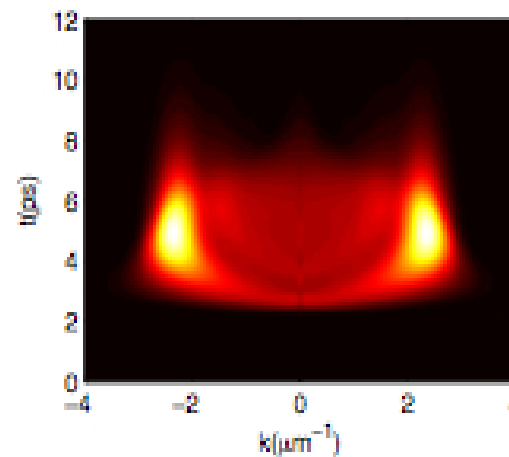
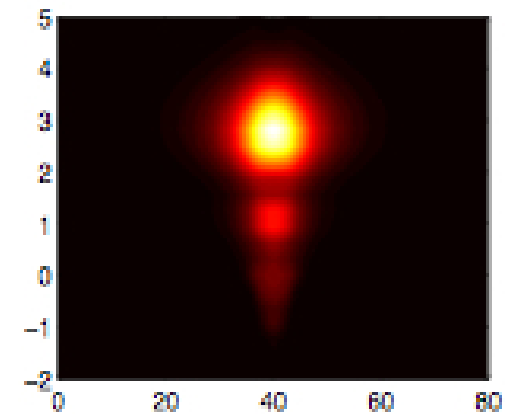
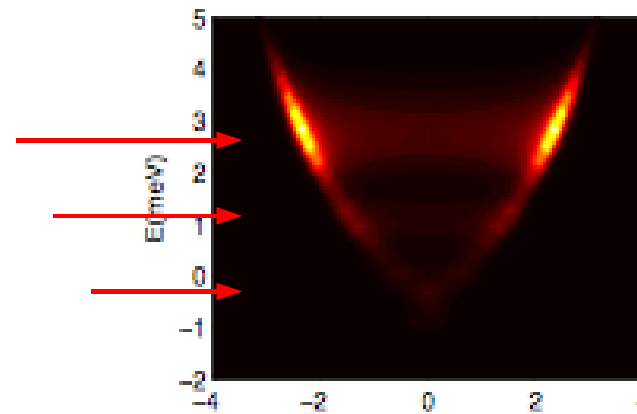
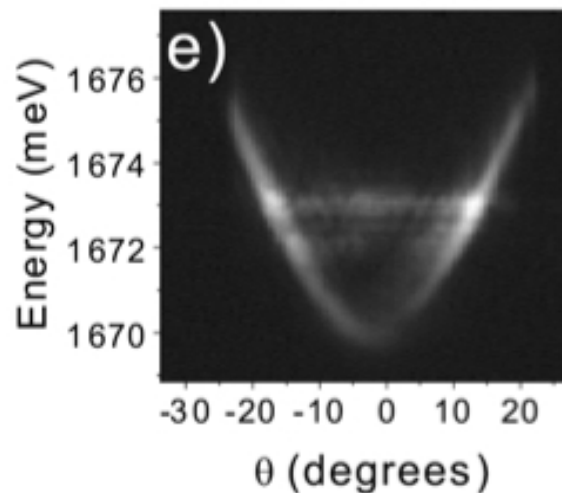
- Non-trivial time evolution:

first  $k=0$ , then expands

- Emission concentrated

at several  $E$ 's

Also in expt's !!!



# Reduced dimensionality I: equilibrium

- 3D: BEC transition at finite  $T_c$
- 2D: K-T transition at finite  $T_{KT}$  due to vortex pair unbinding :
  - algebraic decay of coherence for  $T < T_{KT}$
  - exponential decay of coherence for  $T > T_{KT}$
- 1D: exponential decay of coherence for  $T \neq 0$
- Hohenberg-Mermin-Wagner theorem sets  $d_c = 2$  for U(1) SSB  
in the thermodynamical limit

**Note:** Finite-size effects: BEC possible in all dimensionality.

$T_c$  depends on size  $L$ : as  $L^{-1}$  in 1D, logarithmically in 2D below  $T_{KT}$



# Reduced dimensionality II: non-equilibrium

- **NO** general Hohenberg-Mermin-Wagner-like theorem available
- **numerics:** Wigner-QMC calculations
- **analytics:** generalize modulus-phase Bogoliubov (Mora and Castin '03)
  - accurate for small density fluctuations
  - no condition on long range order
  - quantum noise drives Bogoliubov modes
  - effect strongest on Goldstone mode because of lowest damping

# Coherence in 1D OPOs: numerical QMC results

## Below threshold:

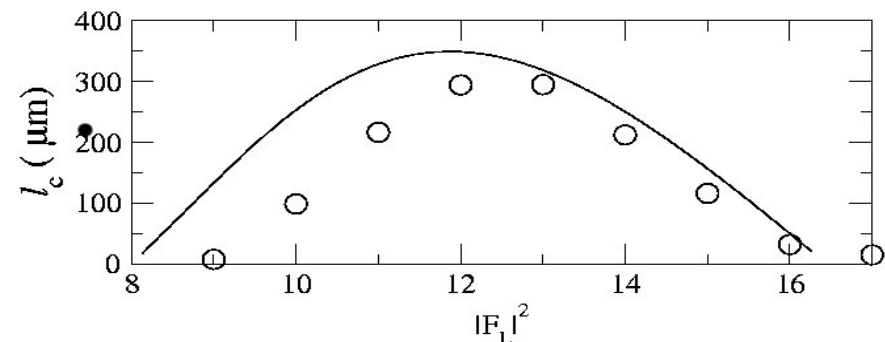
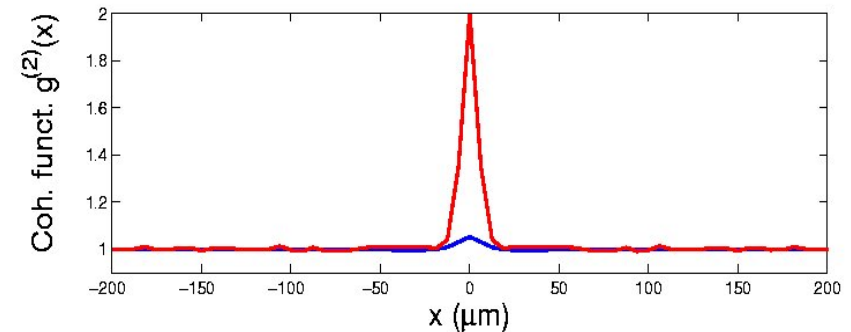
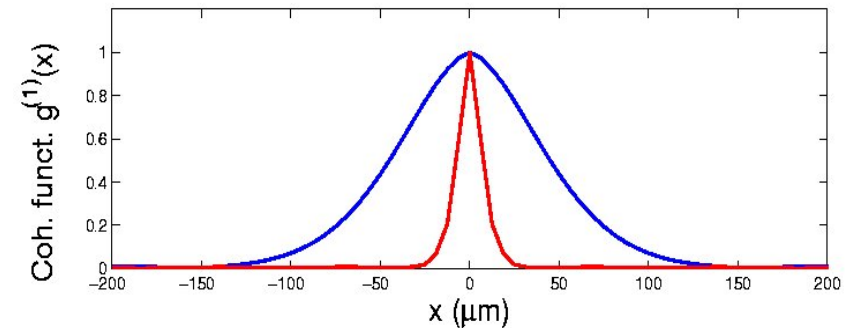
- incoherent luminescence
- short range coherence

## Above threshold:

- intensity fluctuations suppressed
- coherence length much longer
- but always finite

## As a function of pump intensity:

- $l_c \rightarrow 0$  as threshold is approached
- reentrant behaviour due to blue-shift



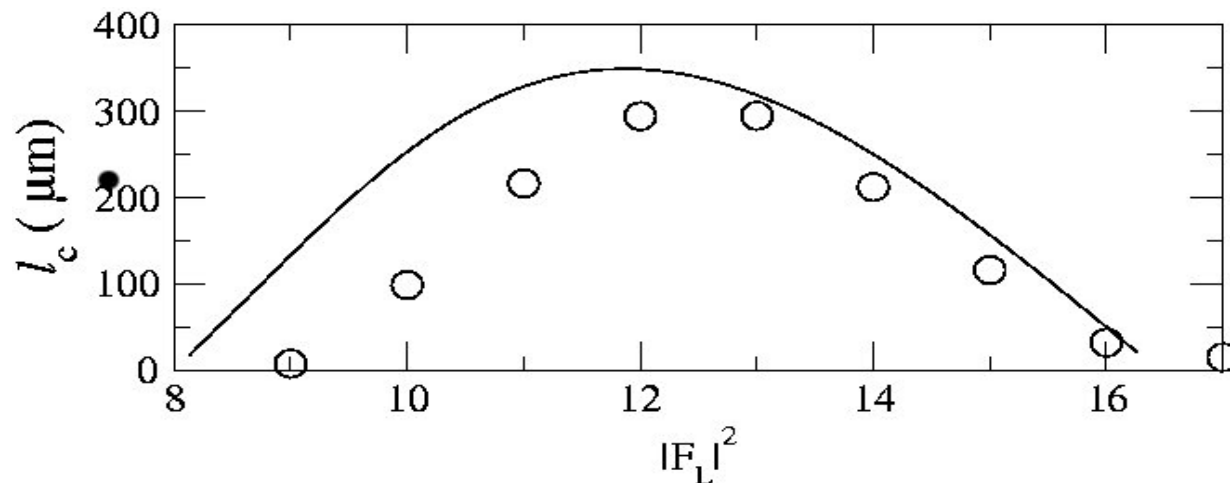
# Coherence in 1D OPOs: analytical results

Analytical integration of Wigner-Bogoliubov stochastic equations

Exponential decay of coherence. Coherence length:

→ **damping** plays role of **temperature**

→ **bare boson mass** replaced by **imaginary mass** of Goldstone mode



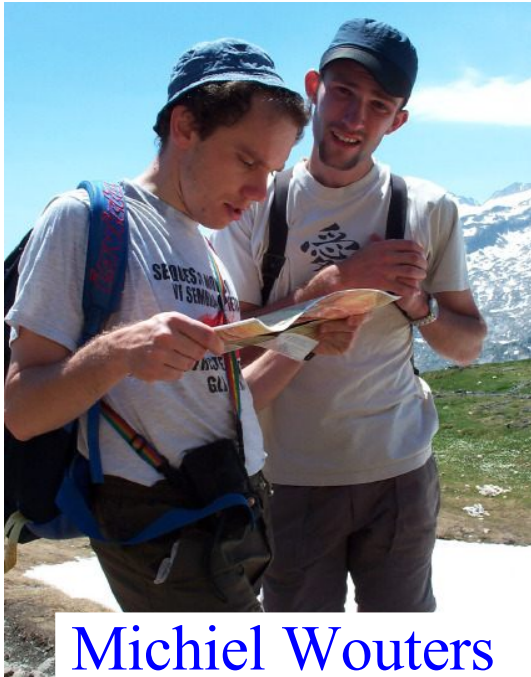
Strong nonlinearity of polariton system:

→  $l_c$  **experimentally accessible**, important in view of **applications!!**

# Hic sunt leones...

- Effect of **disorder** and **fluctuations** on the transition:  
localized independent BECs and relative coherence of spots  
time-dependent correlation functions, phase diffusion rate
- Two-body physics of **polariton-polariton scattering**:  
possibility of Feshbach resonances on biexciton bound states  
(first results in: M. Wouters, PRB in the press)
- **Critical properties**:  
critical exponents as transition is approached; finite size effects  
effect of 2D geometry: vortex states, topological defects  
dynamics of phase transition, condensation kinetics  
superfluidity properties: Landau criterion and/or persistent currents
- **Applications**:  
studies of driven dissipative superfluid hydrodynamics, vortex dynamics  
quantum fluctuations in many-body systems

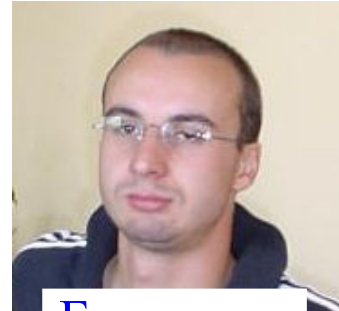
# My brave polaritonic coworkers...



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and the Trento-BEC group

# Finite spot effects

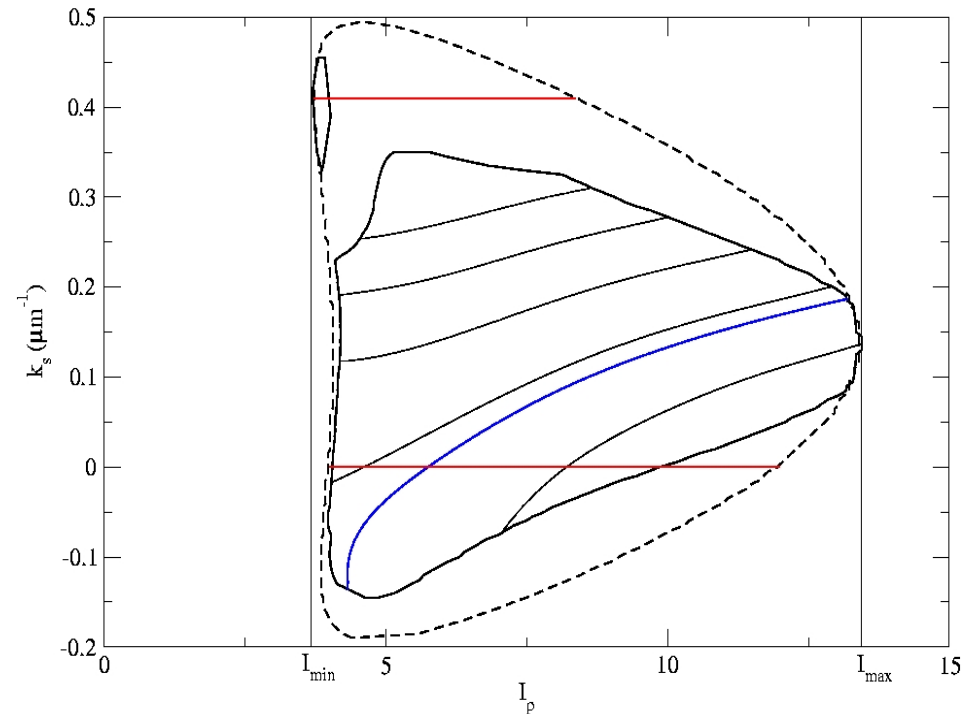
## Equilibrium:

BEC in lowest energy state

## Non-equilibrium:

- no free-energy available
- $k_s$  dynamically selected
- methods of pattern formation in nonlinear dynamical systems

- Finite excitation spot: absolute vs. convective instability
- Single  $\omega_s$ , inhomogeneous broadening of  $k_s$  due to spatially varying pump intensity profile: change in  $k_s$

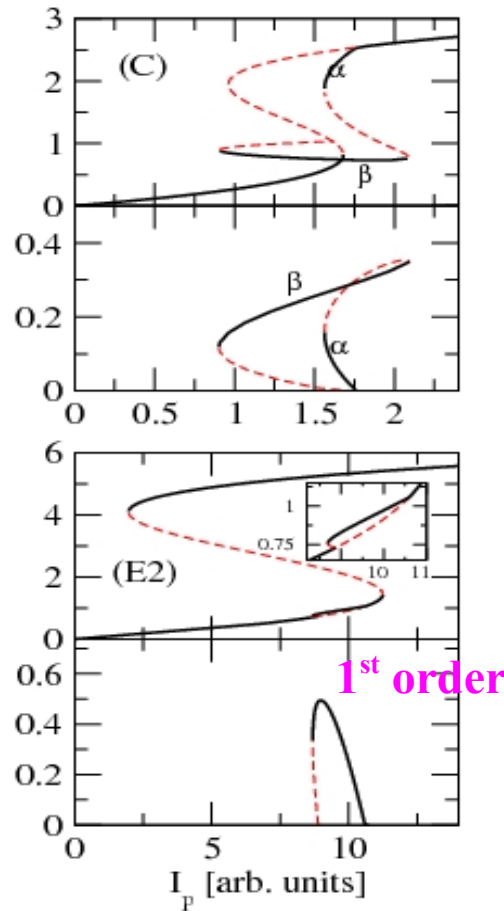
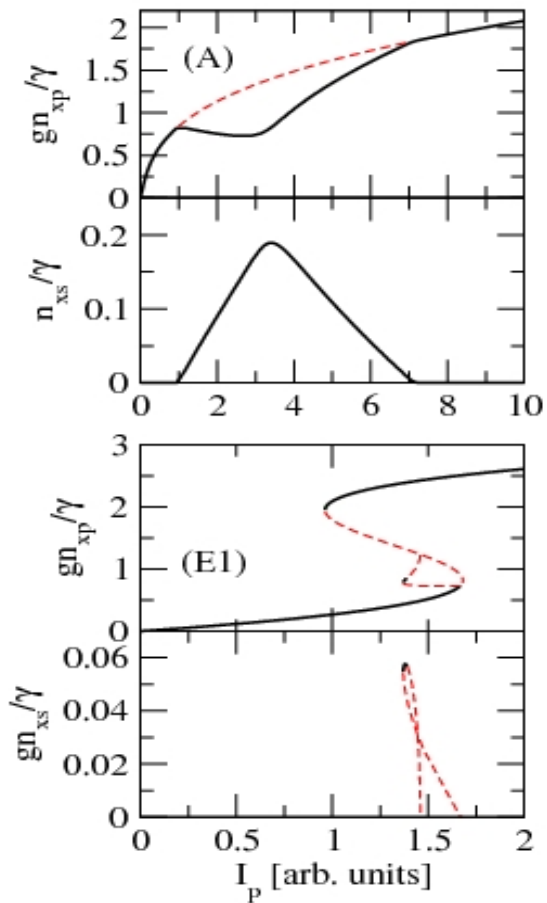


Richer physics than simple Thomas-Fermi profile of equilibrium BECs!!

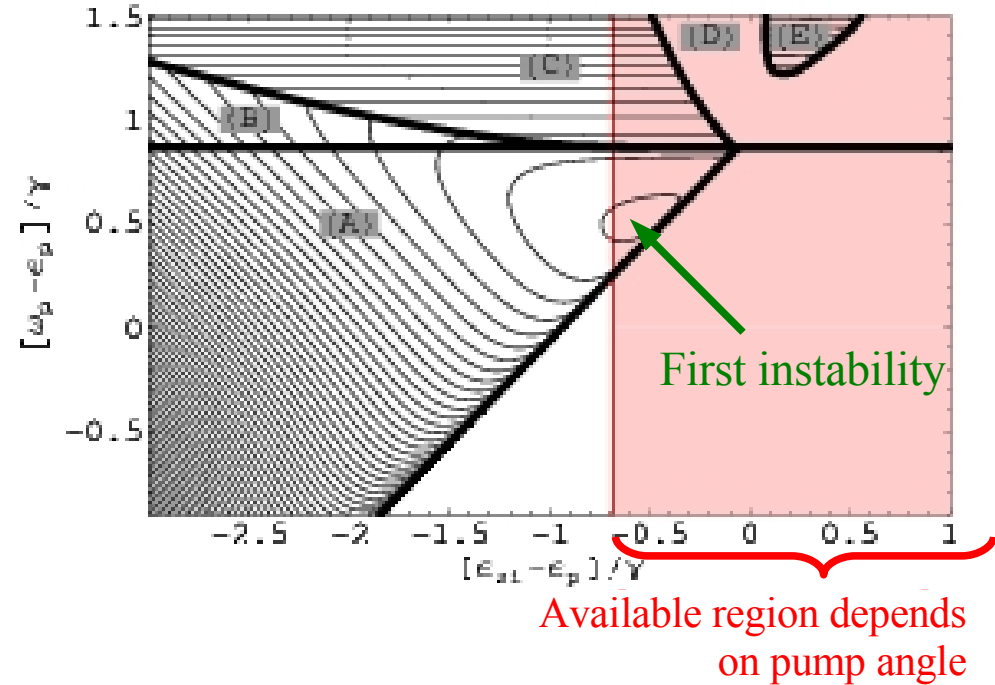
# Not only second-order phase transition...

2<sup>nd</sup> order

mixed transition



Contours: value of threshold intensity



“Magic angle” condition slightly modified !!

# Conclusions I: theoretical tools developed

## Mean-field theory:

- polariton Gross-Pitaevskii equation developed
- pattern-formation techniques to find spatial profile

## To include fluctuations:

- Wigner quasi-probability, stochastic Gross-Pitaevskii equation

## Elementary excitations around stationary state:

- linearized Bogoliubov approach
- modulus-phase Bogoliubov for quasi-condensates

## We now have a Wigner-MC numerical code able to:

- any geometry, any pulse shape, any applied potential
- no restriction to small fluctuation regime, critical points accessible
- take fully into account spatial dynamics of the field

## Simple model of non-resonantly pumping:

- generalized GPE with loss and amplification



# Conclusions II: Polaritons provide rich examples of non-equilibrium Bose-Einstein condensates

- coherence functions of luminescence characterized across OPO threshold: incoherent (below), diverging coherence length at threshold, long-range coherence (above)
  - above threshold: spontaneous breaking of U(1) symmetry
  - but: the Goldstone mode is diffusive rather than propagating
    - can be optically probed, gap opens if signal phase pinned
  - diffusive Goldstone mode also under incoherent pumping
  - novel kinds of Josephson effect: standard and overdamped oscillations
  - complex shape of non-equilibrium BEC as a function of spot size
- 
- effect of reduced dimensionality: exponential decay of coherence in 1D
  - mean-field study of OPO critical point: first or second order phase transition